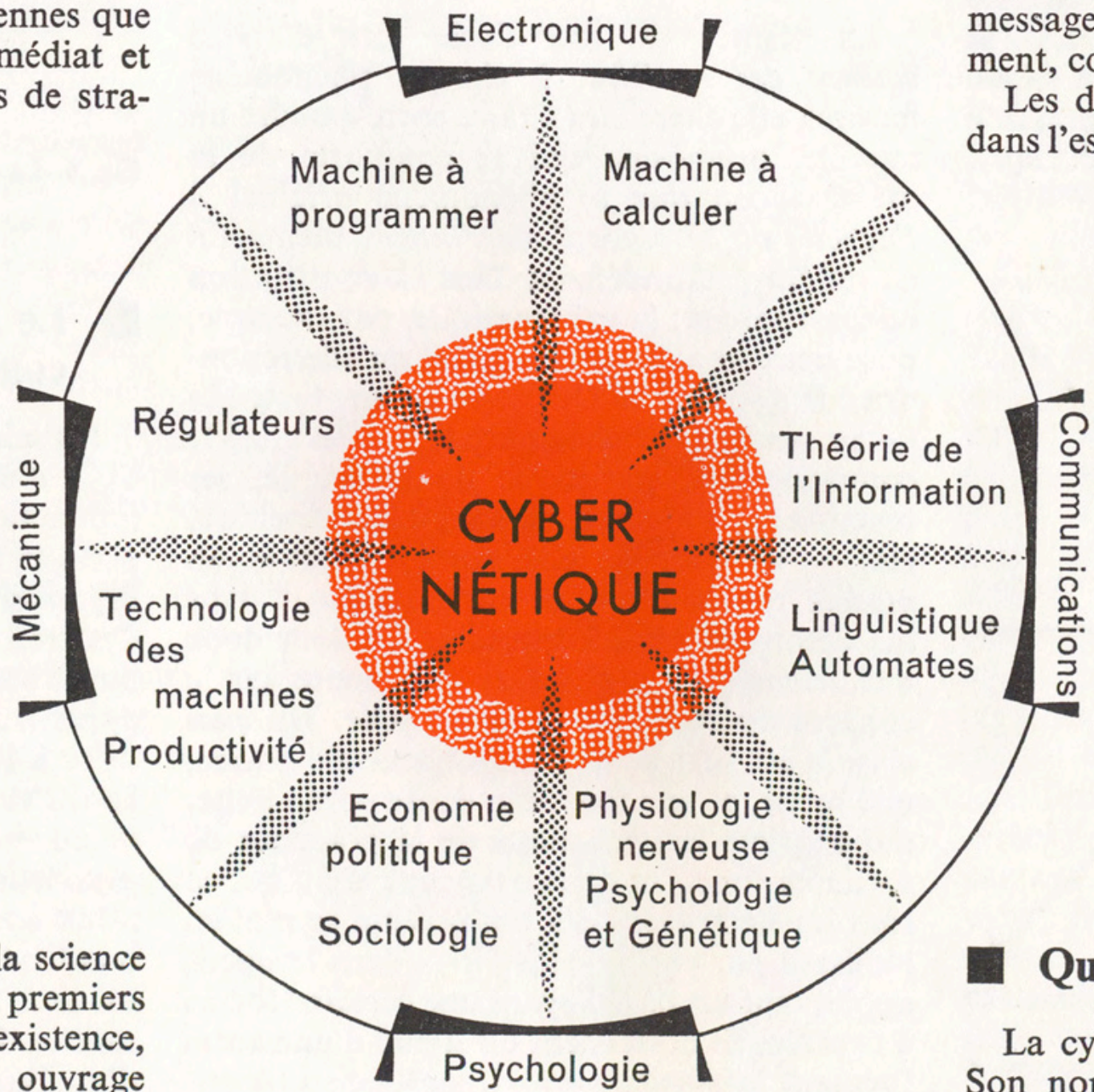


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# interaction cybernetics design

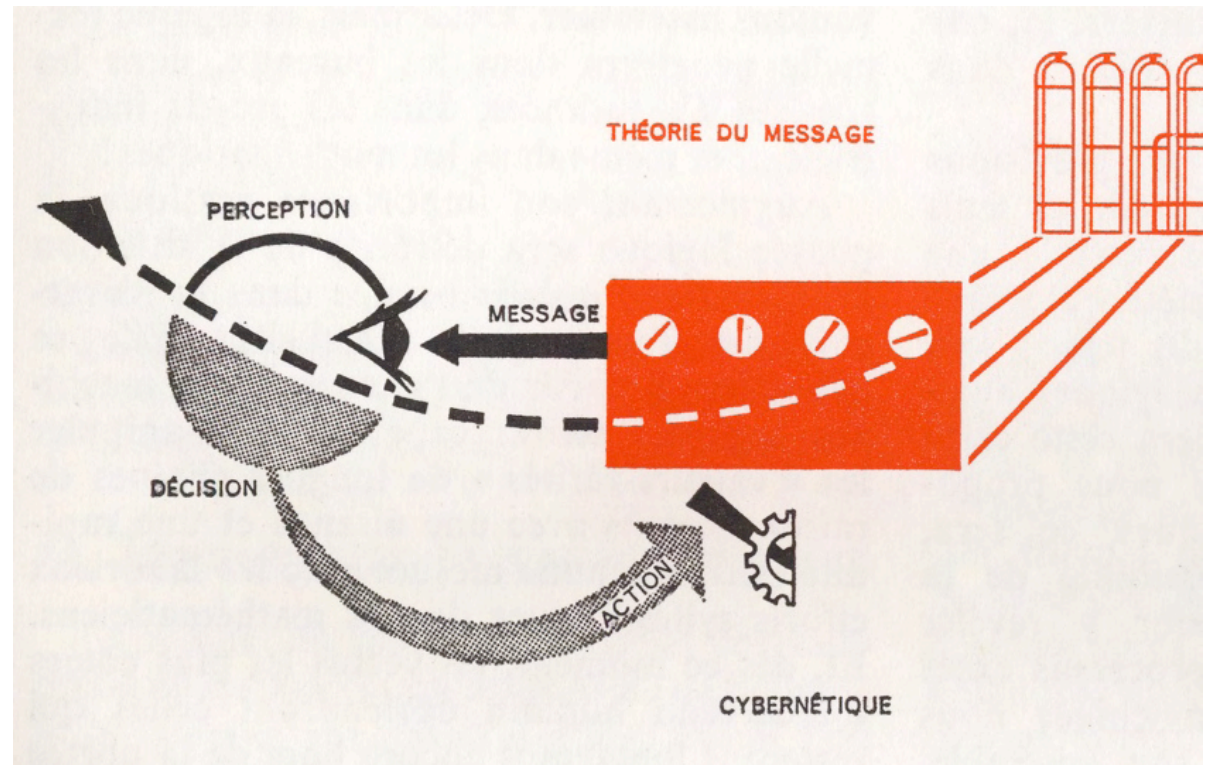
Dr. Paul Pangaro  
[CyberneticLifestyles.com](http://CyberneticLifestyles.com)  
New York City

École Nationale Supérieure des Mines de Paris  
2 October 2009

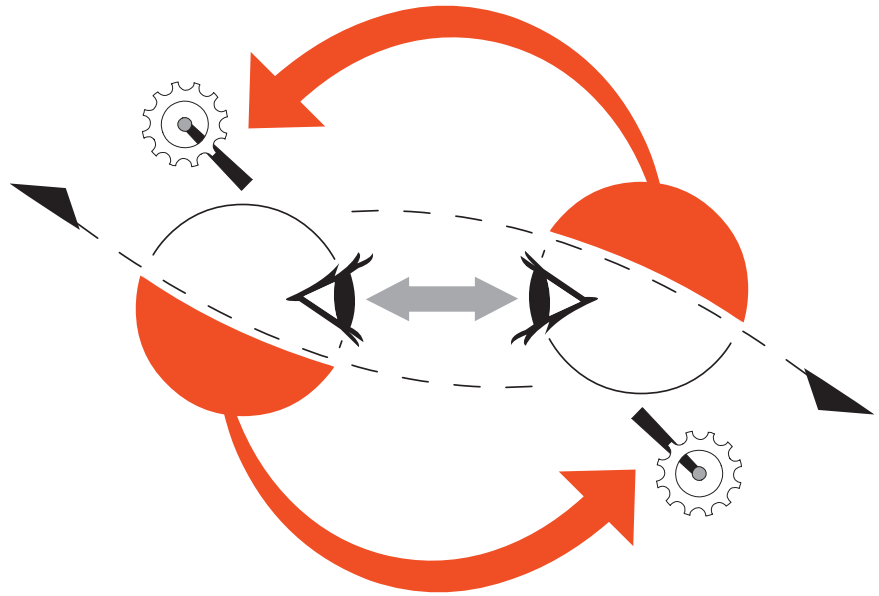
# interaction

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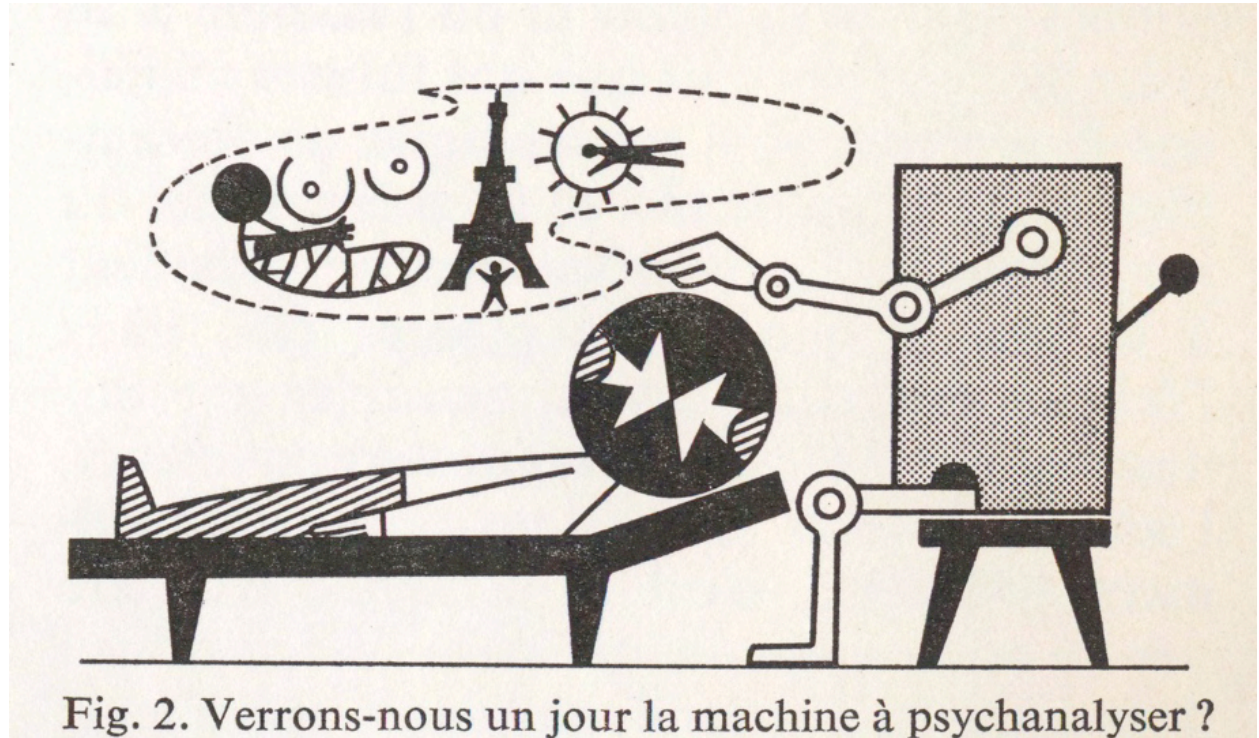


# interaction cybernetics design

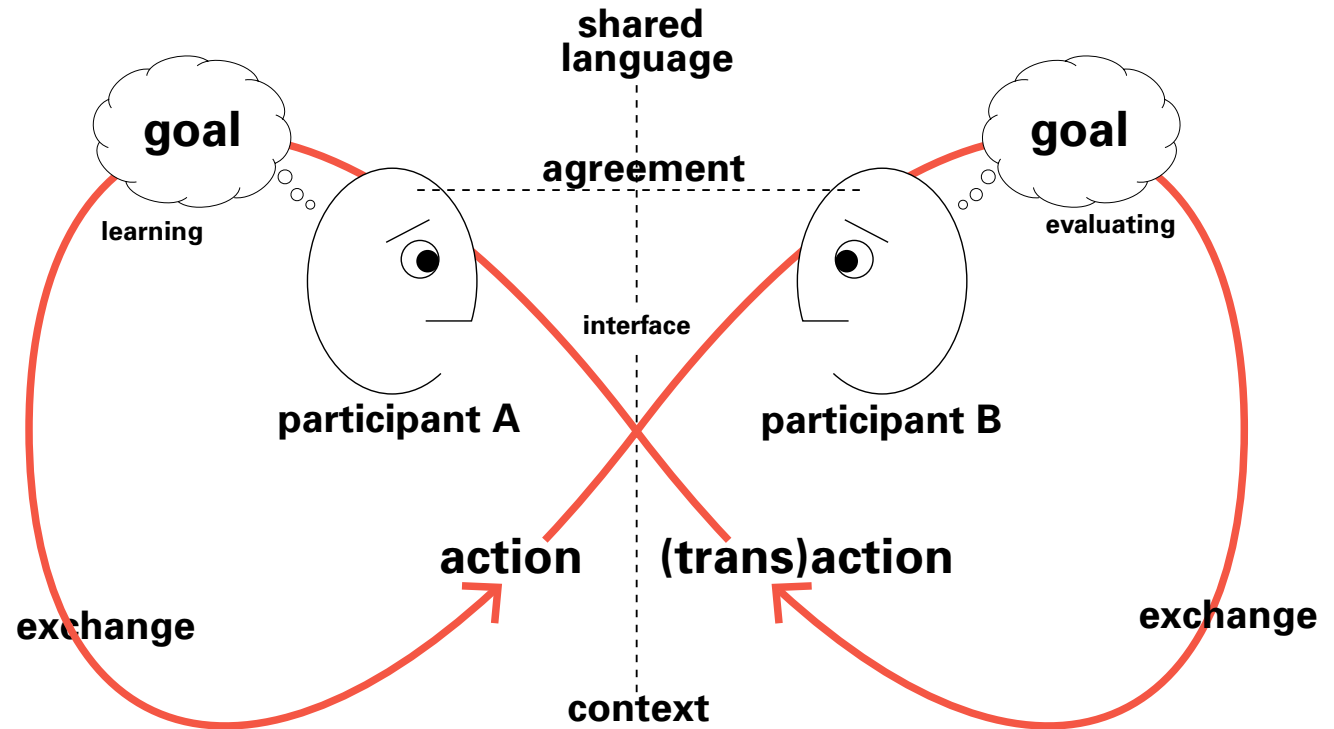




interaction  
cybernetics  
design



# interaction cybernetics design



# what has changed for designers—complexity

new knowledge in biology, medicine, physics...

the internet

“big data”

sensor explosion...

# what has not changed for designers—human needs

fundamental desire to “get what we want”

need to formulate and agree on goals

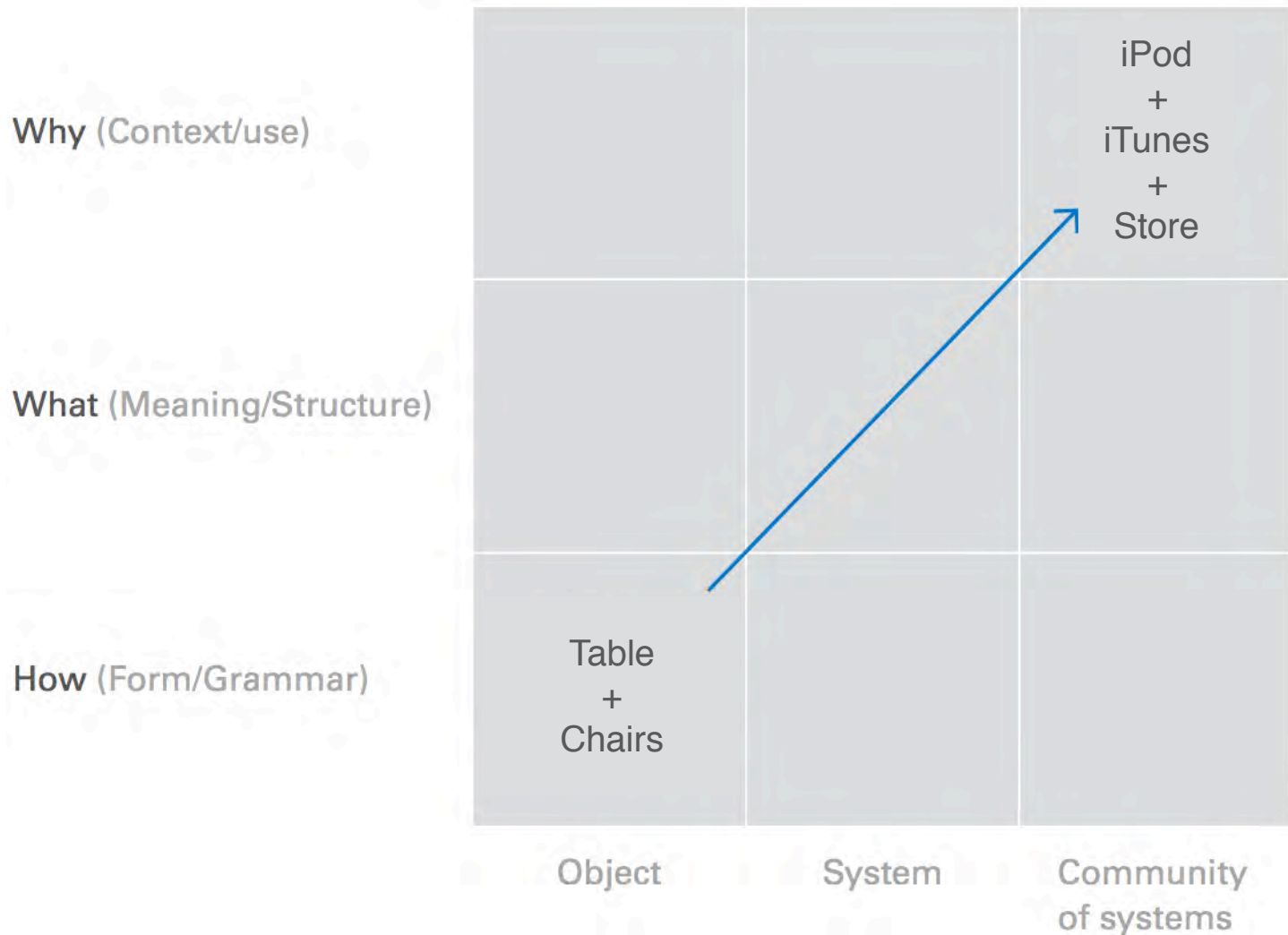
to coordinate actions

to expand choices...



so, how do designers  
understand...  
design for...  
manage all this?

# design processes must change



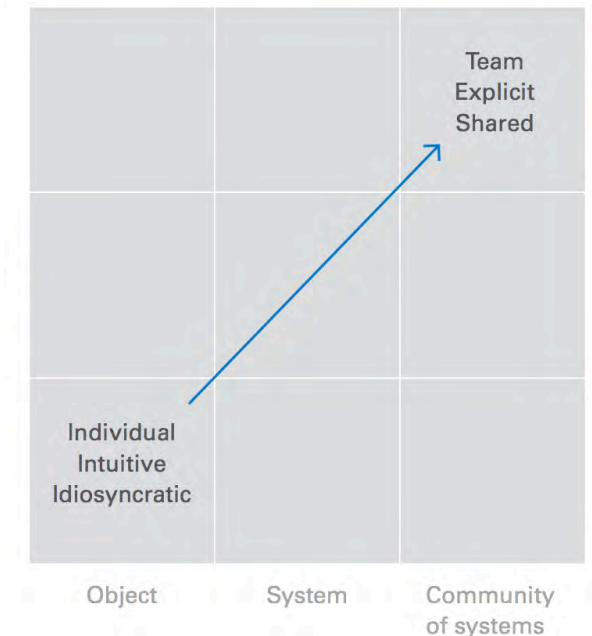
# design processes must change

We are in a new era of technology, where the sensor + mobility + video webs are being added to the “text web”.

Designers will have new tools and media, which will change the way they work, which suggests changes in design education.

Designers will focus on systems not objects, embrace complexity, and move from form-giving to conversation-managing.

*Hugh Dubberly*



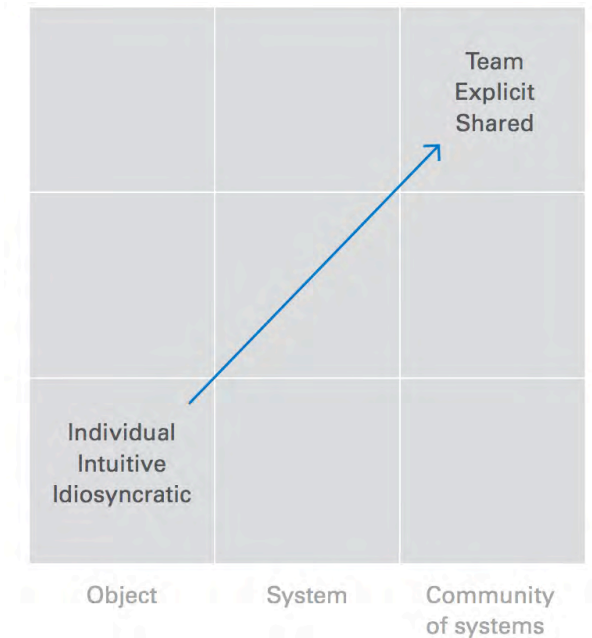
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# design processes must change

Design = systems  
+ complexity  
+ conversation



# interaction cybernetics design

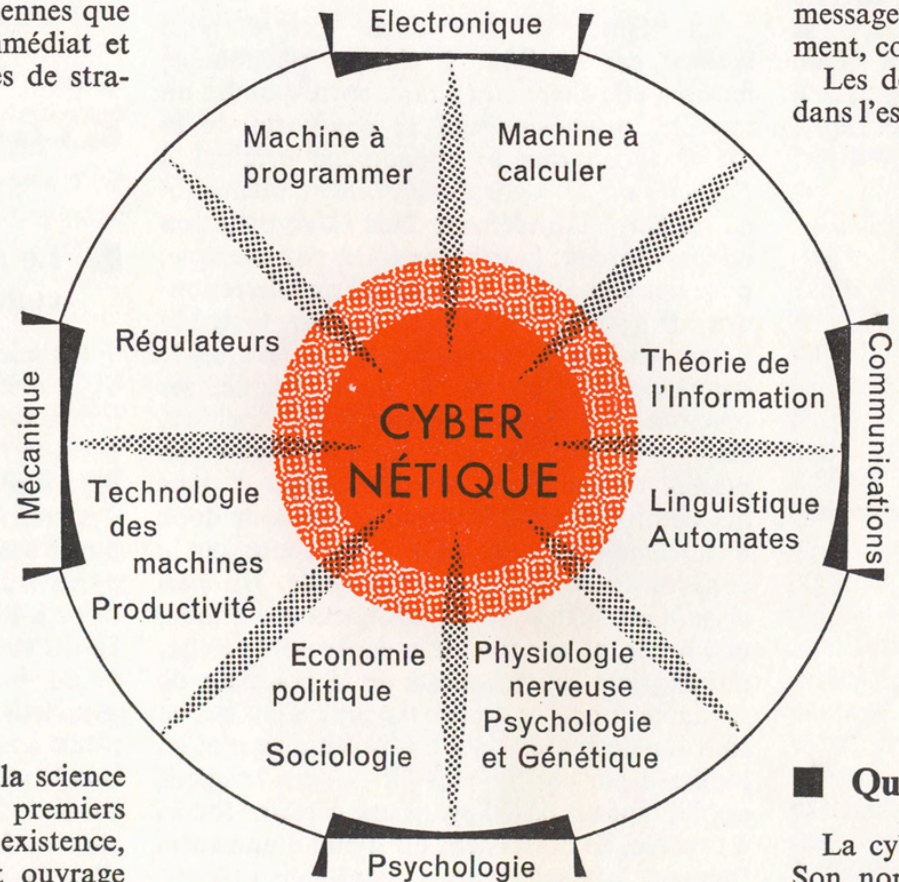
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Fig. 2. Cybernétique, science-carrefour.



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# CYBERNETICS

what is cybernetics?

why is cybernetics a science for design?

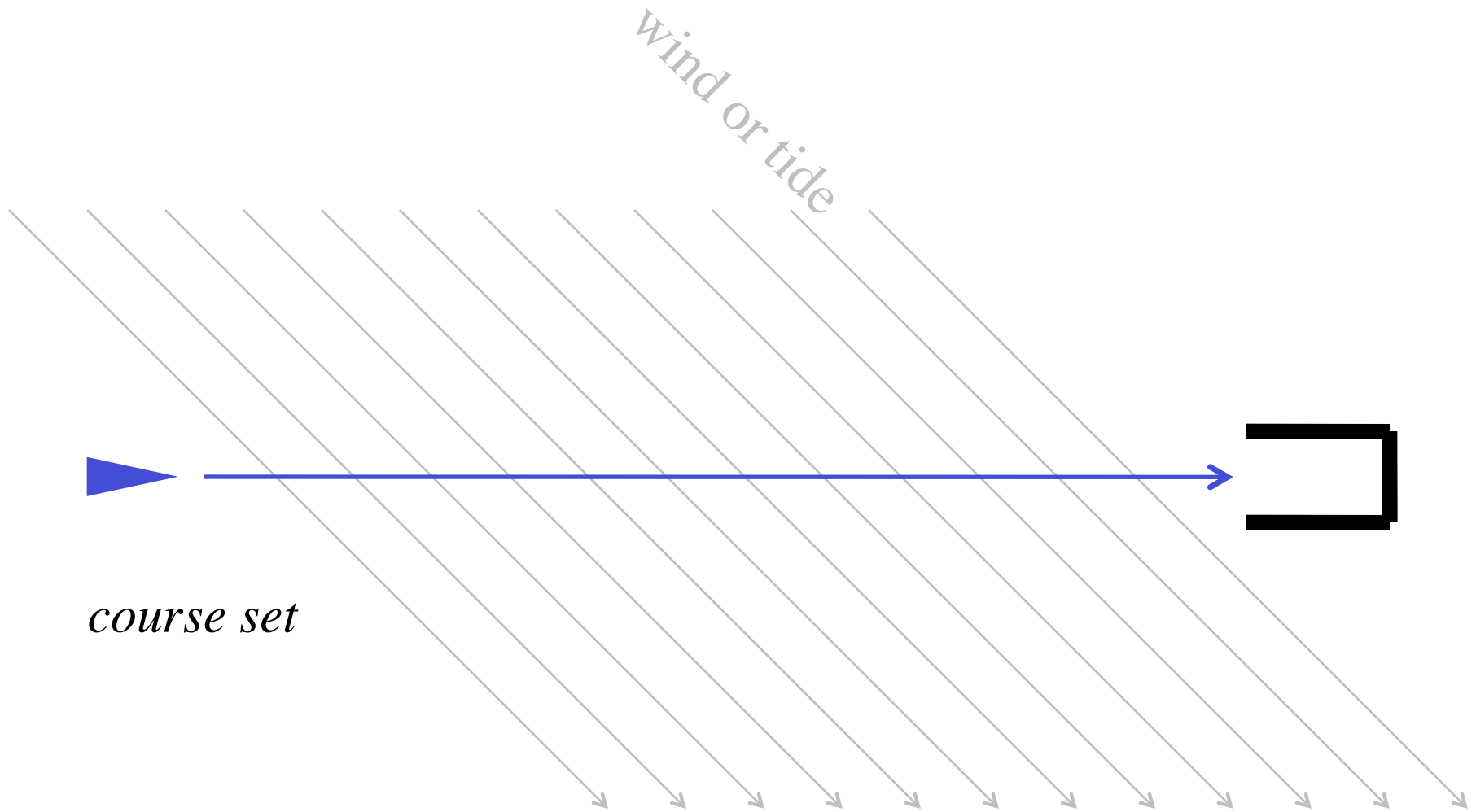
discussion

# CYBERNETICS

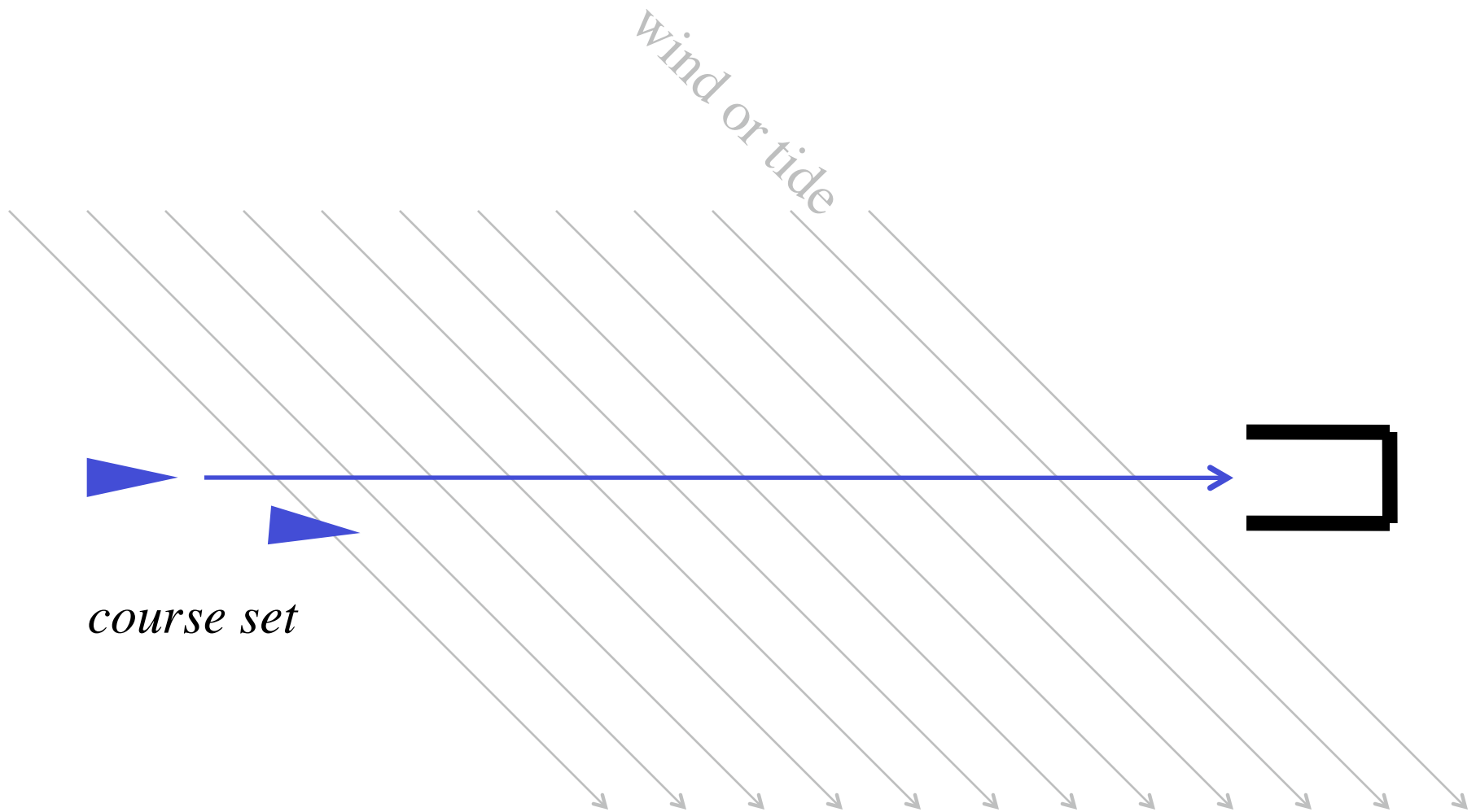
from Greek 'kybernetes'—the art of steering



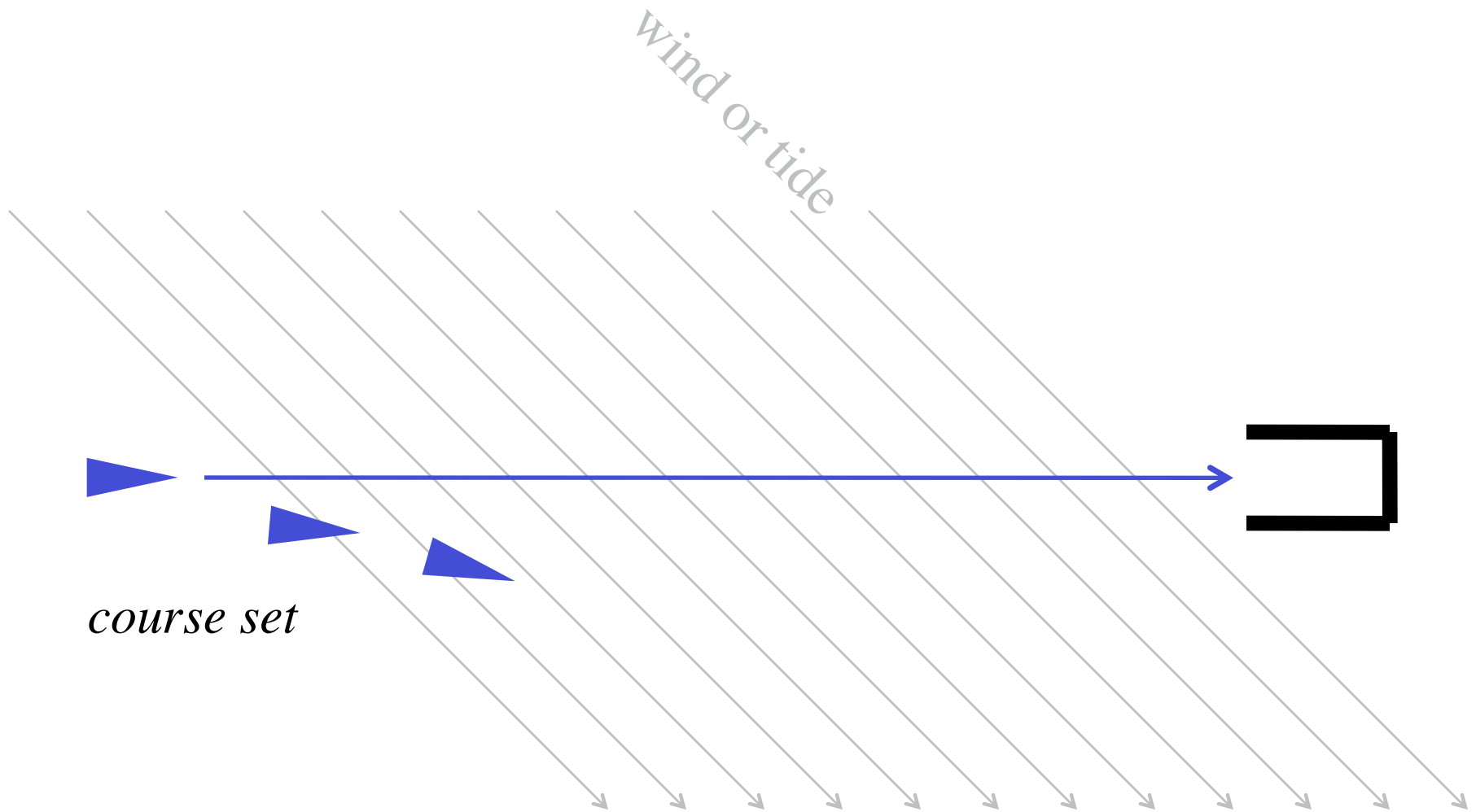
# the art of steering



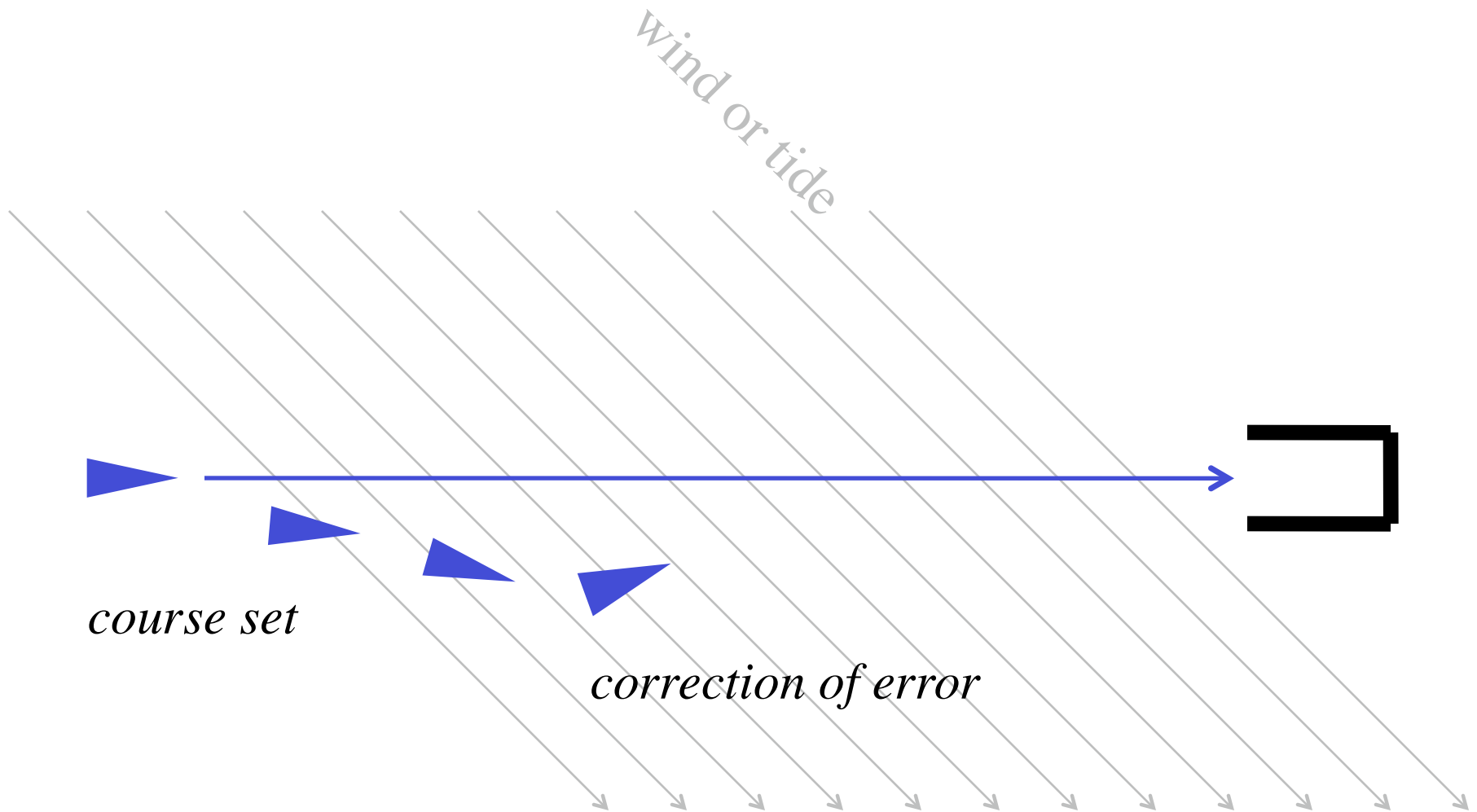
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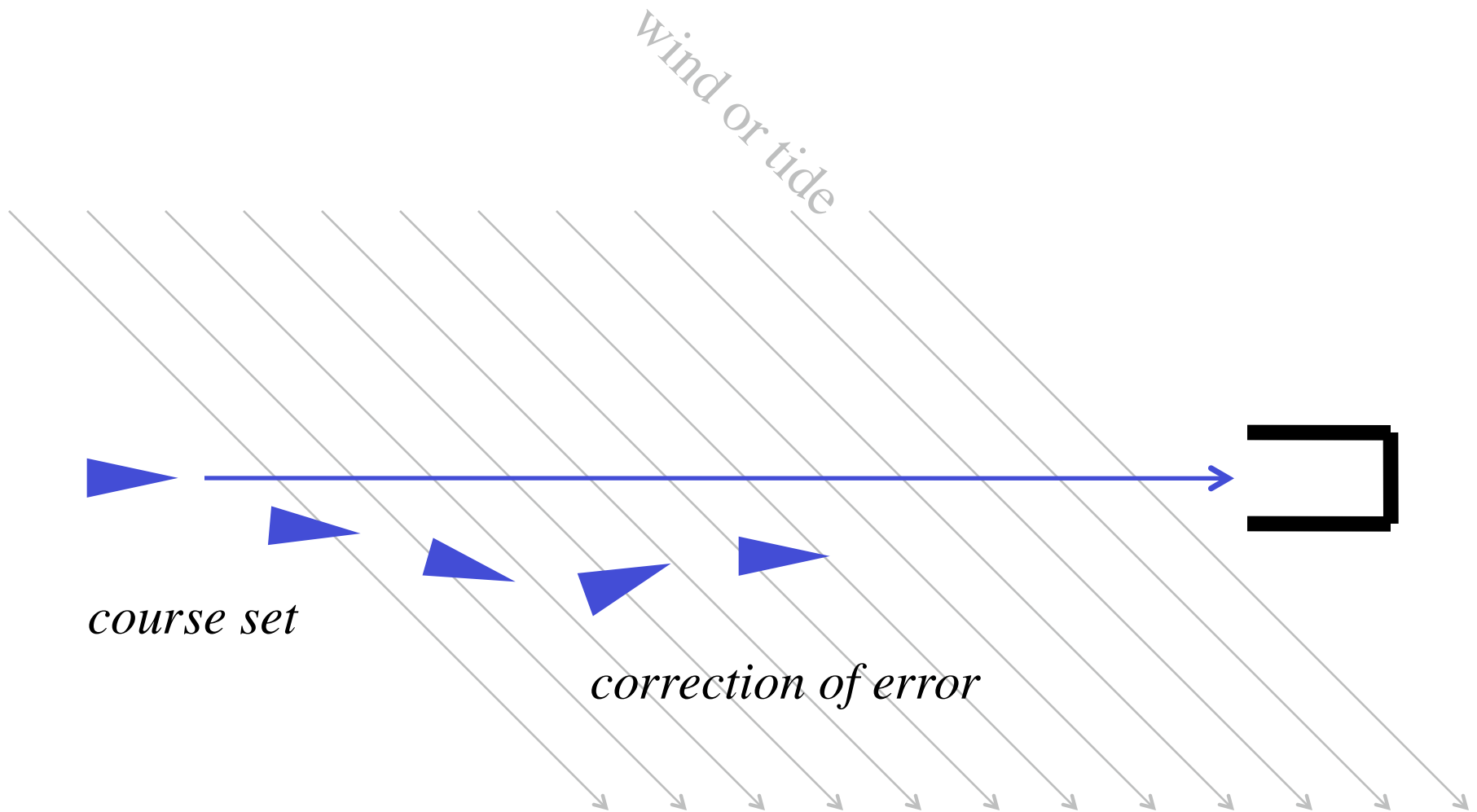


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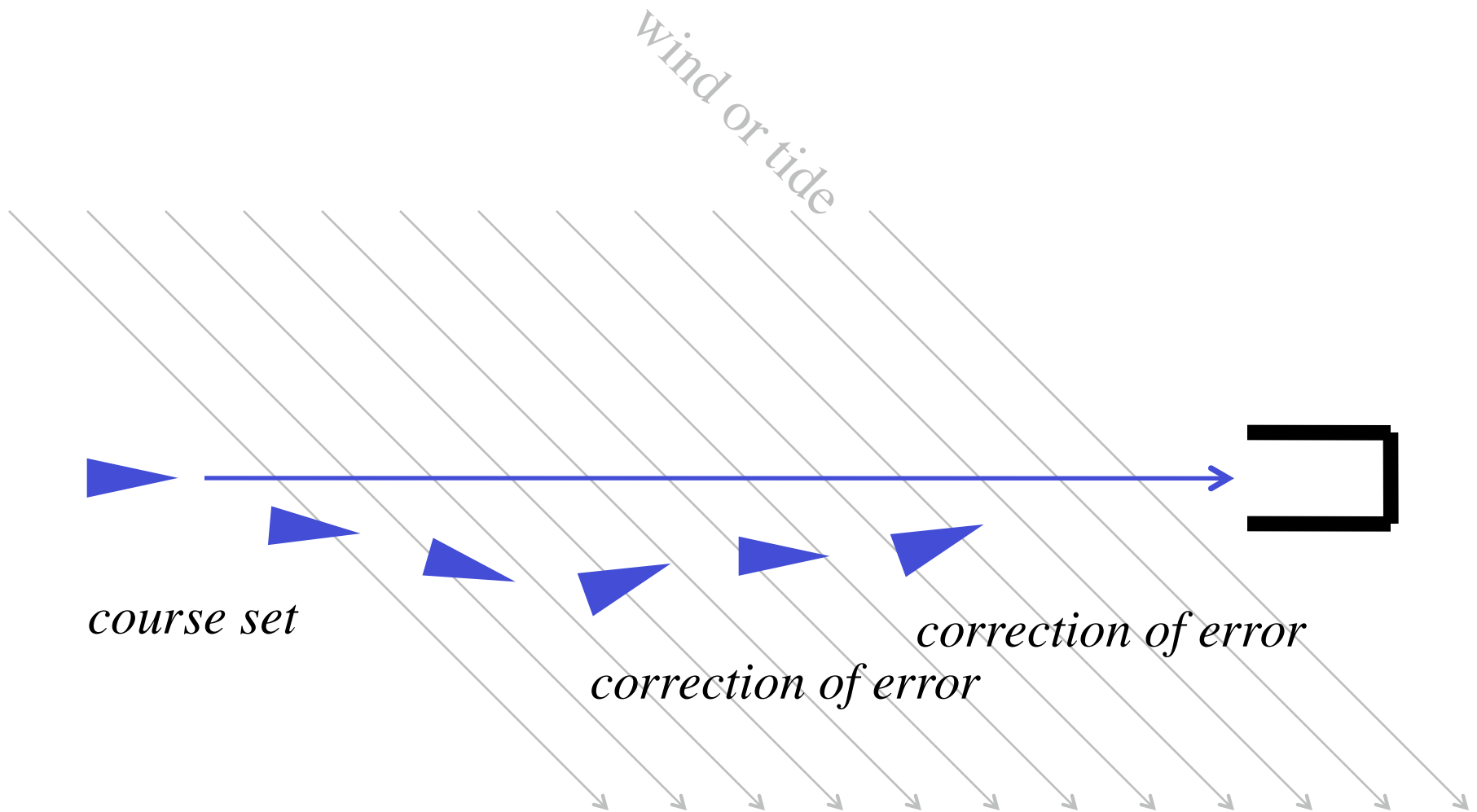




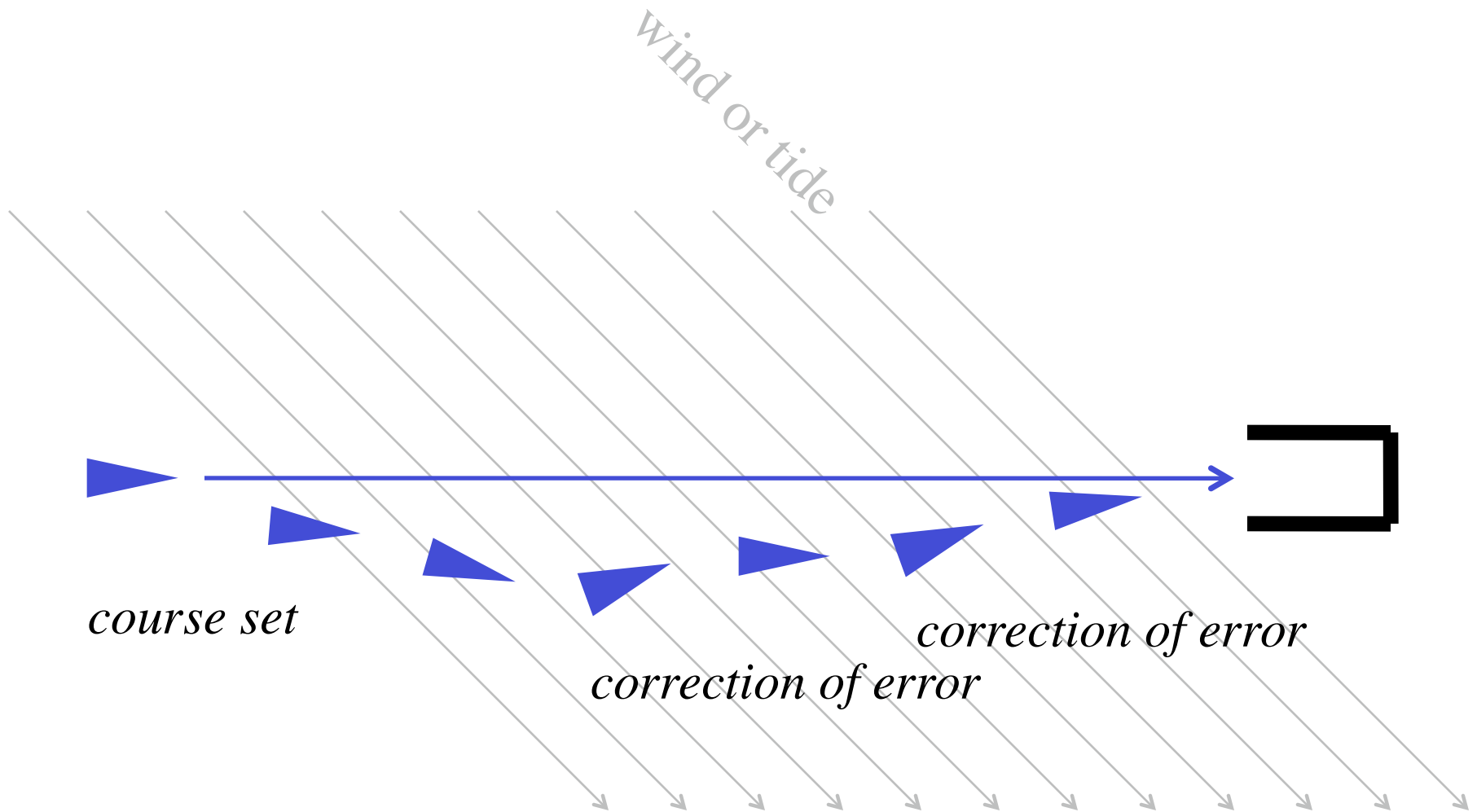
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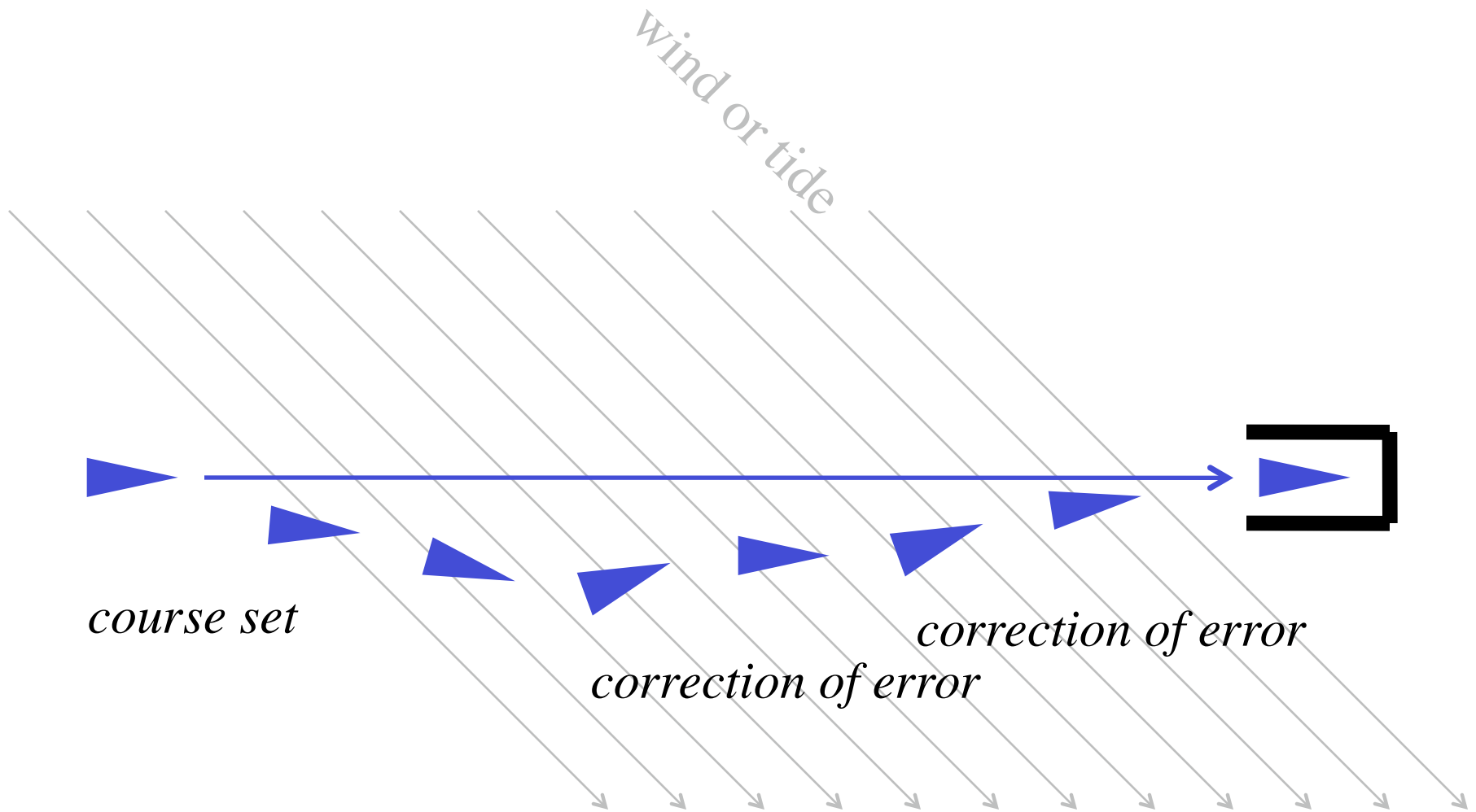
# the art of steering



# the art of steering



# the art of steering



# CYBERNETICS

system has goal

system aims toward goal

environment affects aim

information returns to system—‘feedback’

system measures difference between state and goal  
—detects ‘error’

system acts to correct the error, to achieve its goal

# CYBERNETICS

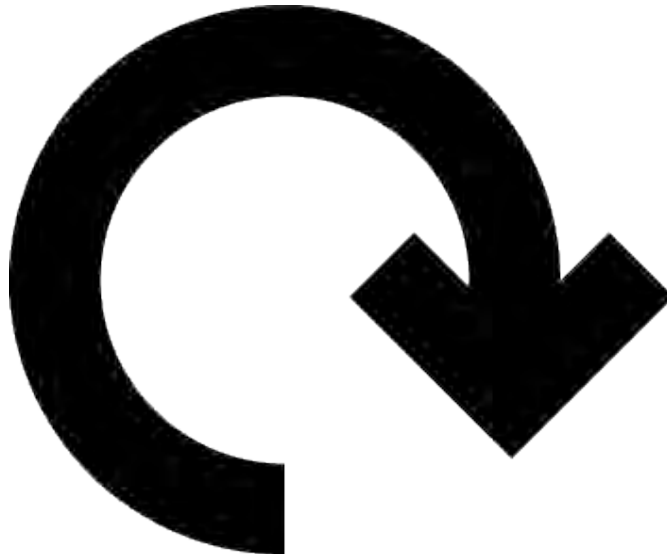
from Greek 'kybernetes'—the art of steering  
in Latin, the same term becomes 'governing'

- regulation by law or person
- government *means* regulation

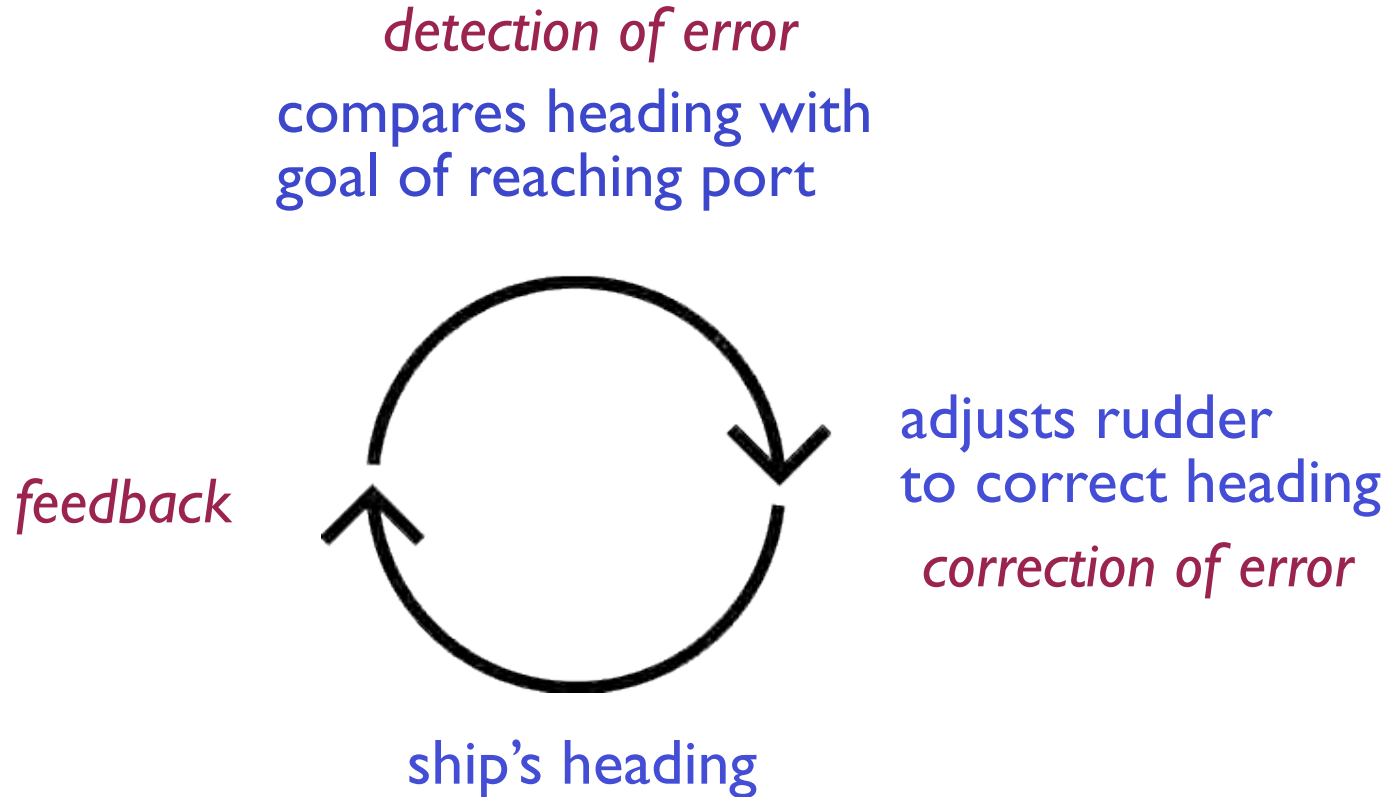
# CYBERNETICS

“... introduces for the first time —  
and not only by saying it, but methodologically —  
the notion of circularity, circular causal systems.”

*Heinz von Foerster*

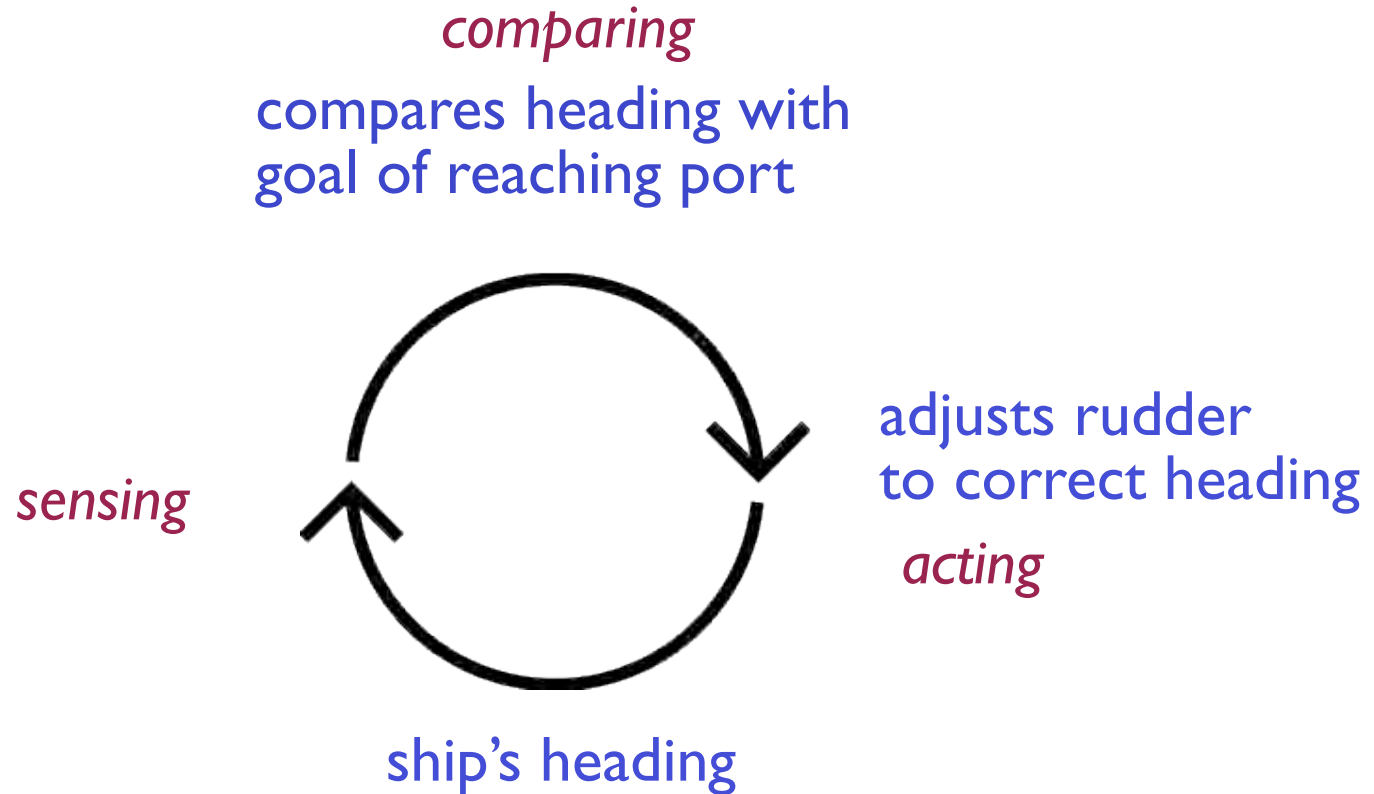


# the art of regulation

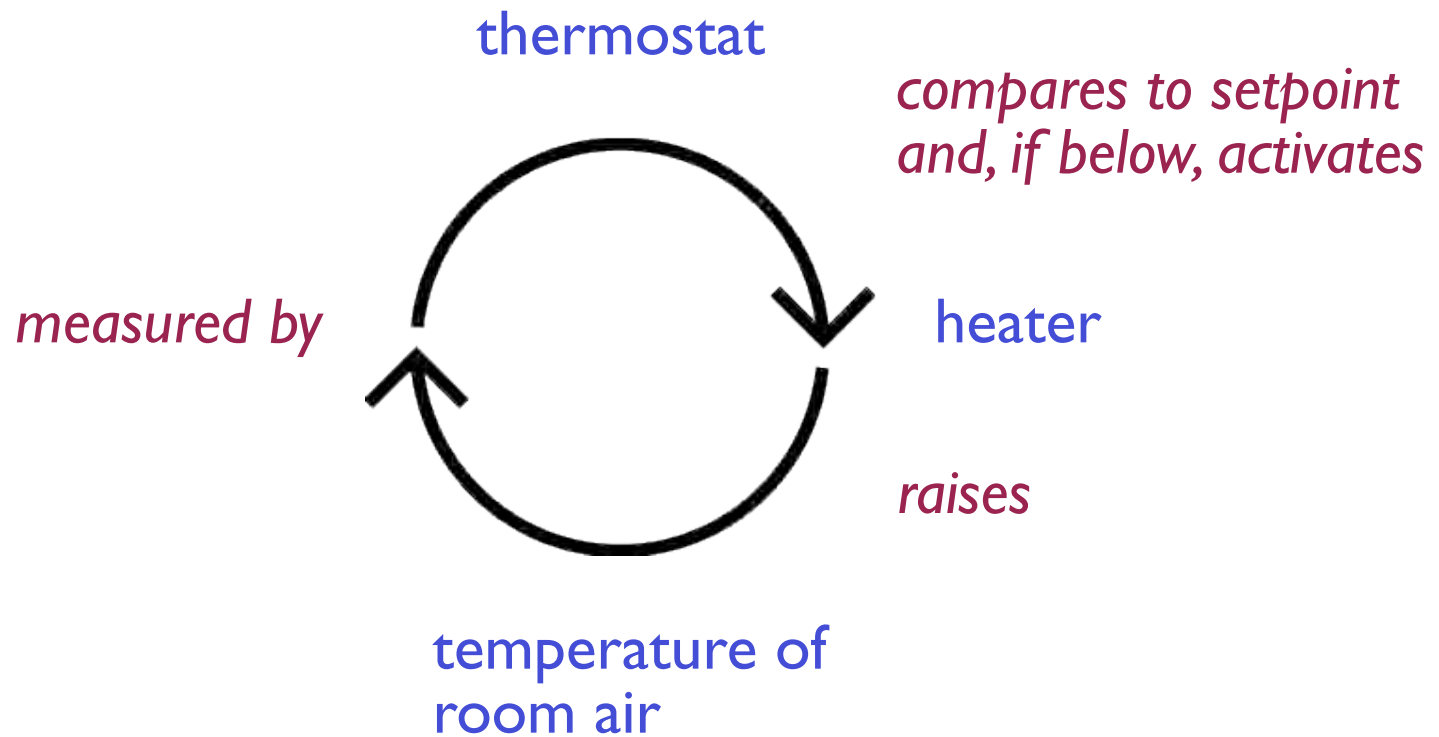




# the art of regulation

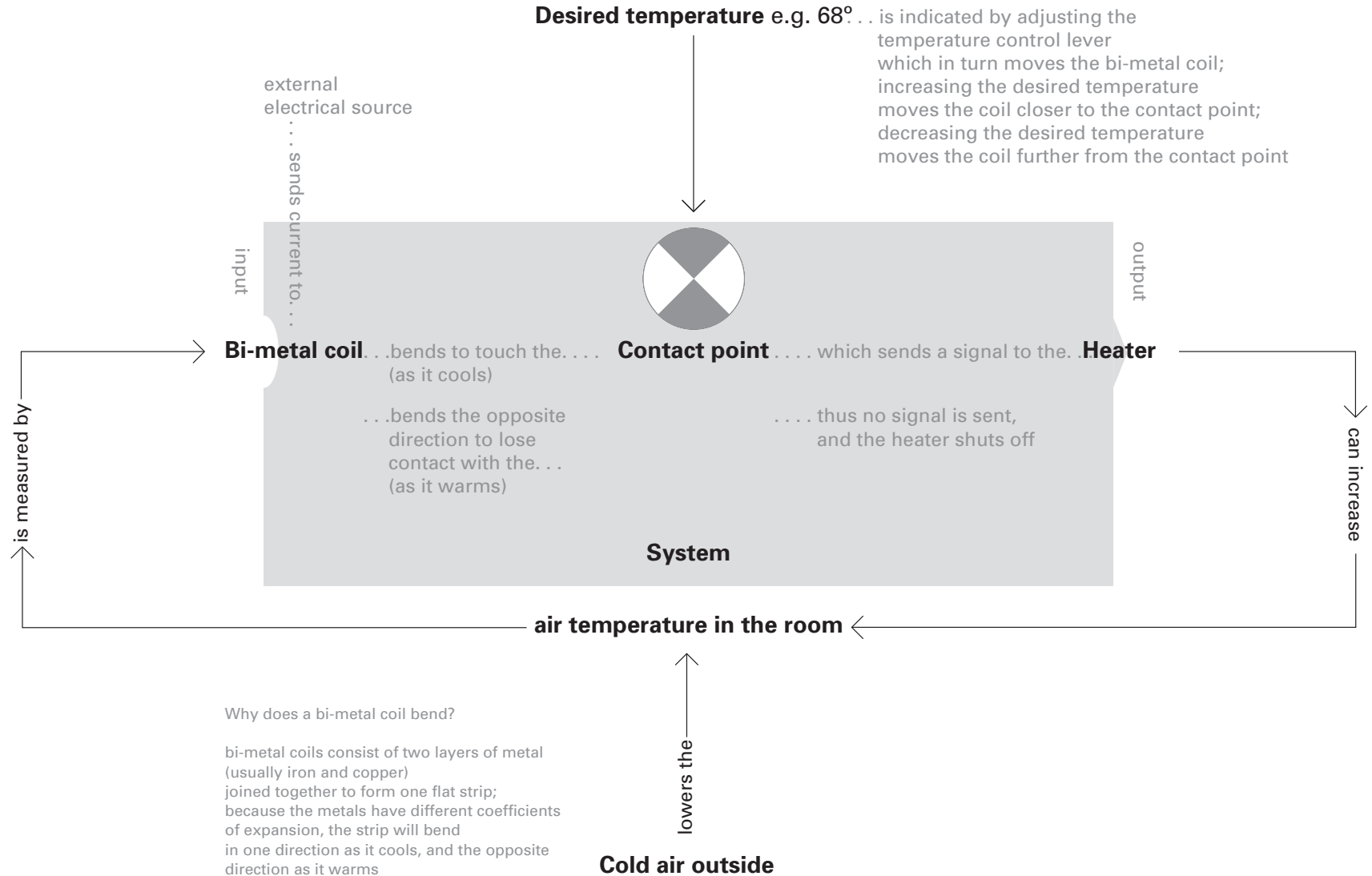


# automation of regulation

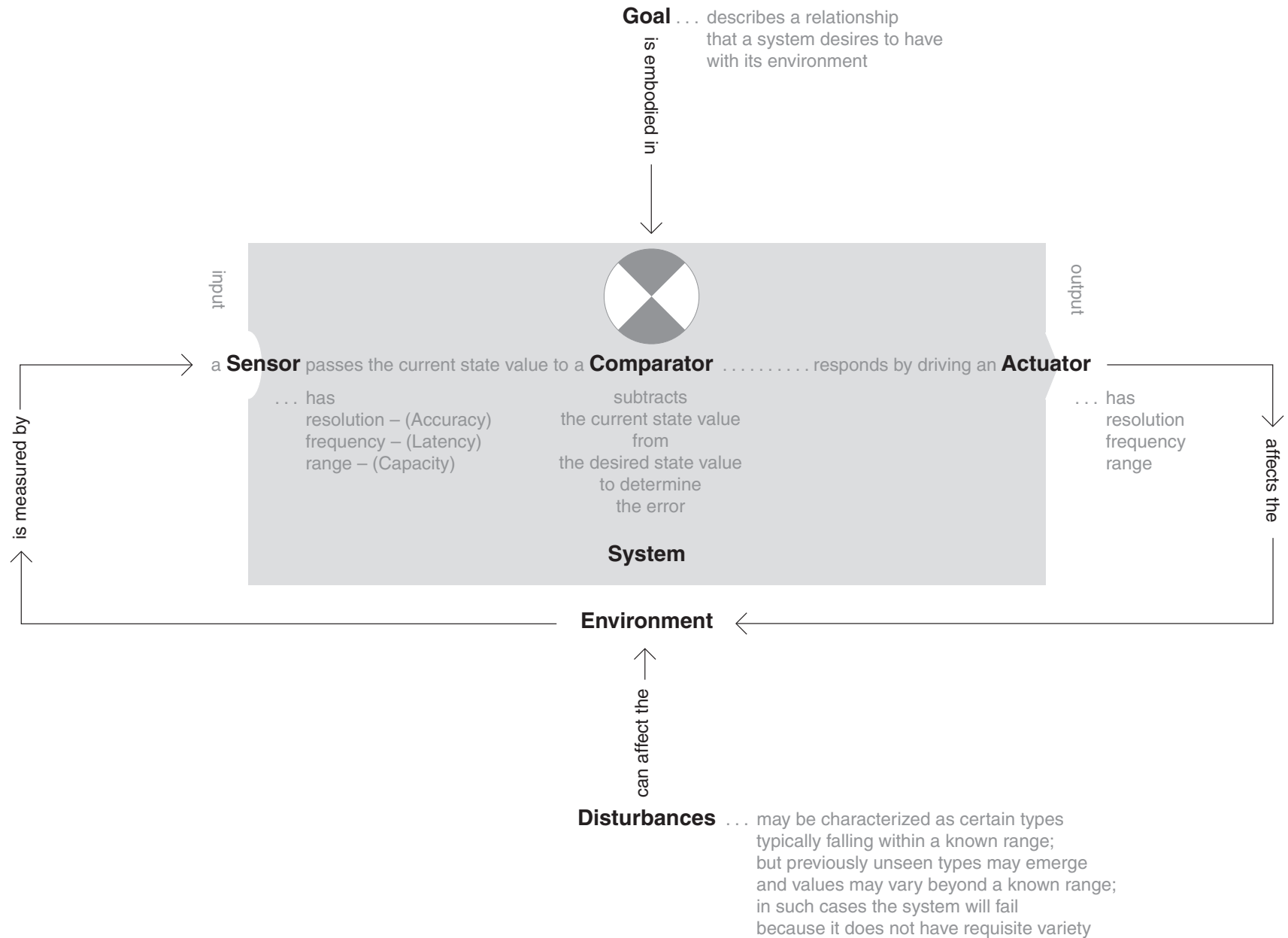


## Feedback: Classic Example

Thermostat regulating room temperature  
(via a heater)

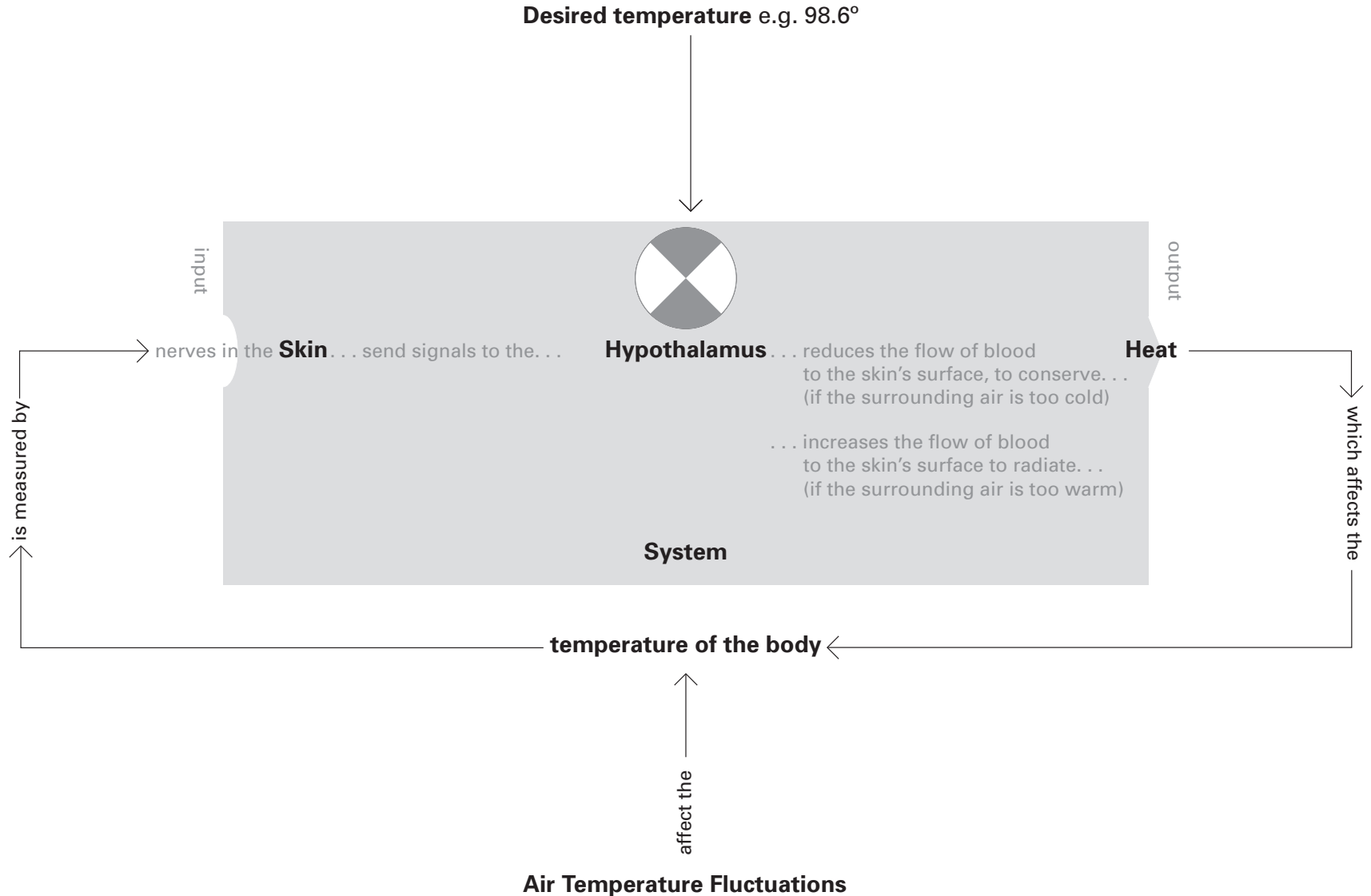


# Feedback: Formal Mechanism



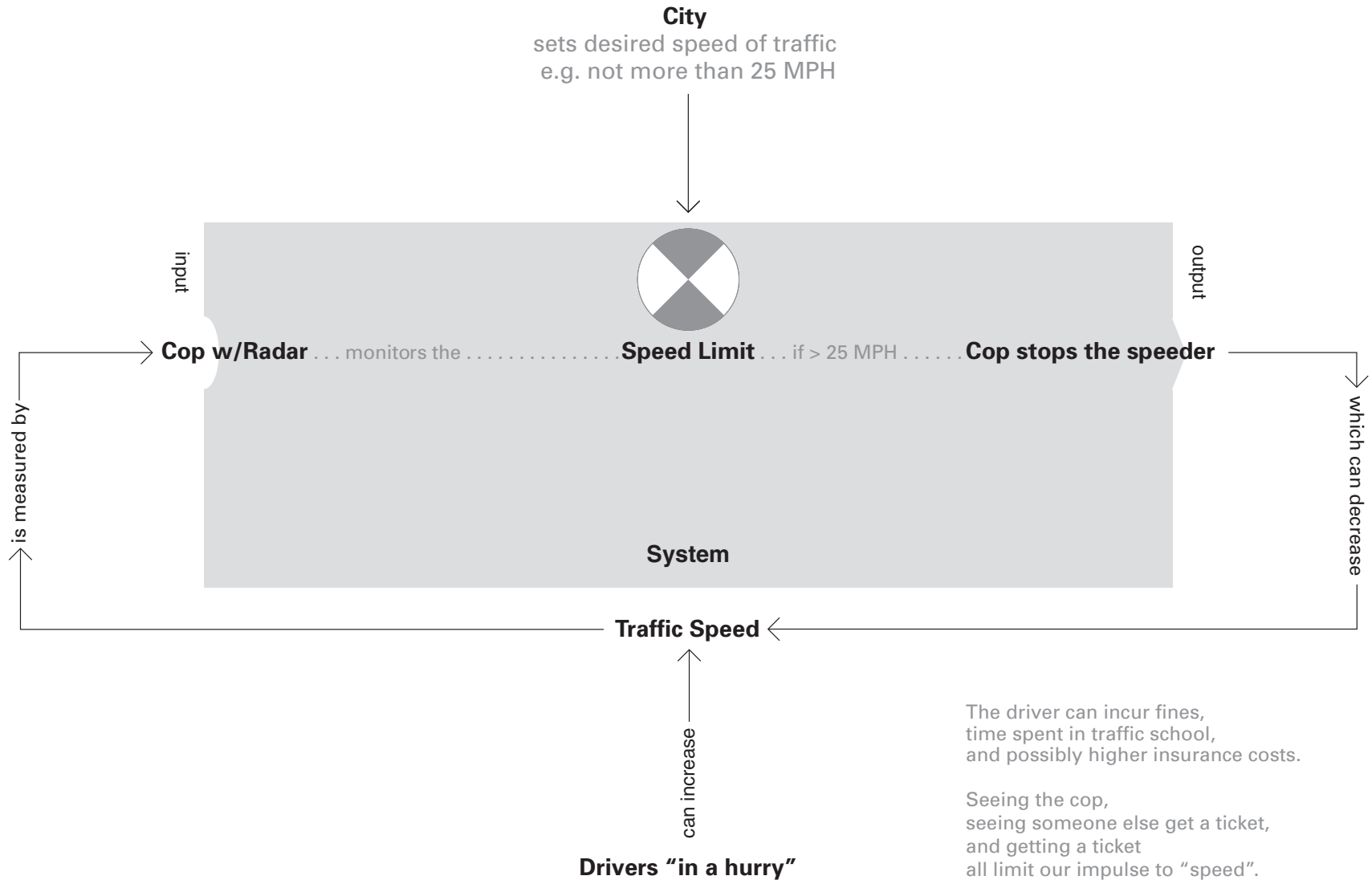
## Feedback: Biological Example

### Regulating temperature in the human body



## Feedback: Social Example

### Regulating traffic speed



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# historical views of cybernetics

Cybernetics saves the souls, bodies, and material possessions from the gravest dangers.

– *Socrates according to Plato, c. 400 B.C.E.*

The future science of government should be called “la cybernetique.”

– *André-Marie Ampere, 1843*

Until recently, there was no existing word for this complex of ideas, and... I felt constrained to invent one...

– *Norbert Wiener, 1954*

# many views of cybernetics

La Cybernetique est l'art d'assurer l'efficacite de l'action.

– *Louis Couffignal*

The science of effective organization.

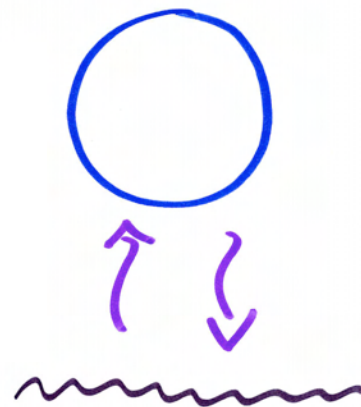
– *Stafford Beer*

The science of observed systems.

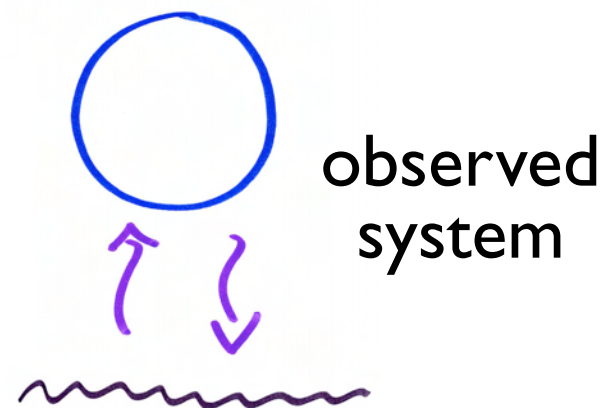
– *Heinz von Foerster*

The study of the immaterial aspects of systems.

– *W. Ross Ashby*

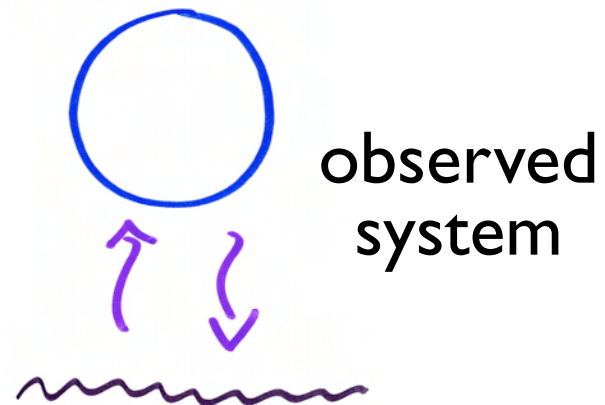


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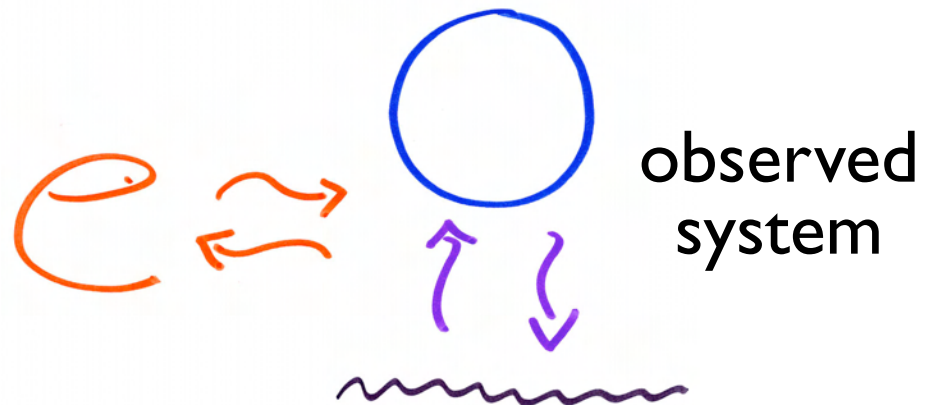


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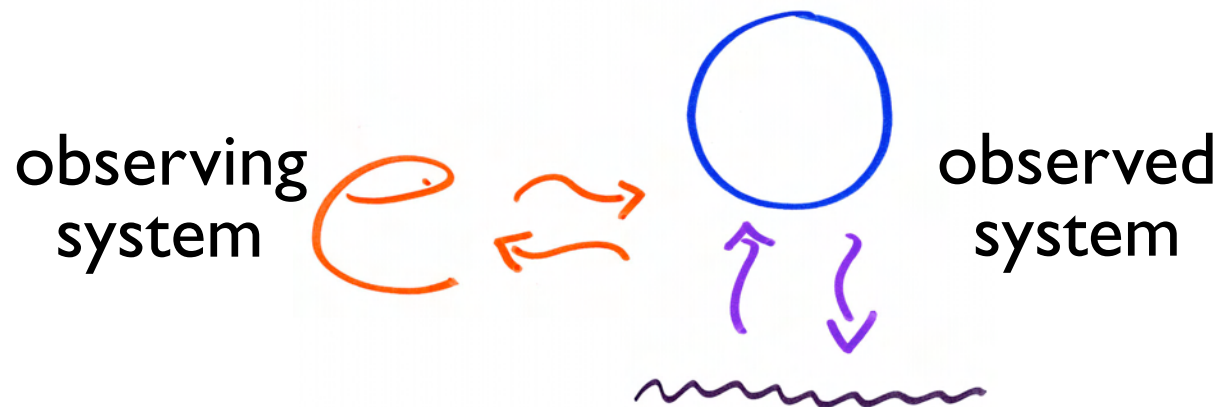
## first-order cybernetics



## first-order cybernetics

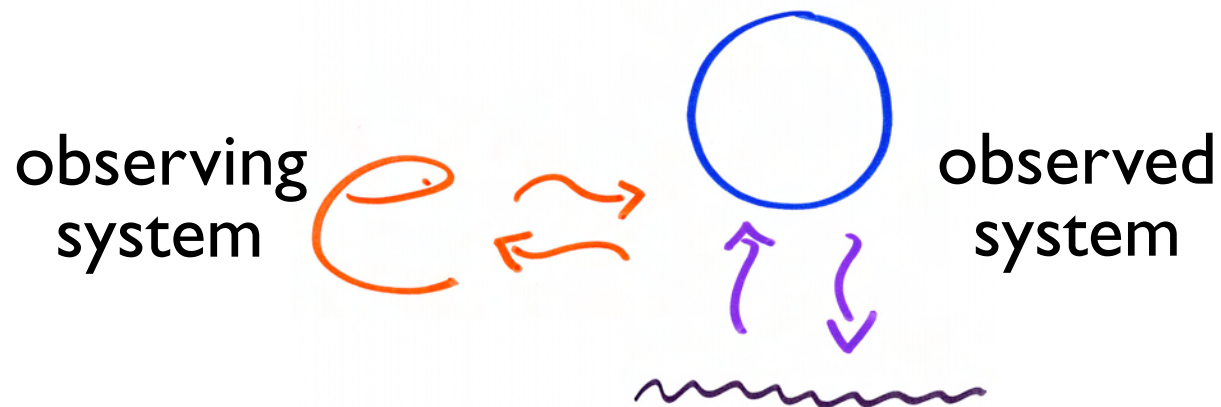


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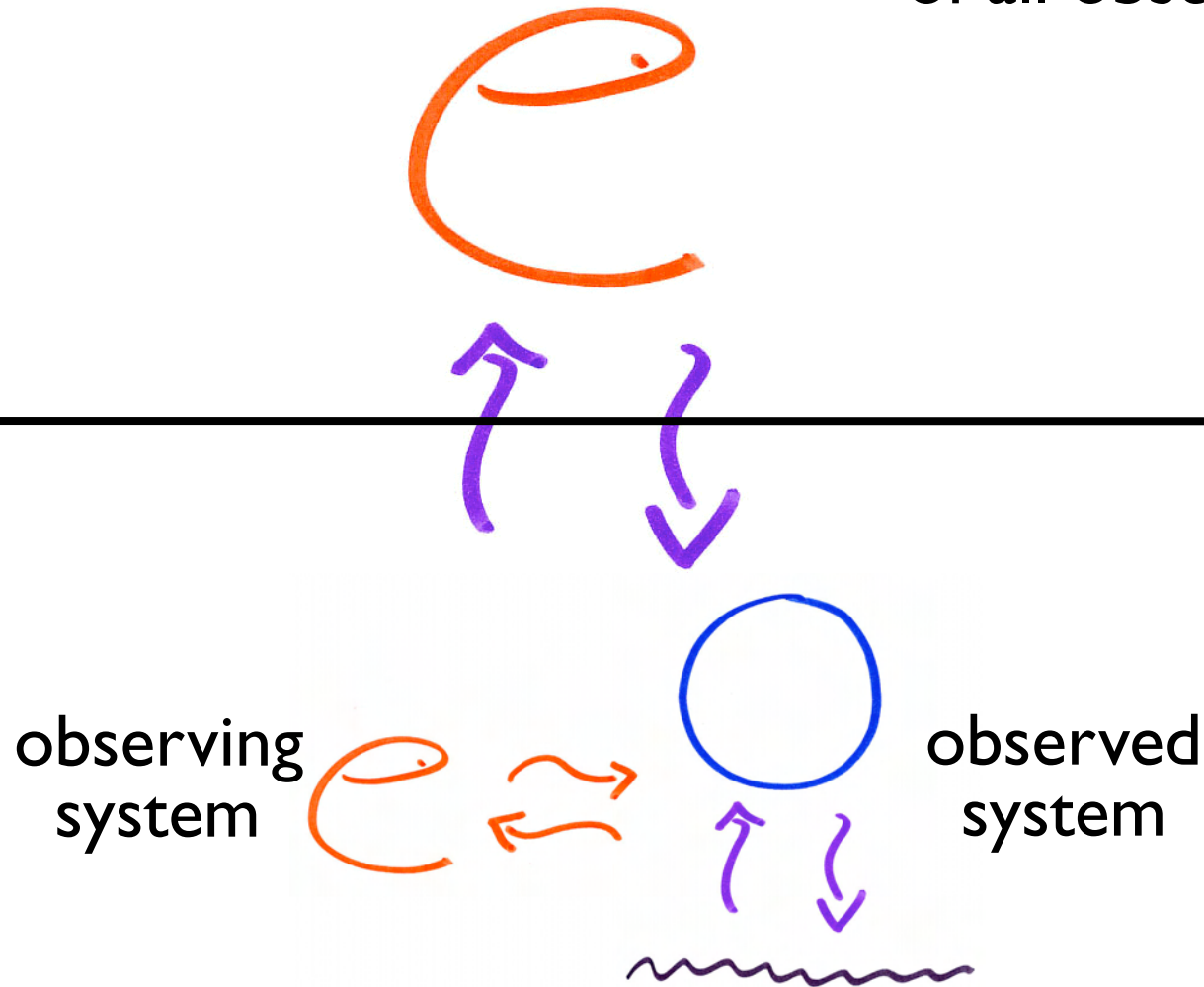
## second-order cybernetics

### first-order cybernetics



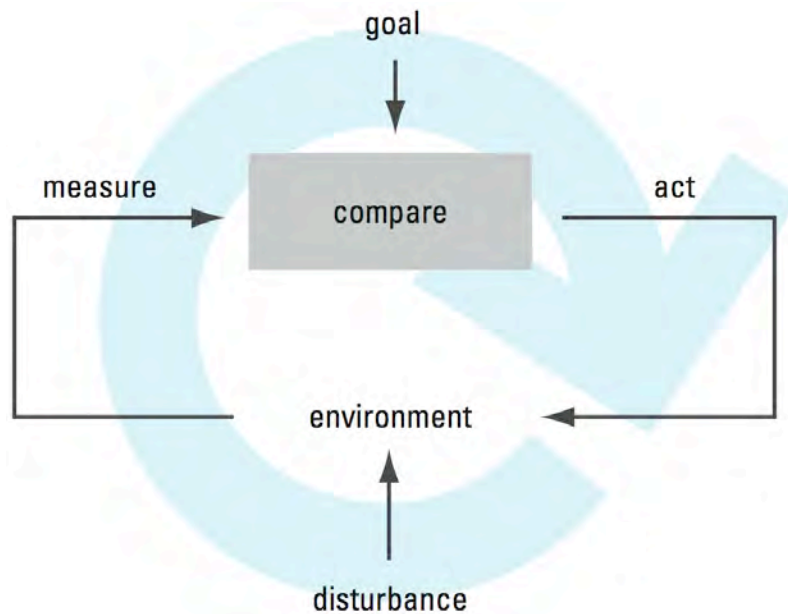


recognizing  
the subjectivity  
of all observation



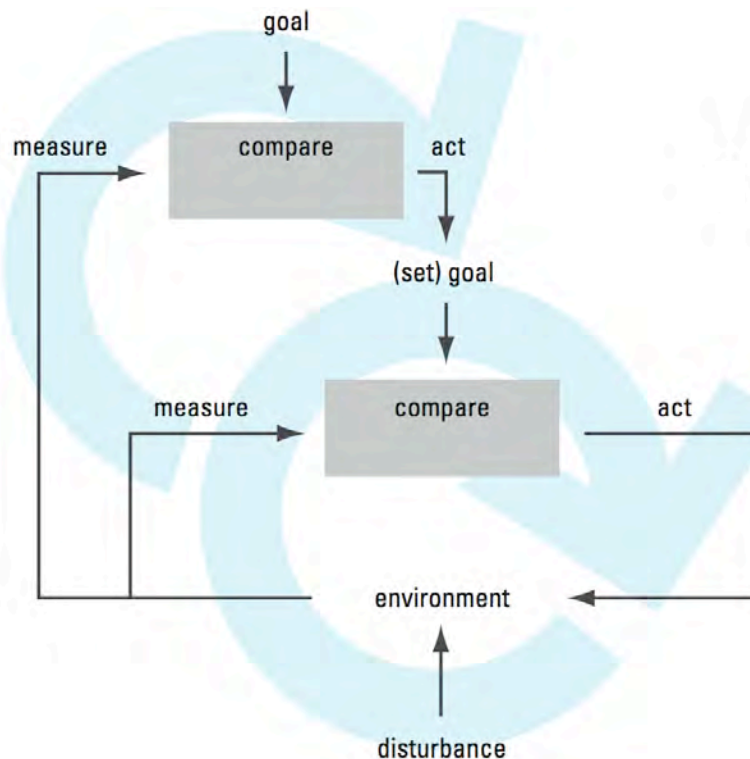
# second-order cybernetics

cybernetics explains how  
circular causal systems work



# second-order cybernetics

cybernetics explains how circular causal systems work—even when they self-regulate and modify their goals



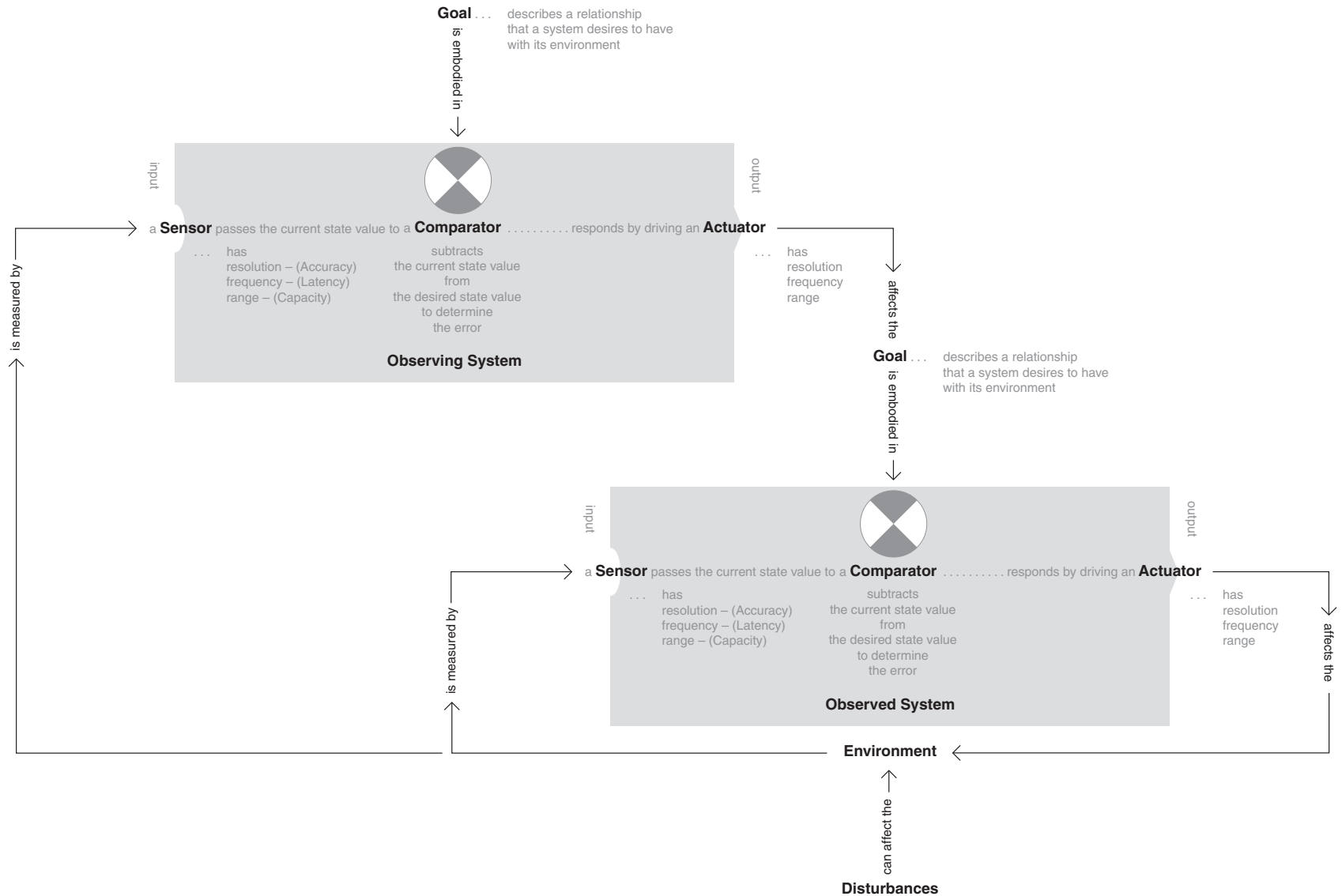
software services engage users in circular causal loops.

these loops involve actions to achieve goals as well as modification of goals.

cybernetic models are well suited to the process of designing user interaction.

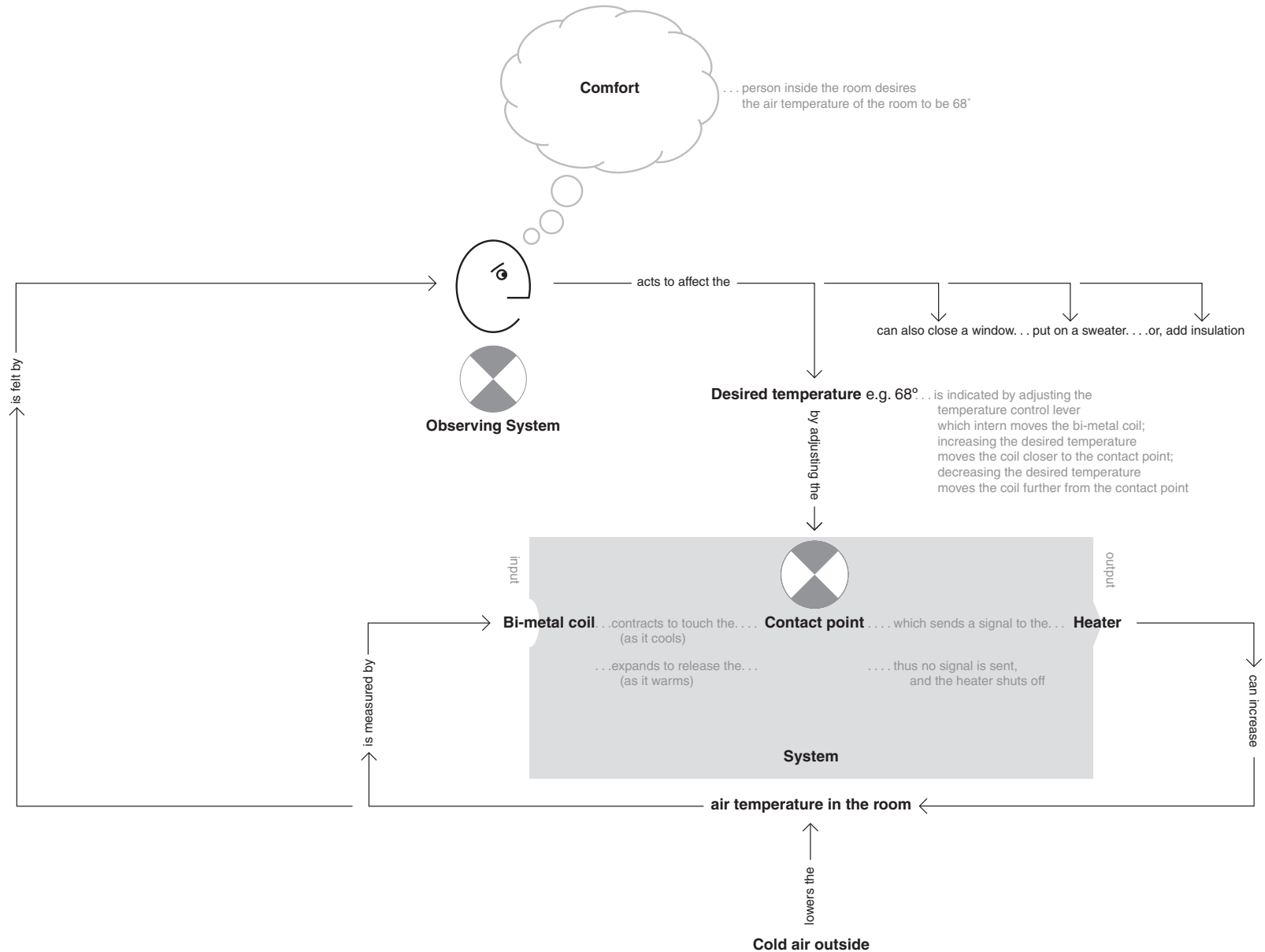
# Second-order Feedback: Formal Mechanism

An automatic feedback system (first-order) is controlled by another automatic feedback system (second-order). The first system is 'nested' inside the second.



## Second-order Feedback: Classic Example

### Person controlling a thermostat (regulating a regulator)

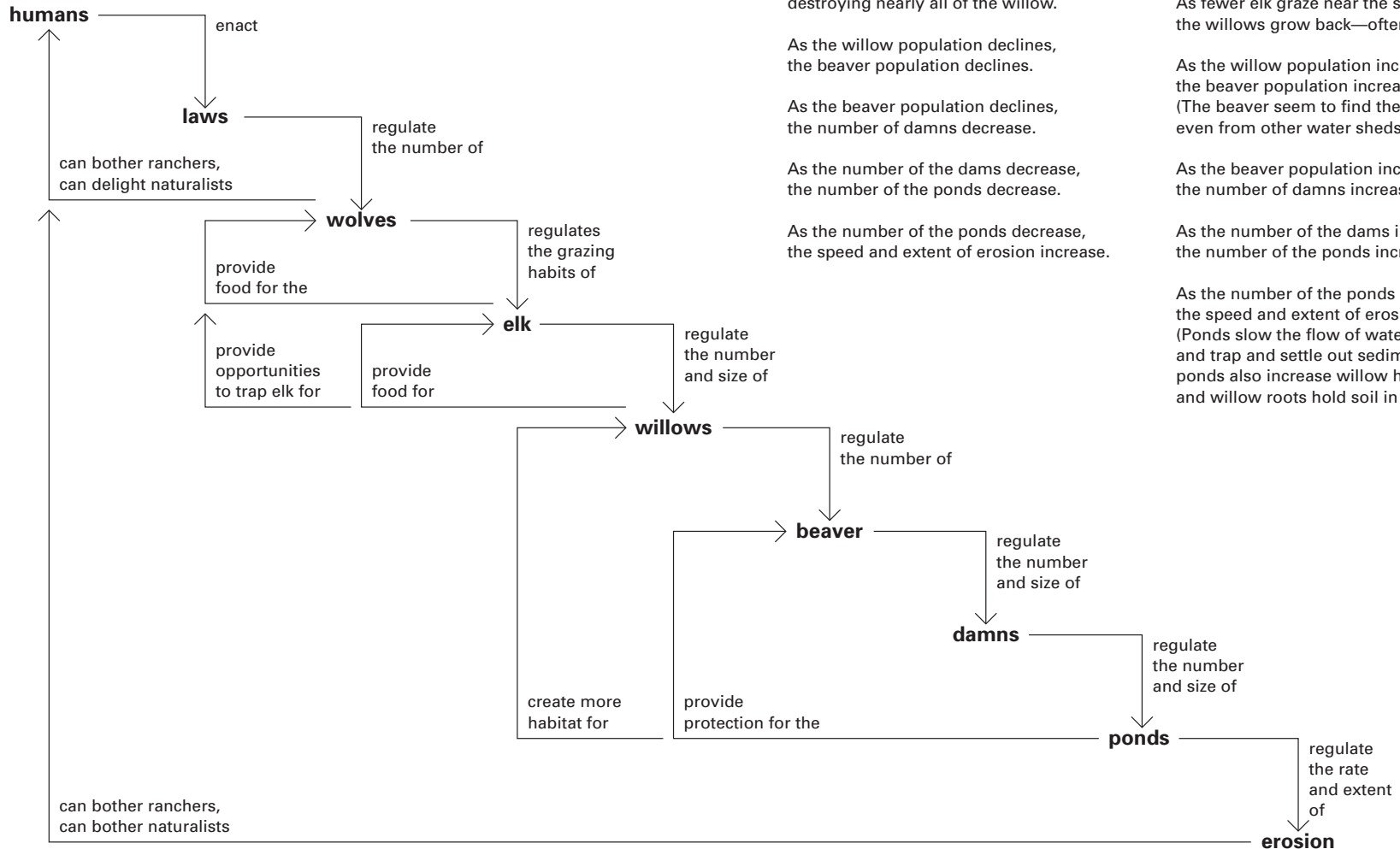


# Second-order Feedback: Biological Example

## The Role of Wolves in Regulating the Yellowstone Ecosystem

Decreasing the wolf population seemed to increase erosion (and created a more desert-like environment).

Conversely, restoring wolves seemed to reduce erosion (and restored much of the environment's diversity).



### Increasing Erosion

As the number of wolves drops, the level of elk grazing around streams (and the nearby willows) rises (an unexpected outcome).

As more elk graze near the streams, they destroy more and more willows—eventually (over many years) destroying nearly all of the willow.

As the willow population declines, the beaver population declines.

As the beaver population declines, the number of dams decrease.

As the number of the dams decrease, the number of the ponds decrease.

As the number of the ponds decrease, the speed and extent of erosion increase.

### Decreasing Erosion

As the number of wolves increases (after reintroduction), the level of elk grazing around streams (and the nearby willows) drops—presumably because the elk "sense" the increased danger in these areas where wolves can more easily trap them.

As fewer elk graze near the streams, the willows grow back—often quite rapidly.

As the willow population increases, the beaver population increases. (The beaver seem to find their way back even from other water sheds.)

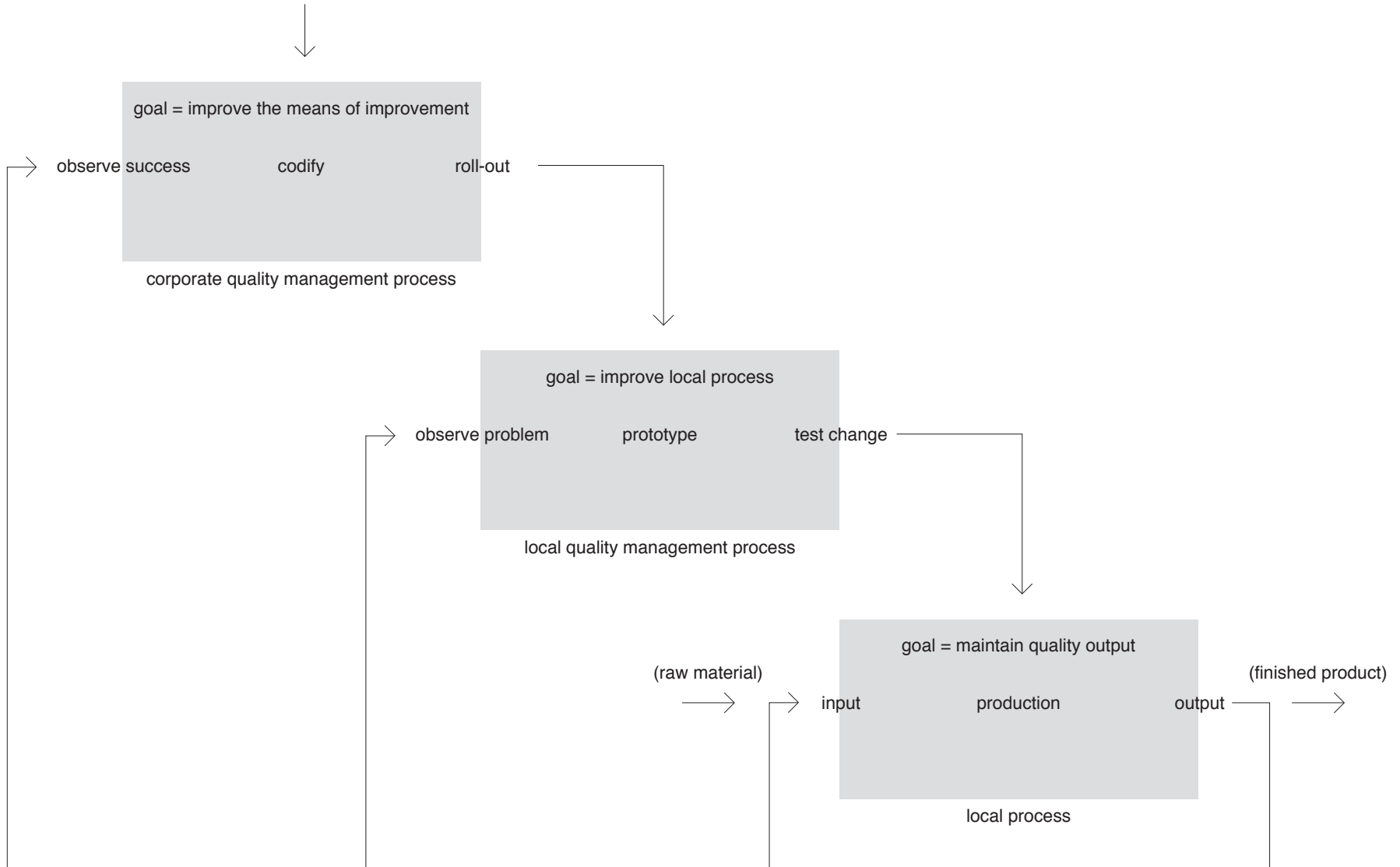
As the beaver population increases, the number of dams increase.

As the number of the dams increase, the number of the ponds increase.

As the number of the ponds increase, the speed and extent of erosion decrease. (Ponds slow the flow of water and trap and settle out sediment; ponds also increase willow habitat; and willow roots hold soil in place.)

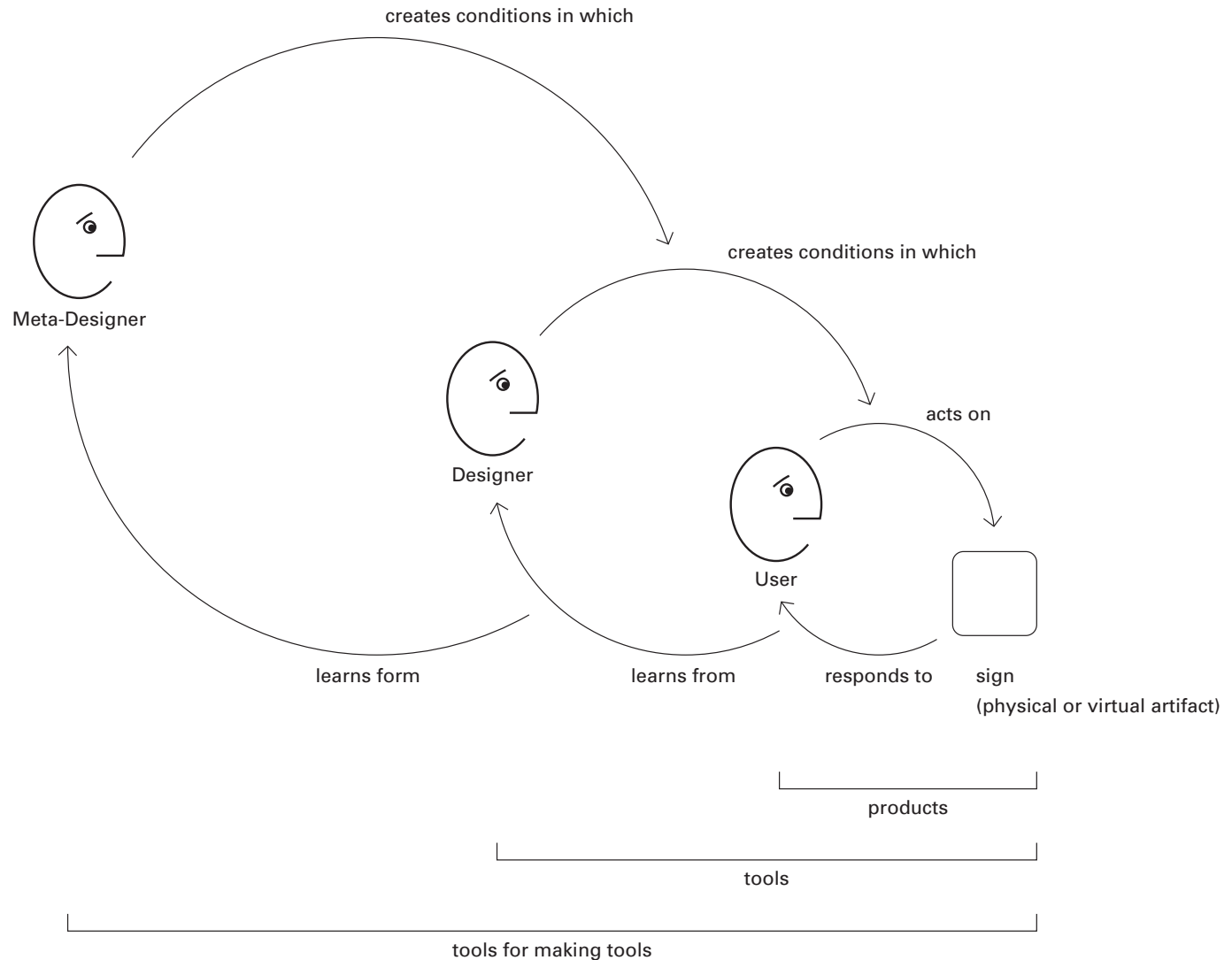
# Second-order Feedback: Social Example after Douglas Englebart

Organizational 'boot-strapping' process  
relies on nested feedback loops.



# Second-order Feedback: Social Example

## Levels of feedback in design processes





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# CYBERNETICS

CIRCULAR CAUSAL AND FEEDBACK MECHANISMS  
IN BIOLOGICAL AND SOCIAL SYSTEMS

---

*Transactions of the Tenth Conference  
April 22, 23, and 24, 1953, Princeton, N. J.*

*Edited by*

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**Heinz von Foerster**



# **OBSERVING SYSTEMS**



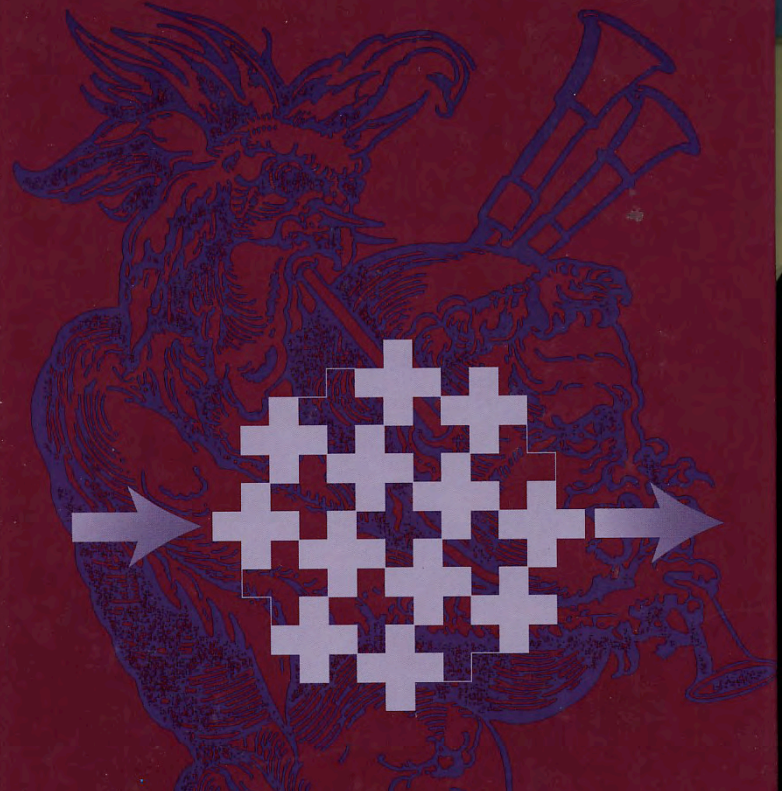
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# **Understanding Understanding**

Essays on Cybernetics and Cognition

**Heinz von Foerster**





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\* This is the final conference.

† Absent.


† Absent.



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Murray Hill, N. J.

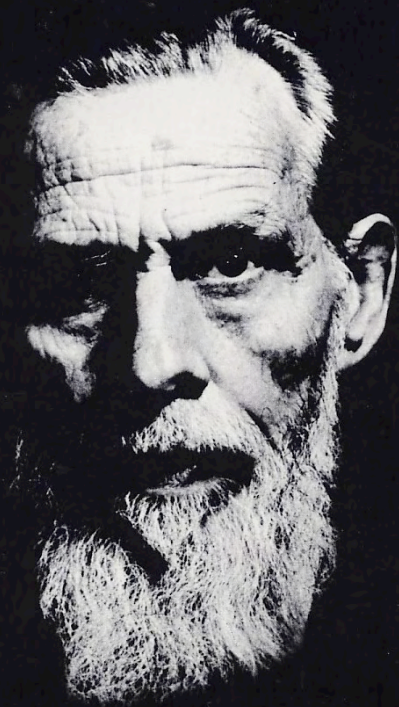
THE JOSIAH MACY, JR. FOUNDATION

FRANK FREMONT-SMITH, *Medical Director*  
JANET FREED LYNCH, *Assistant for the Conference Program*

\* This is the final conference.

† Absent.

† Absent.



Warren S. McCulloch  
**EMBODIMENTS OF MIND**

Introduction by Seymour Papert

New Foreword by Jerome Y. Lettvin

# second-order views of cybernetics

The science of observ<sup>ing</sup> systems.

– *Heinz von Foerster*

Cybernetics of Cybernetics.

– *Margaret Mead*

The art of defensible metaphors.

– *Gordon Pask*

The science and art of human understanding.

– *Humberto Maturana*



# how has cybernetics influenced design theory?

*begins in complex problems early in 20th century—then...*

Hochschule für Gestaltung in Ulm, Germany

Norbert Wiener and Martin Heidegger lecture there

Bucky Fuller and Charles Eames visit

British and American design school faculty visit

Christopher Alexander and Horst Rittel teach there, then at Berkeley

Venturi's "Learning from Las Vegas" in 1972 marks the symbolic end of Design Methods as a focus in architecture

About the same time, John Chris Jones and Chris Alexander repudiate Design Methods

In 1972, Rittel critiques the state of design methods, calls for a shift to **design as rhetoric**, echoing 2nd-order cybernetics

# Rittel's Problems

## **simple problems**

question is clear—we only need to provide an answer

*most design problems given in school are like this*

## **complex problems**

we frame the current situation in order to show how it differs from a preferred situation

*most design problems encountered in practice are like this*

## **wicked problems**

participants hold conflicting views of the problem

coming to agreement on the problem is impossible—without reframing

reframing is a process of construction and agreement

*the most important problems of the 21st century are like this*

1st-order  
cybernetics

2nd-order  
cybernetics

# why is cybernetics a science for design?

3 big reasons

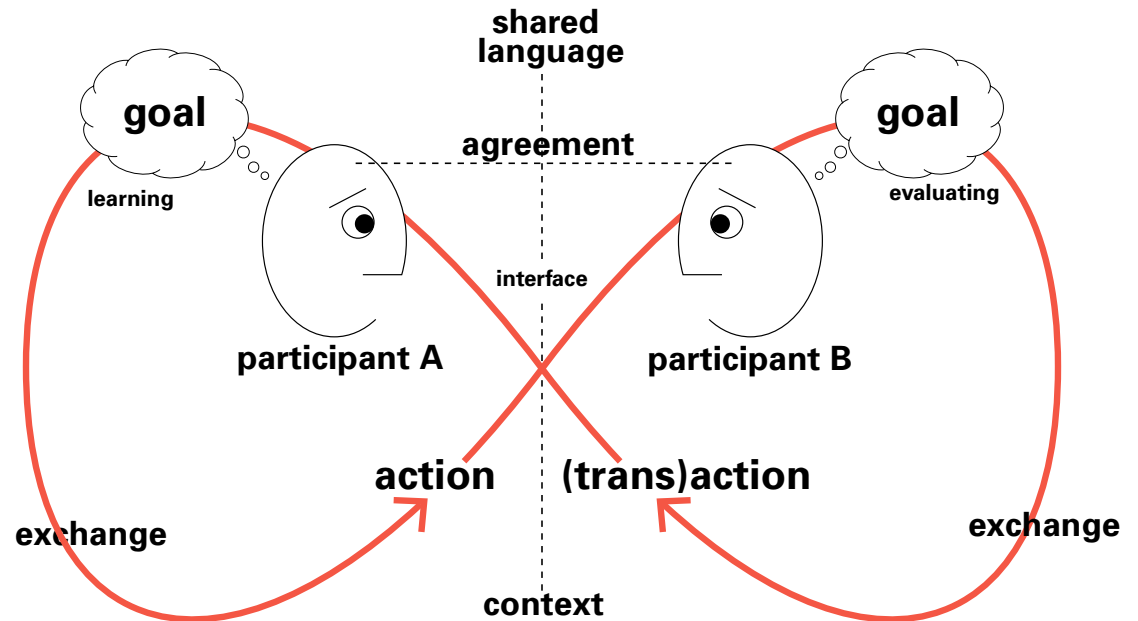
i. conversation

ii. requisite variety

iii. co-evolution

# i. conversation models

cybernetics has a rigorous definition of conversation



GORDON PASK

# CONVERSATION, COGNITION AND LEARNING



A CYBERNETIC THEORY  
AND METHODOLOGY

ELSEVIER

GORDON PASK

# CONVERSATION THEORY

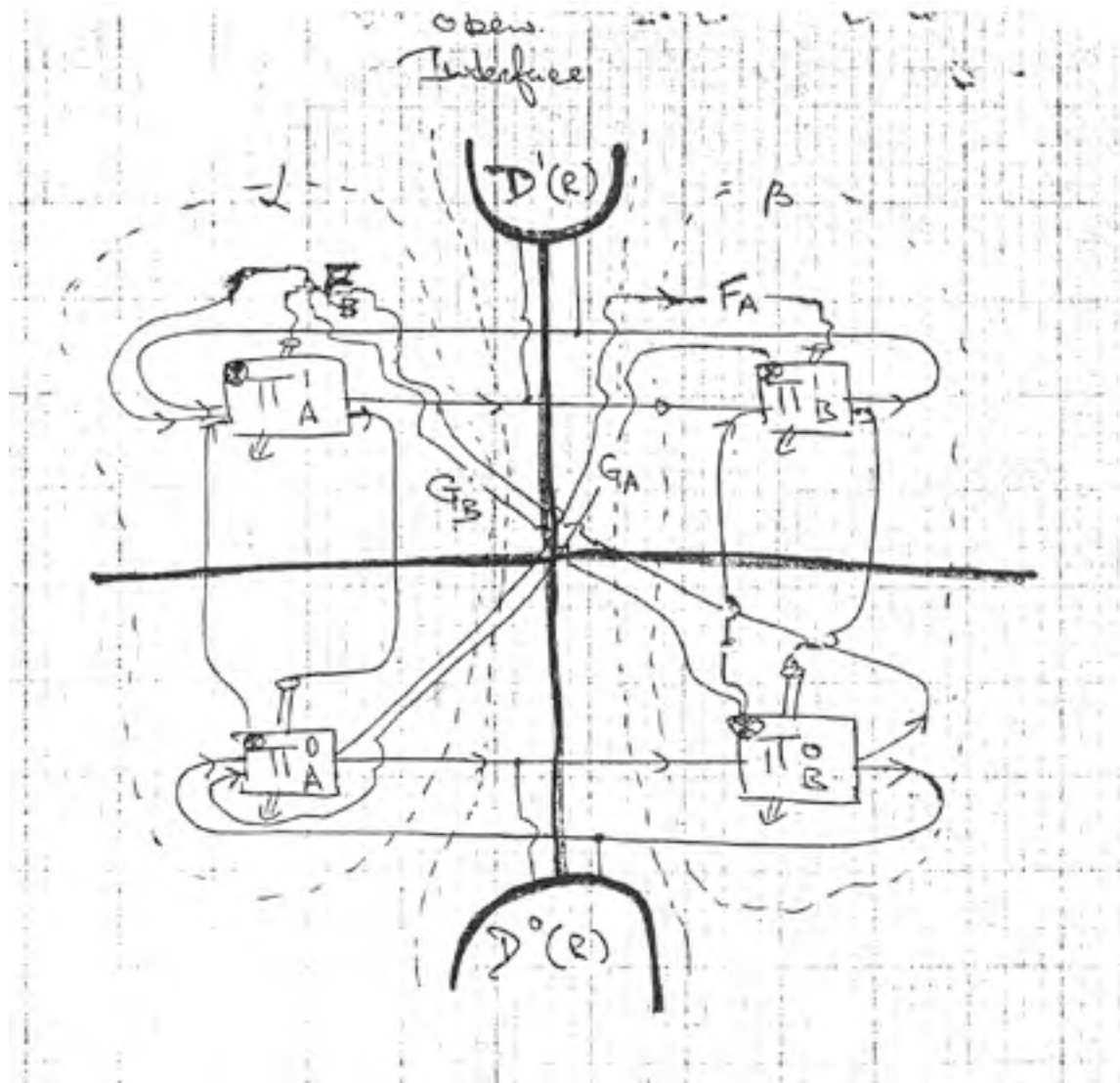


APPLICATIONS IN EDUCATION  
AND EPISTEMOLOGY

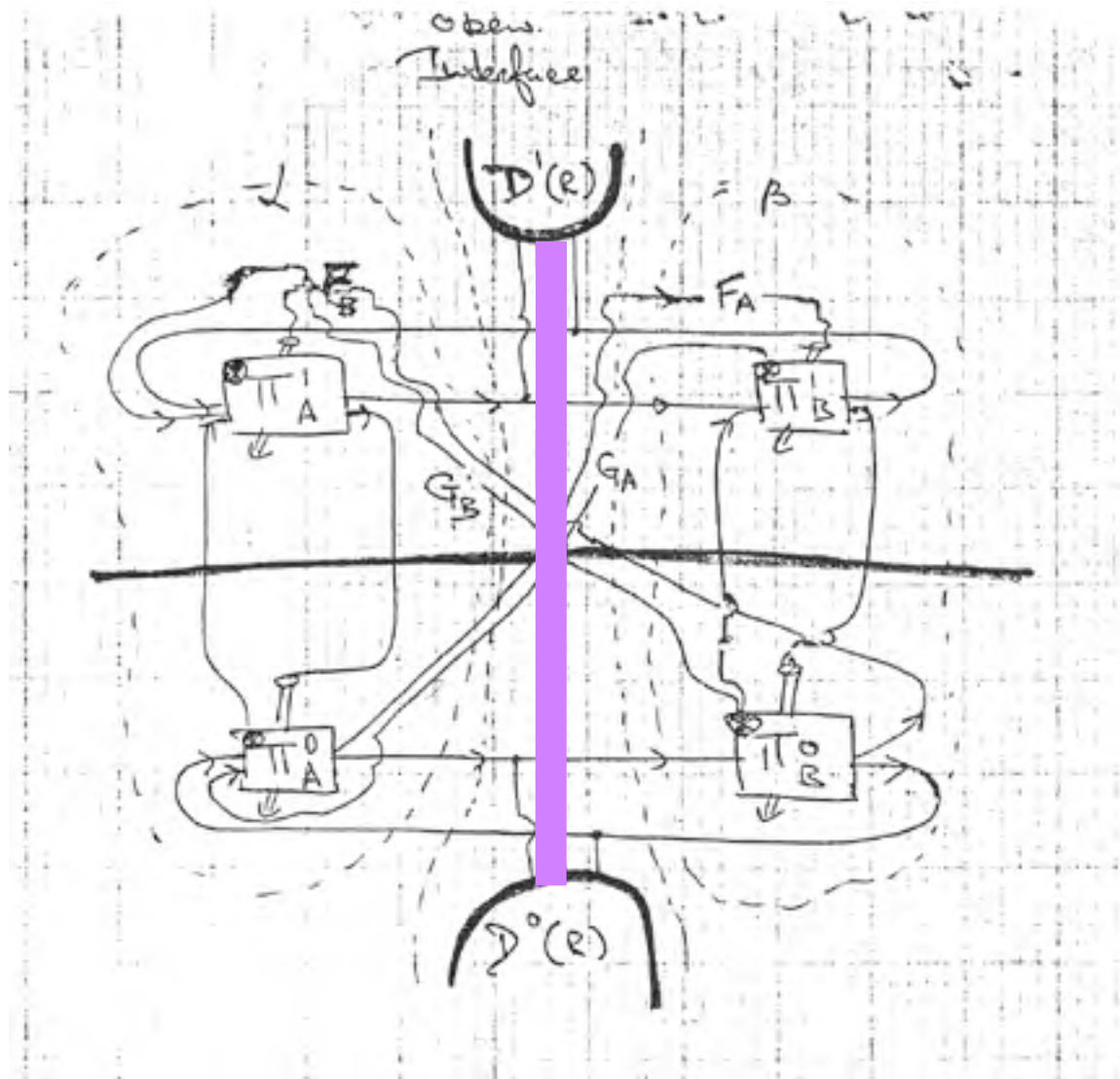
ELSEVIER



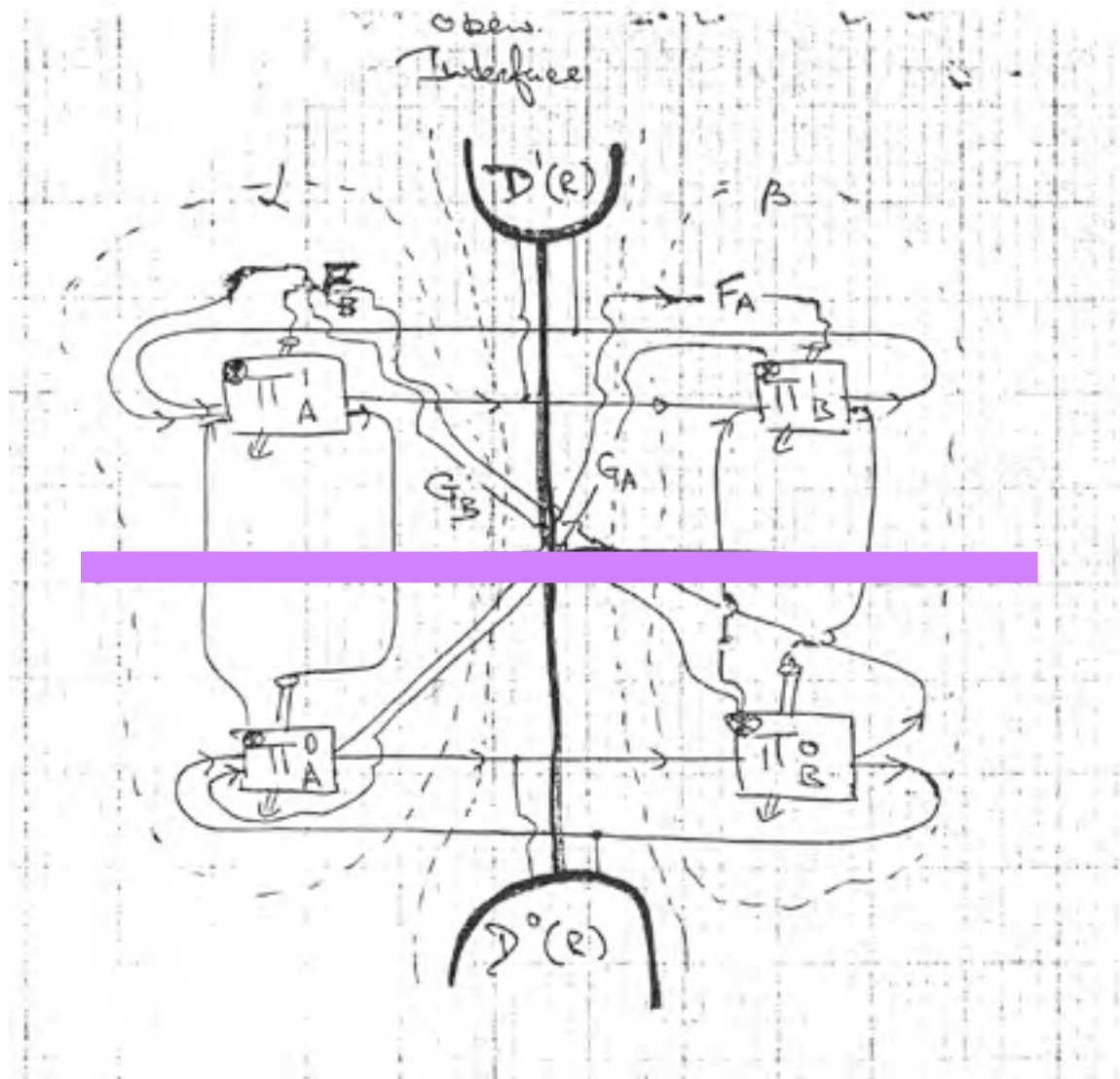
# Pask's own rendering—in *Soft Architecture Machines*



architecture—solitary action—individuals

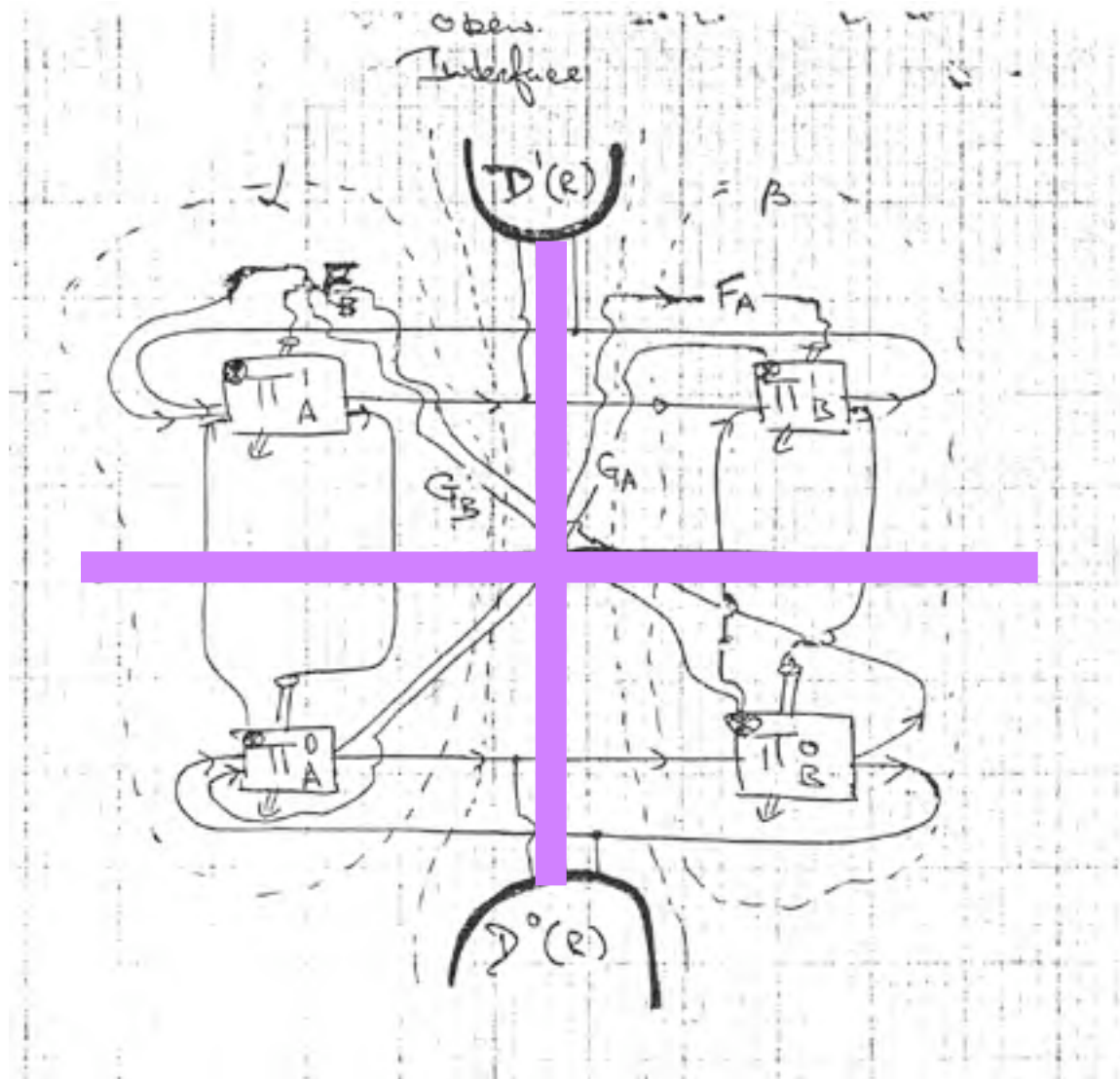


architecture—conversation—participants

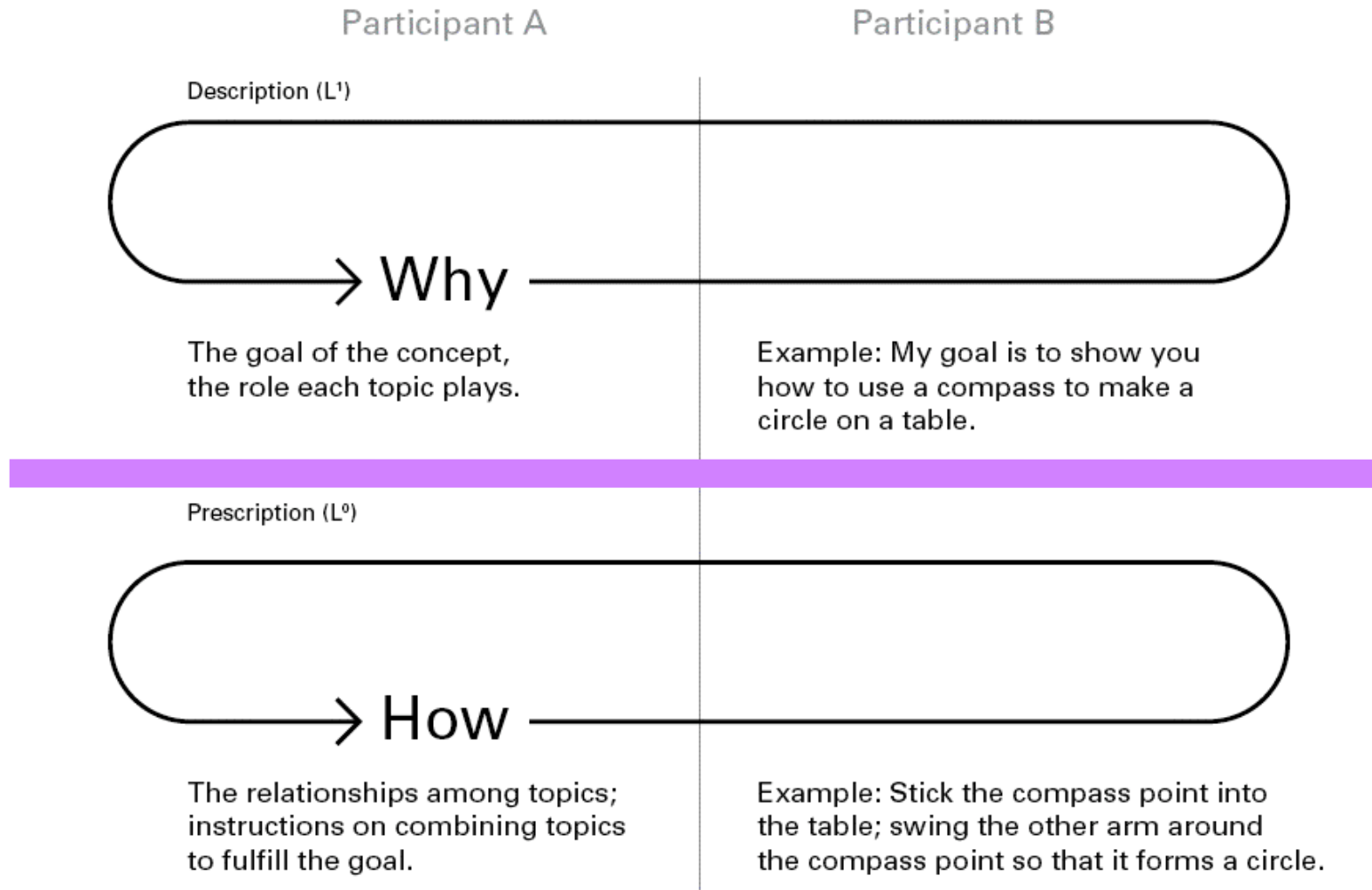




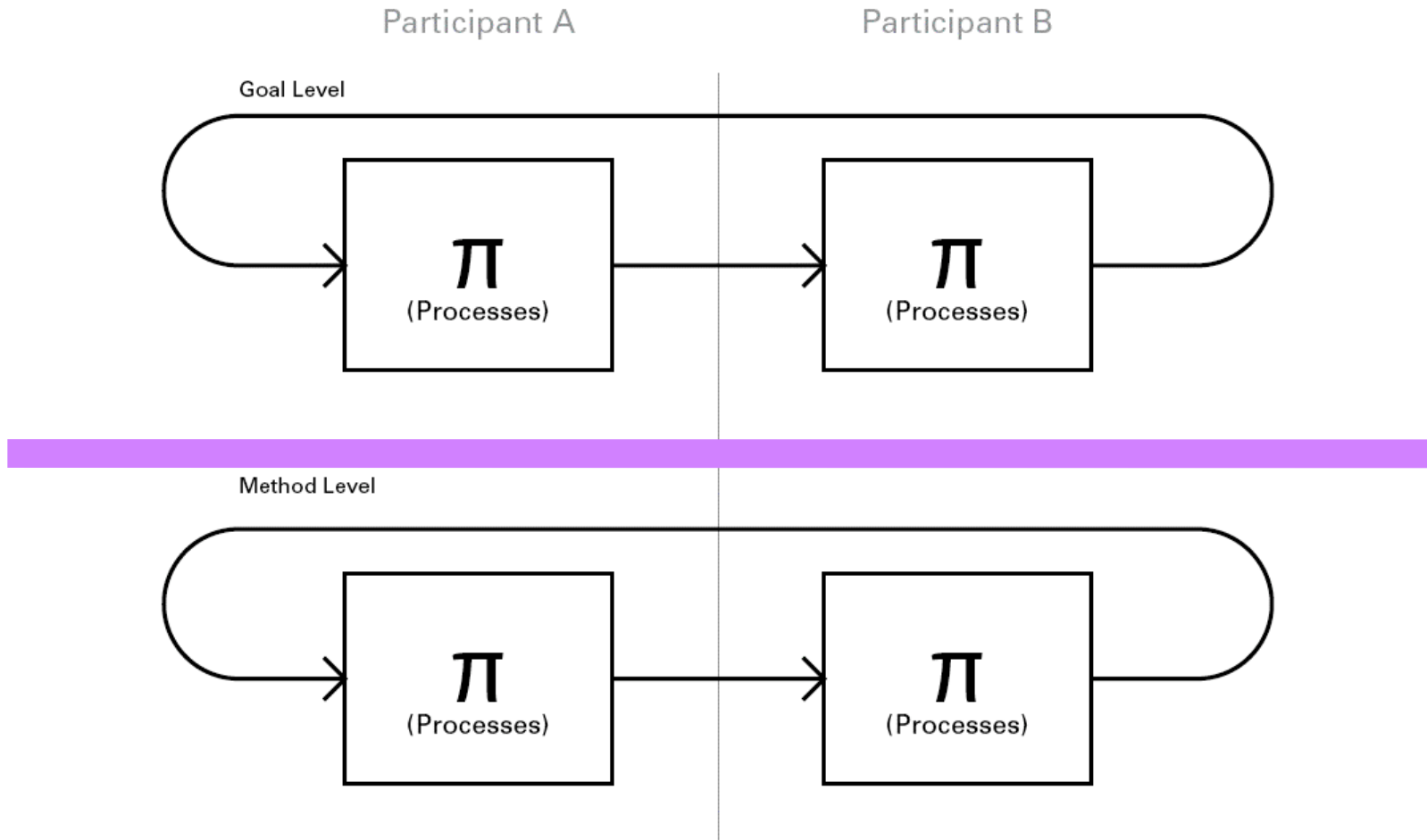
architecture—levels—conversation



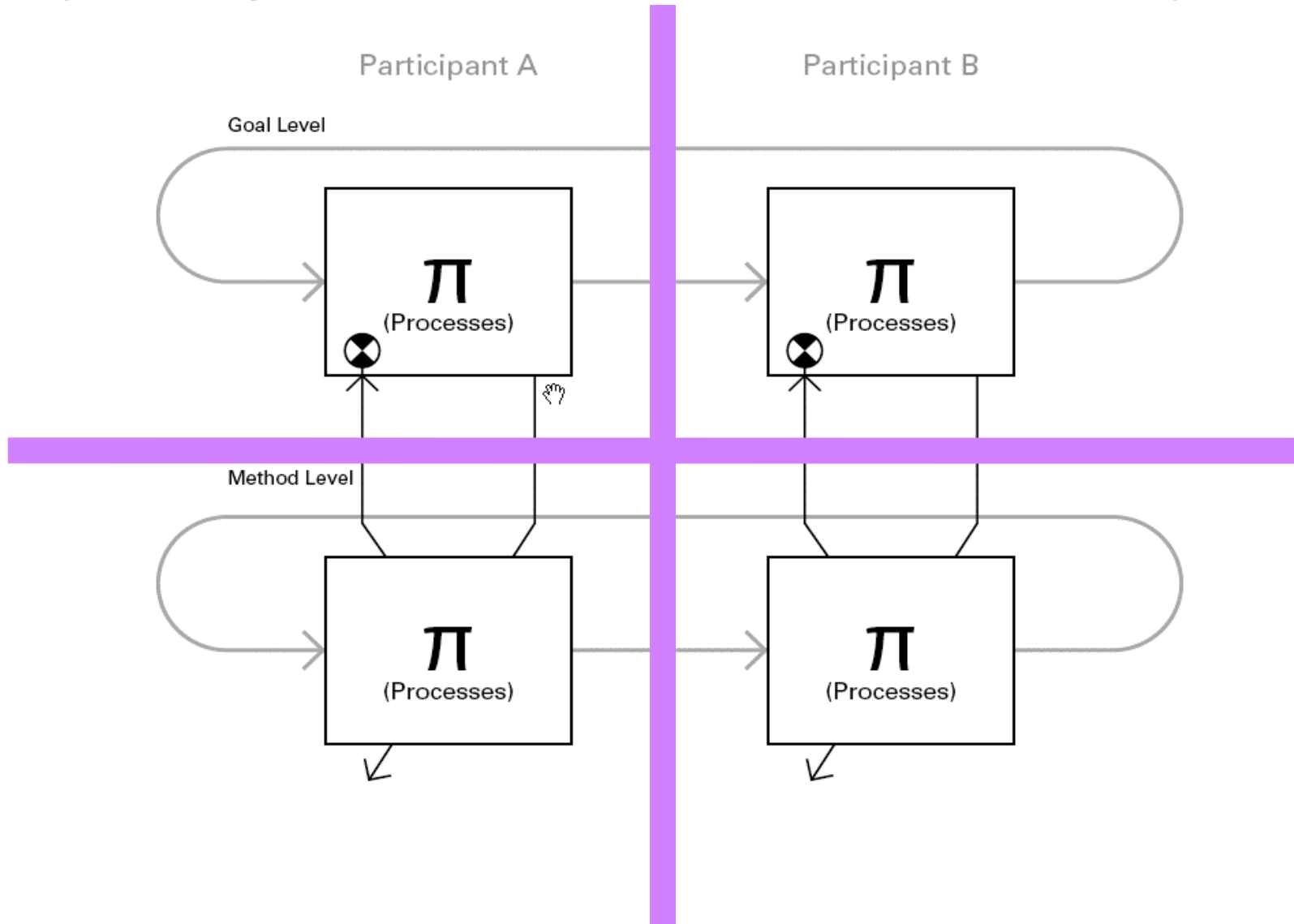
# gordon pask—circular interactions—modeling



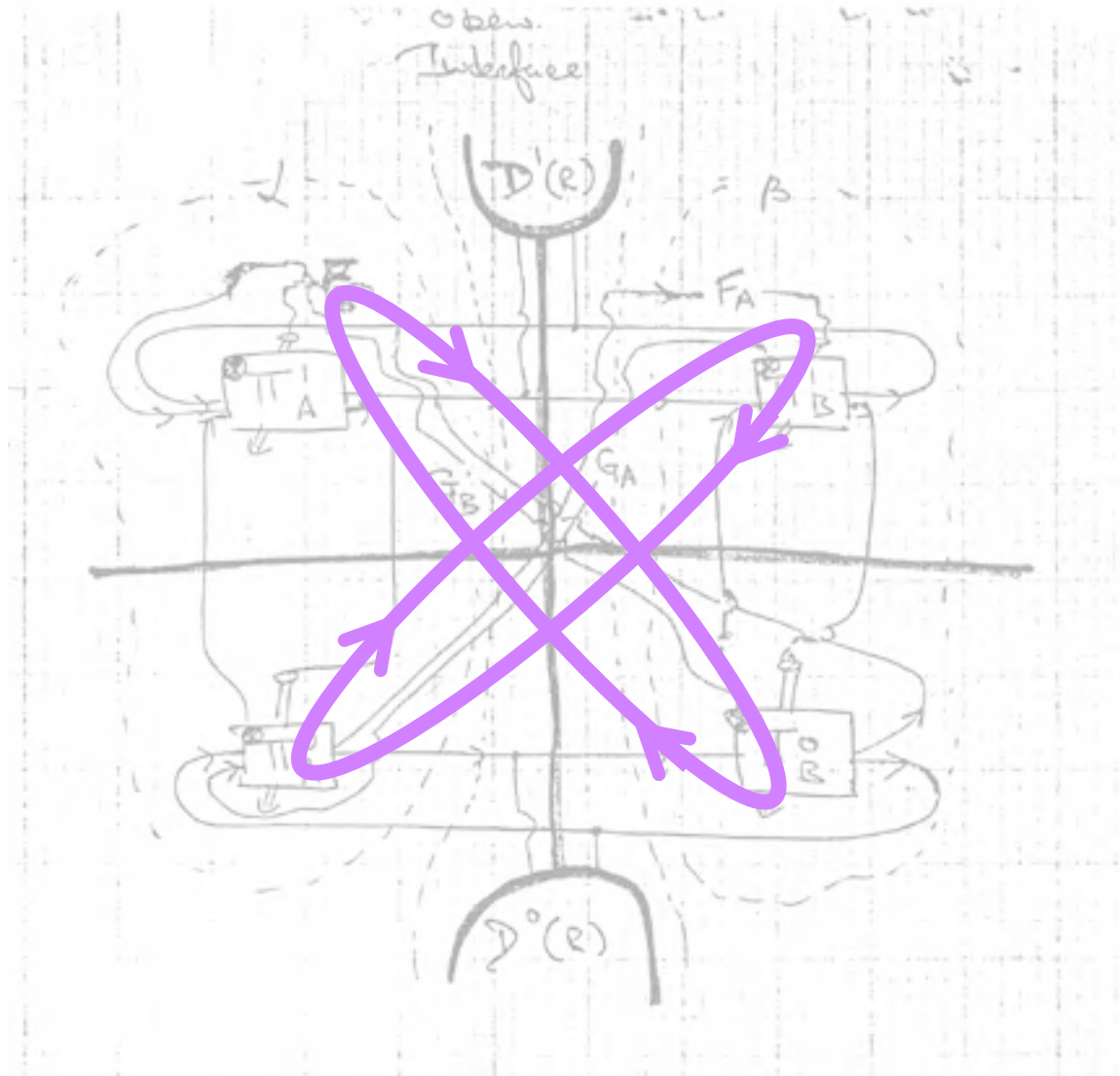
# gordon pask—circular interactions—modeling



# gordon pask—circular interactions—modeling



dance—contention—shared outcomes



# i. conversation models

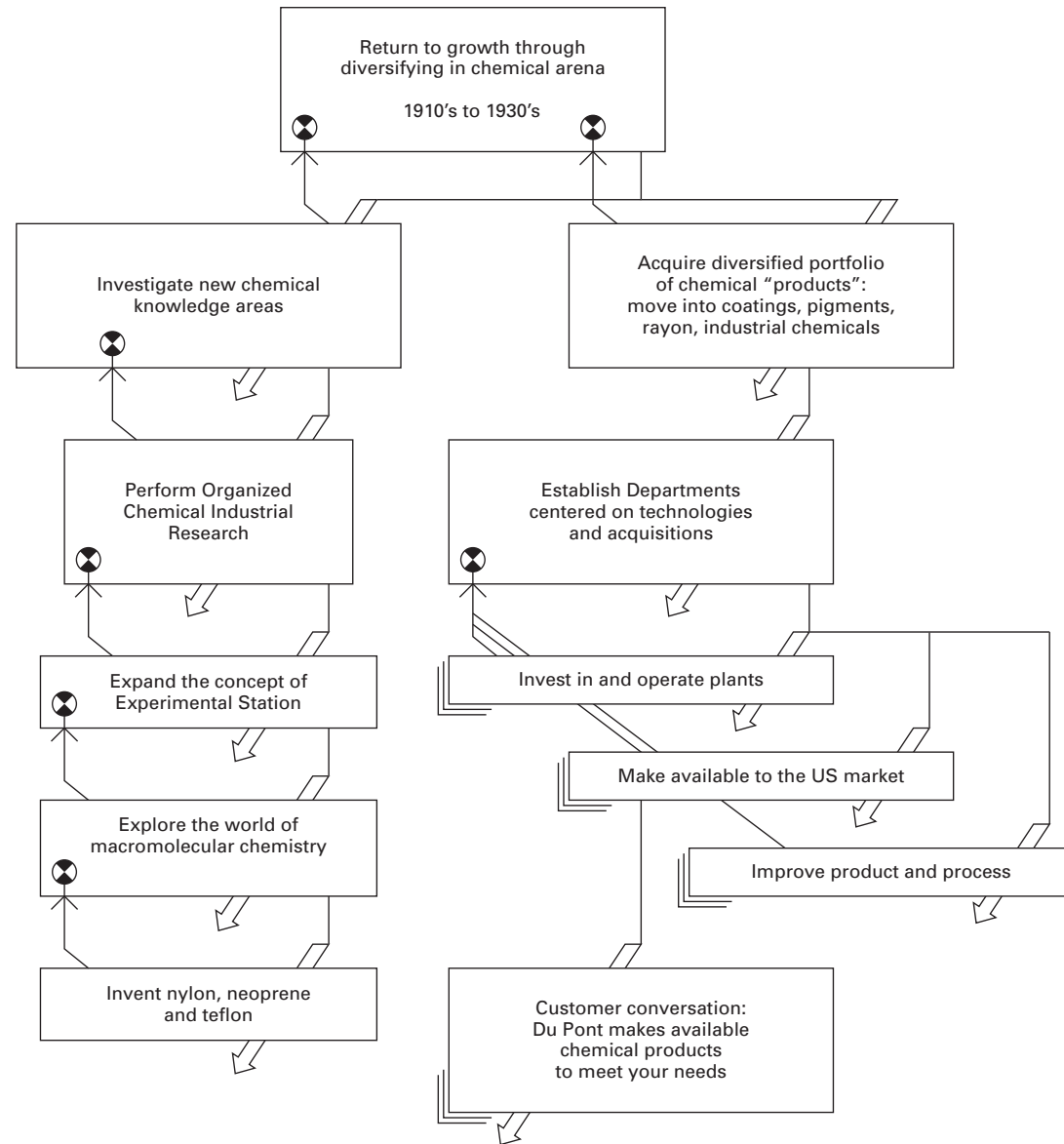
cybernetics has a rigorous definition of conversation, making it practical to “design for conversation”

a. organizational interfaces

# Du Pont Goal Structure

## Snapshot 1910 to 1940

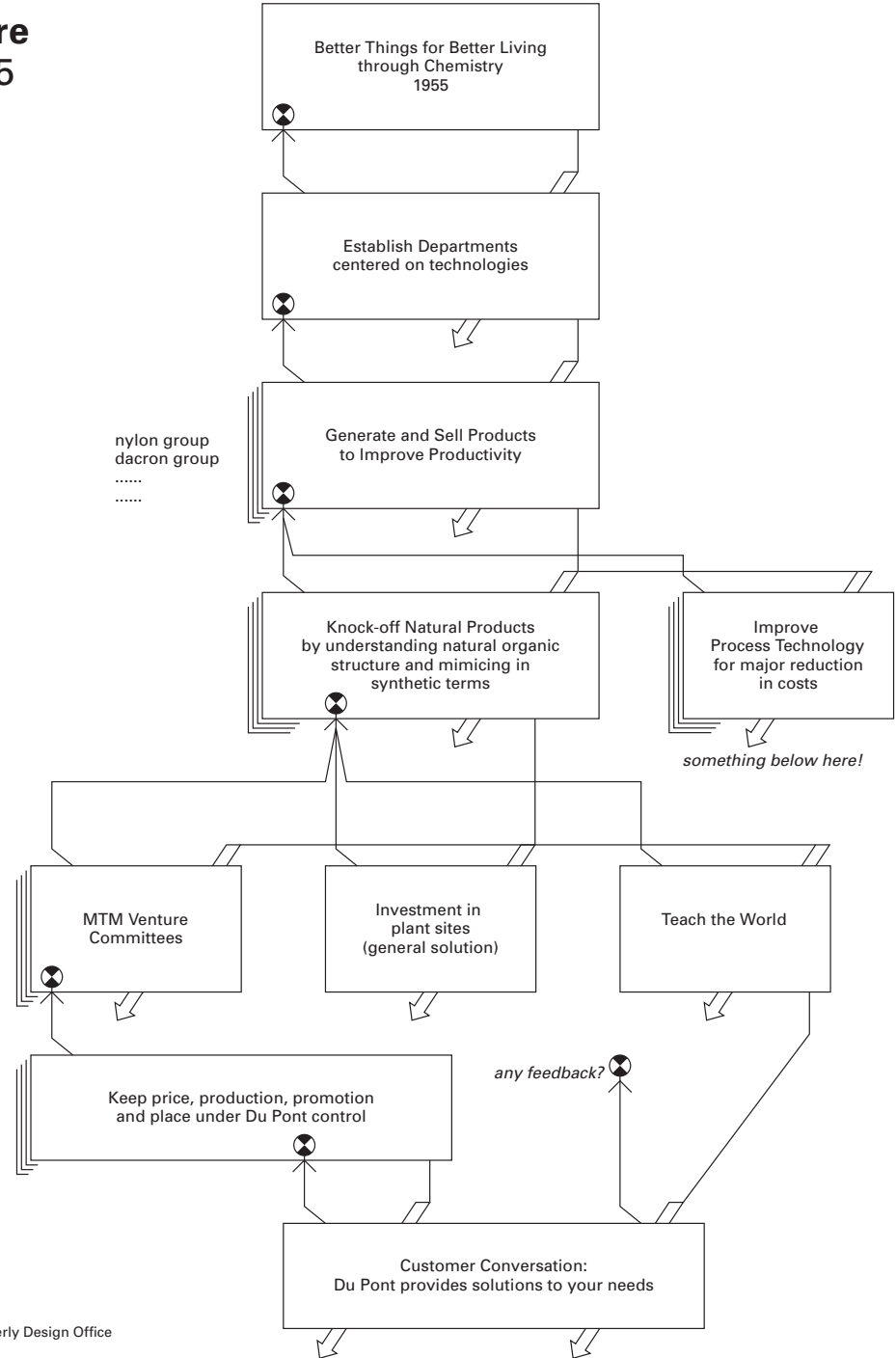
Laid the foundation for a new business—  
“invention” phase.



# Du Pont Goal Structure

## Snapshot 1940 to 1975

Built on the foundation—  
“discovery” phase.

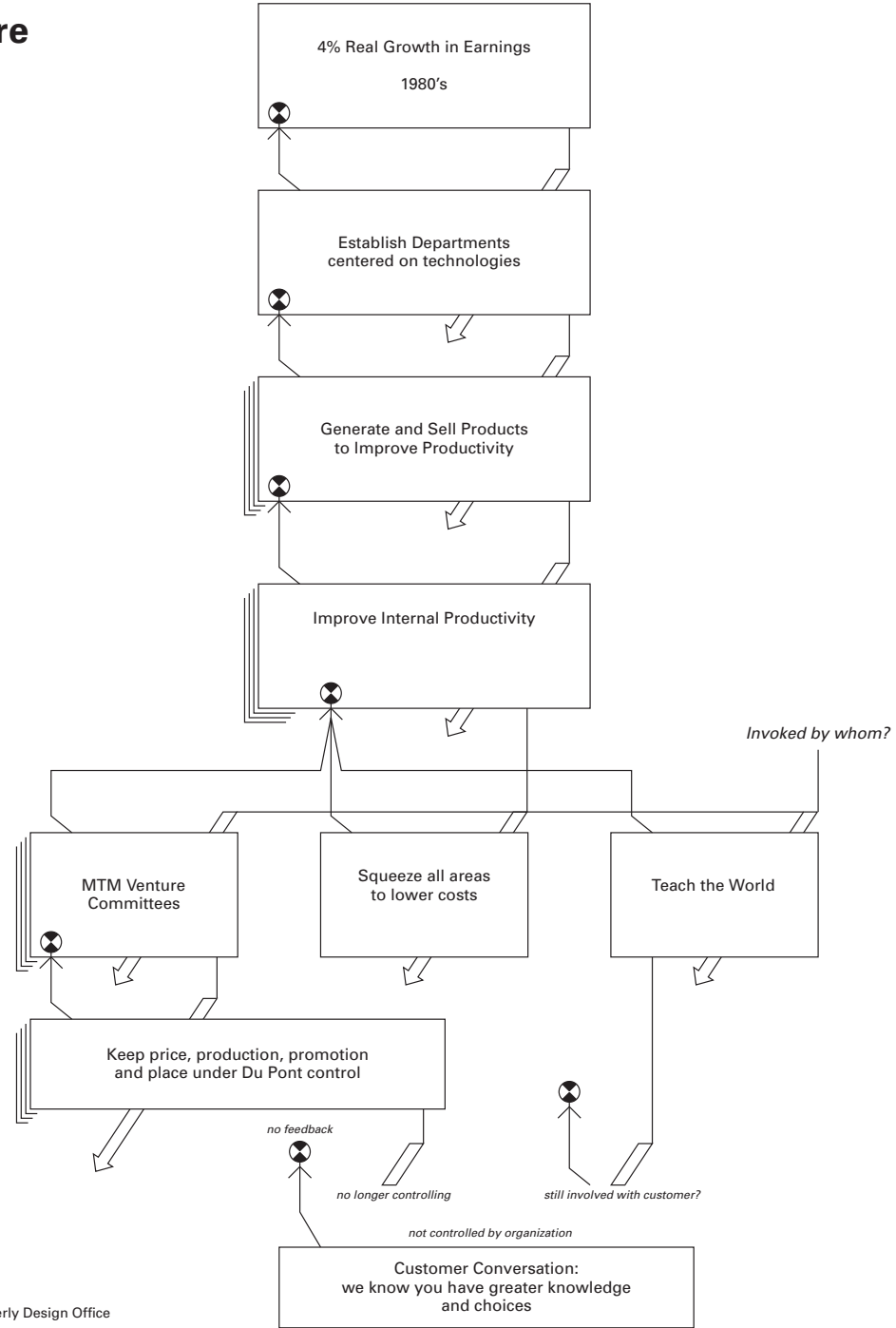




# Du Pont Goal Structure

## Snapshot of 1980's

Milked the existing structure—  
“efficiency” phase.

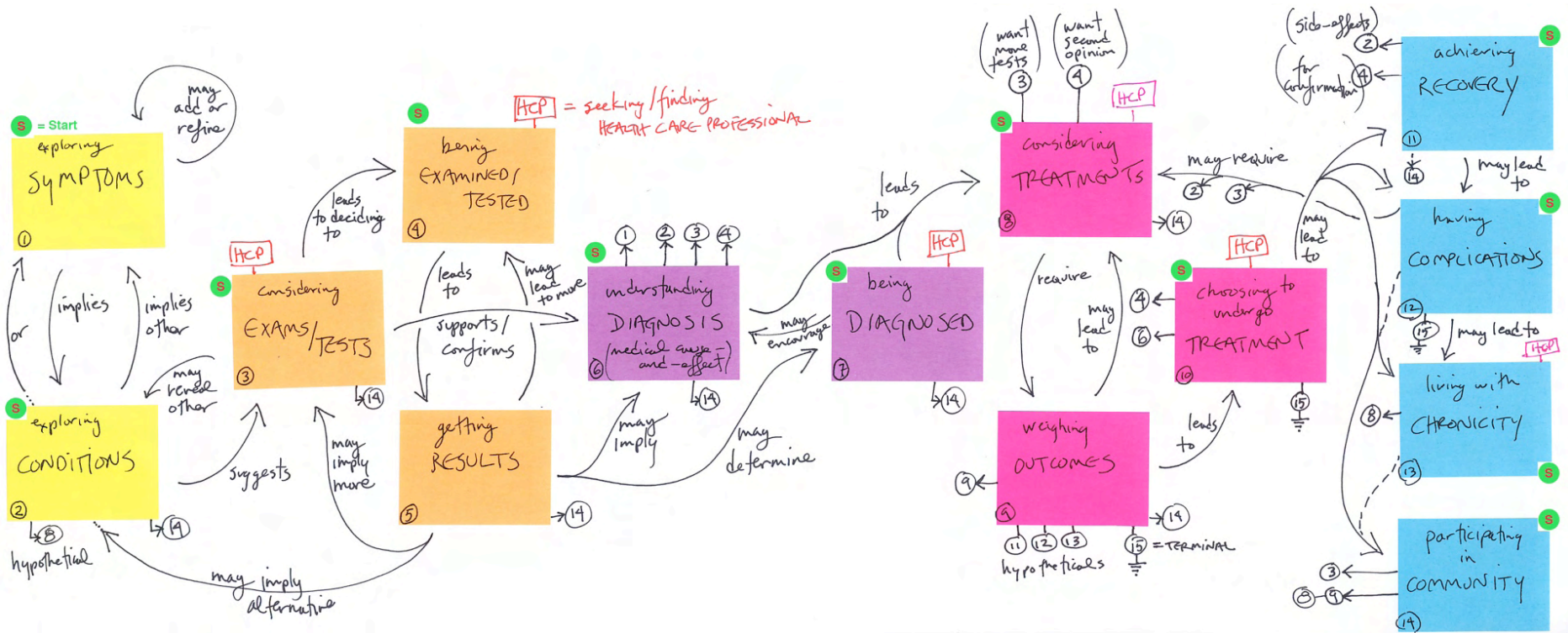


# i. conversation models

cybernetics has a rigorous definition of conversation, making it practical to “design for conversation”

a. organizational interfaces

b. user interfaces / conversing with myself



# i. conversation models

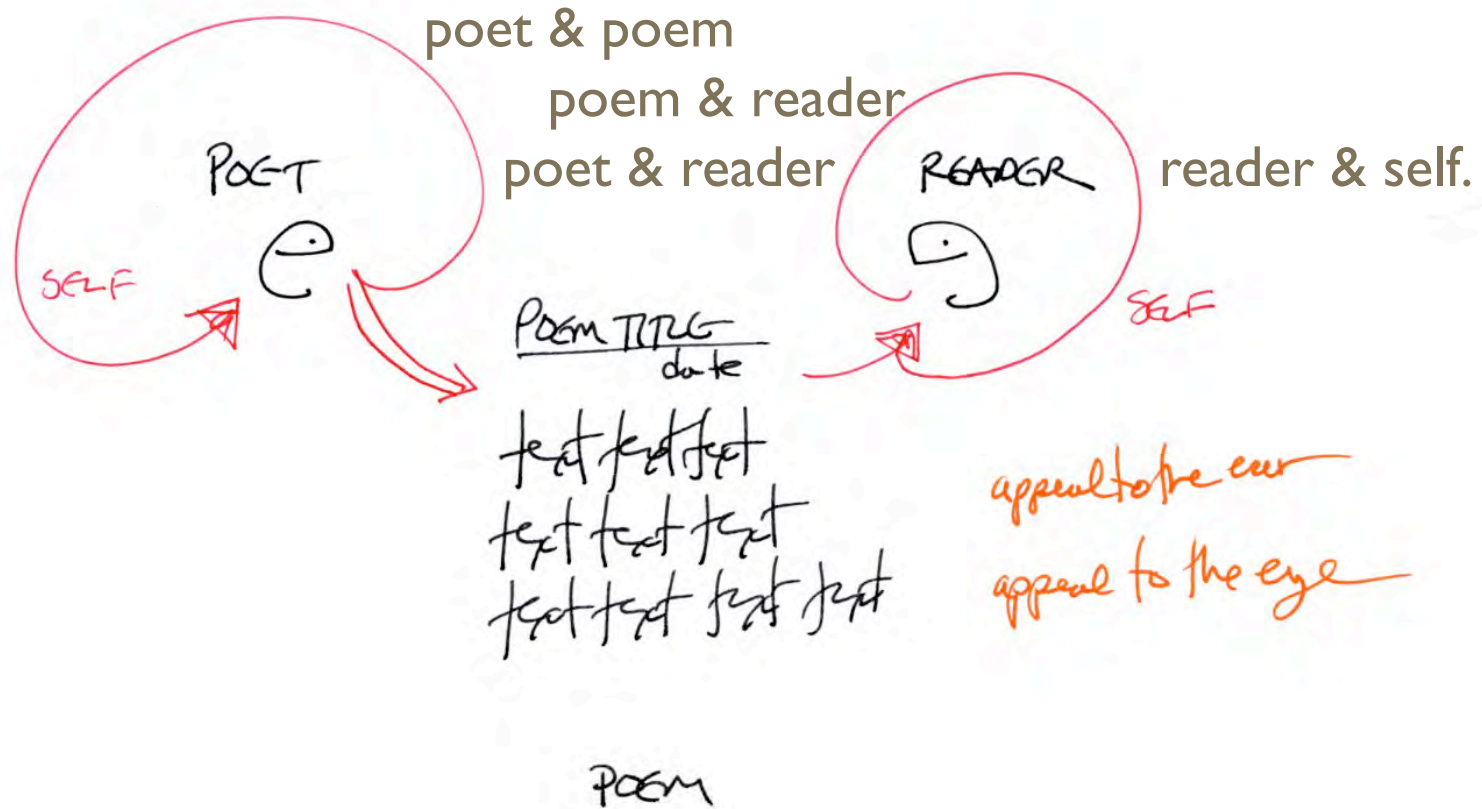
cybernetics has a rigorous definition of conversation, making it practical to “design for conversation”

a. organizational interfaces

b. user interfaces / conversing with myself

c. user interfaces / conversing with absent-other

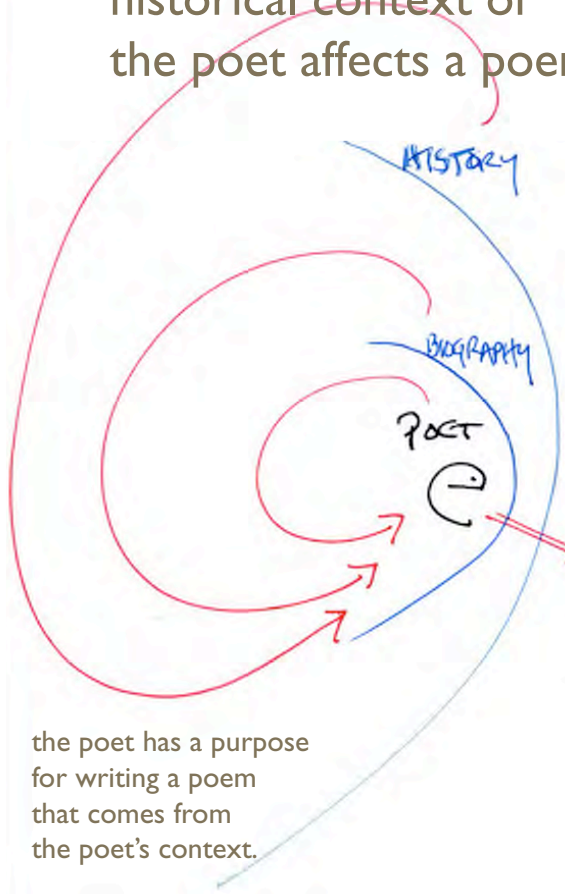
poetry is essentially dialog. dialog is a dynamic relationship.  
poetrymachine's purpose is to enact dialog between  
poet & self



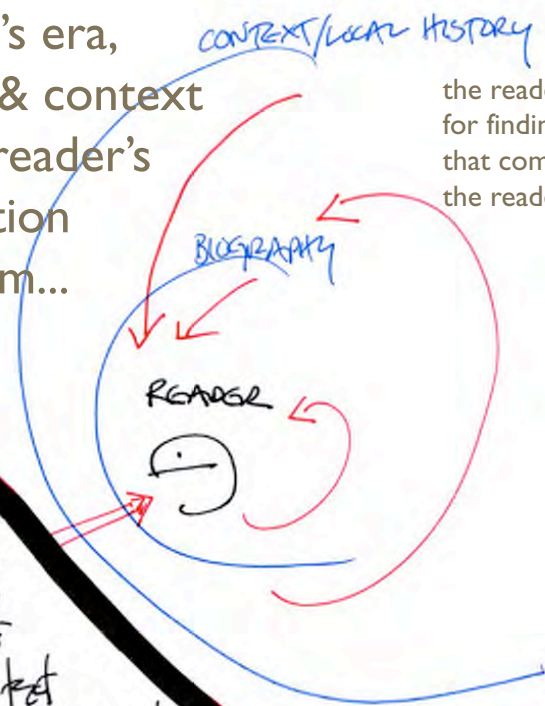
just as the biography & historical context of the poet affects a poem, the reader's era, biography & context

affect the reader's interpretation of the poem...

the reader has a purpose for finding and reading poems that comes from the reader's context.



the poet has a purpose for writing a poem that comes from the poet's context.



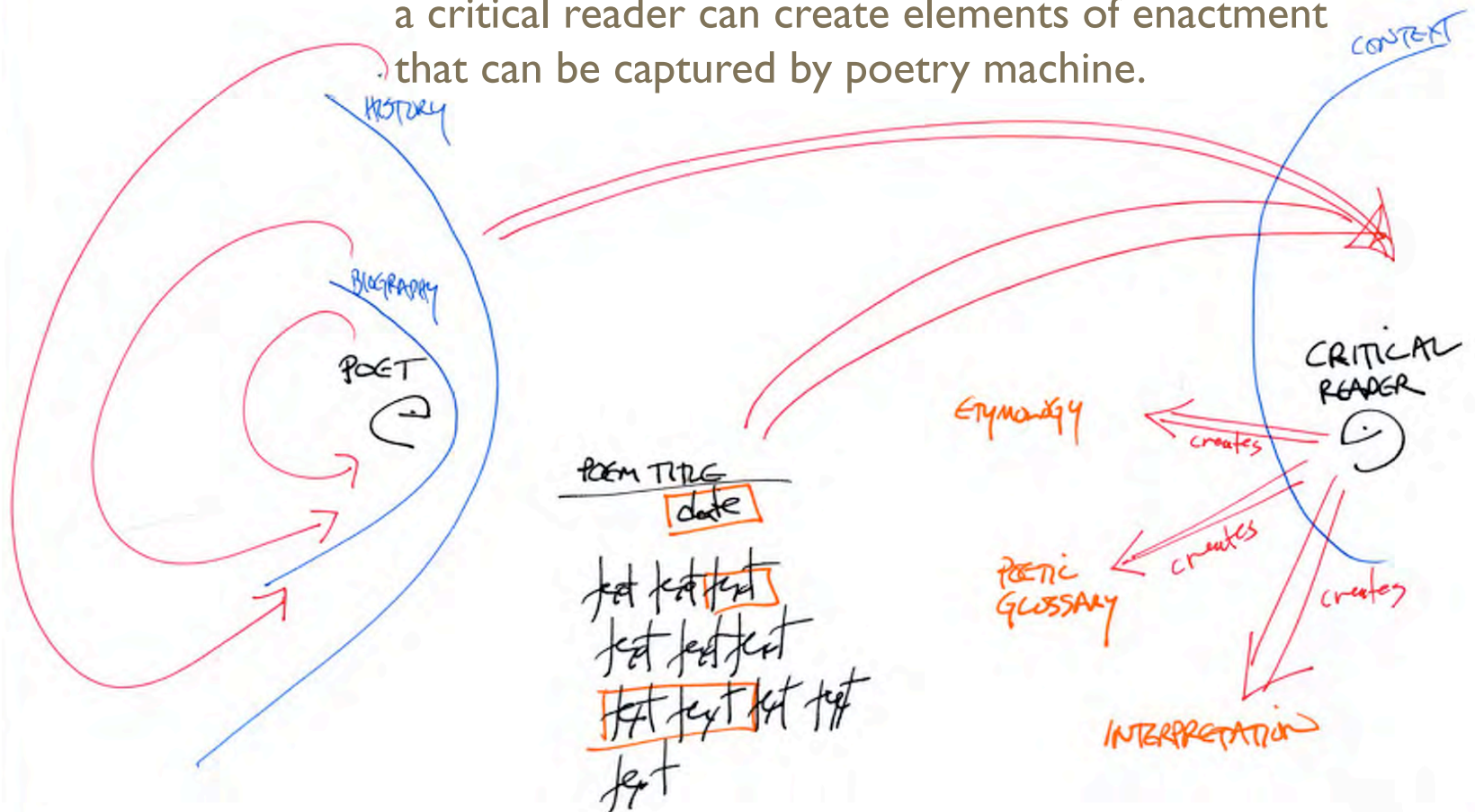
POEM TITLE  
date  
test test test  
test test test  
test test test  
test.

POEM

... and create a potential barrier to appreciation for the reader.

opportunity + barrier for enactment

from an understanding of the poet's biography,  
historical context, and the poem itself,  
a critical reader can create elements of enactment  
that can be captured by poetry machine.



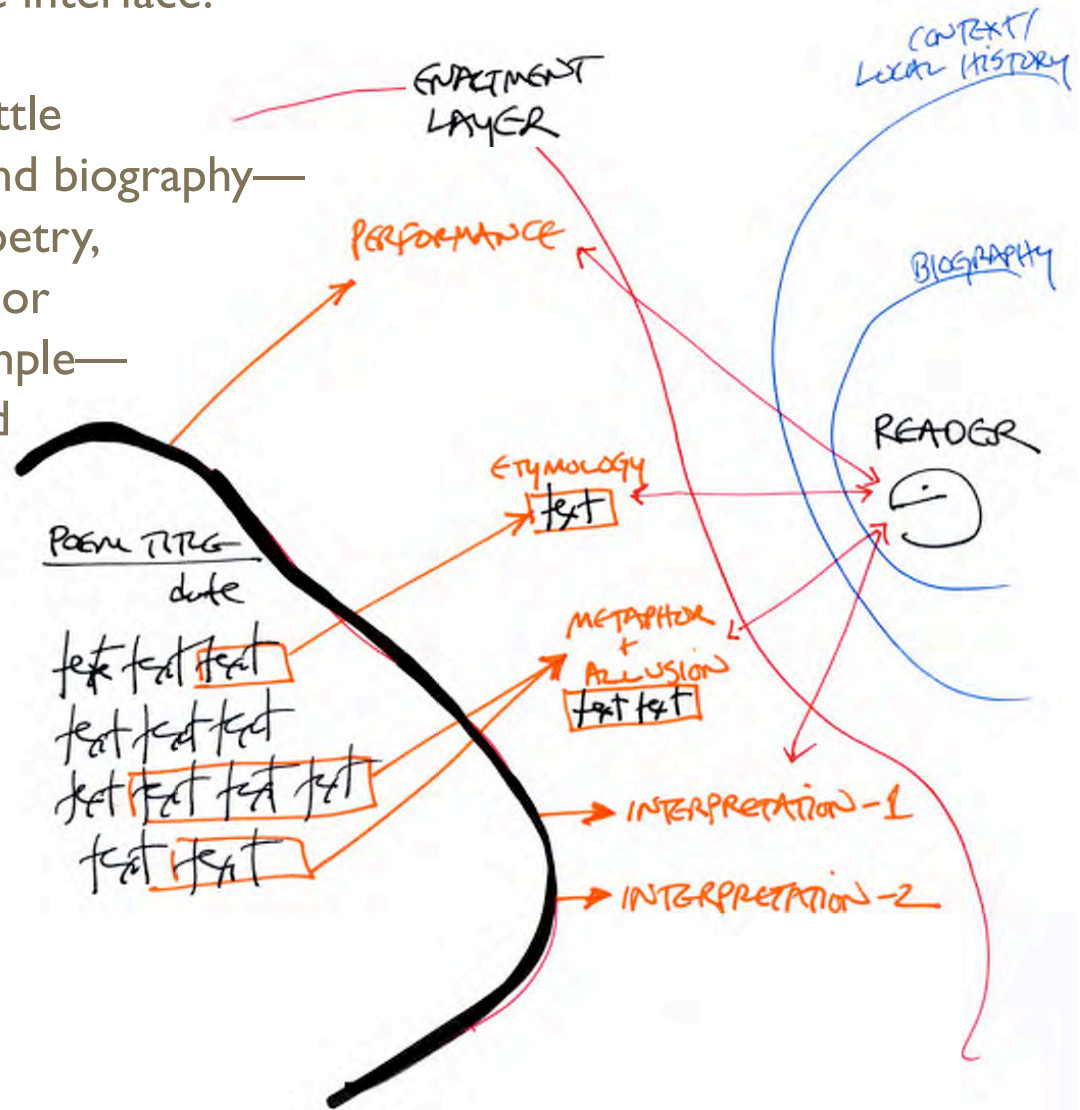
many readers could provide these perspectives,  
giving poetry machine a rich storehouse of enactments.



poetrymachine's storehouse of enactments creates a dynamic software interface.

if poetrymachine knows a little about a reader's context and biography—level of experience with poetry, purpose in seeking poetry, or prior poems read, for example—it can create a personalized enactment layer by choosing specific elements of enactment to present to that specific reader.

the enactment layer enables a dialog that connects poem & reader, poet & reader, reader & self.



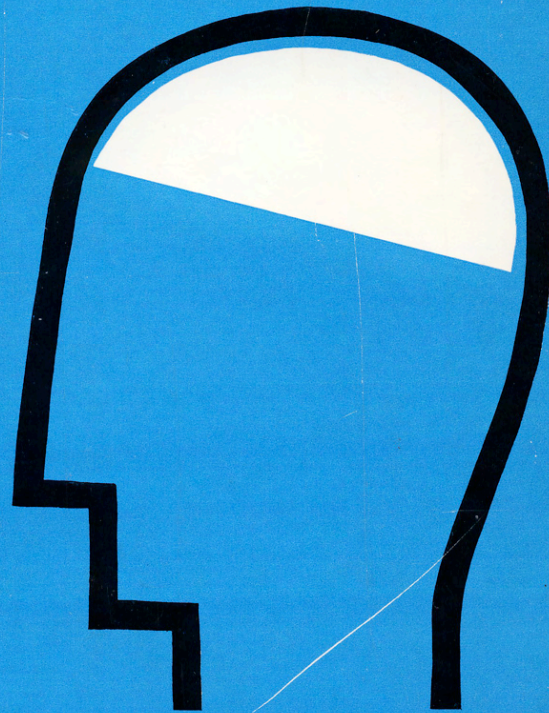


## ii. requisite variety

cybernetics has a rigorous definition  
of the limitations of a system  
to achieve its goal

# **Design for a Brain**

W. ROSS ASHBY



Science Paperbacks 

# environment

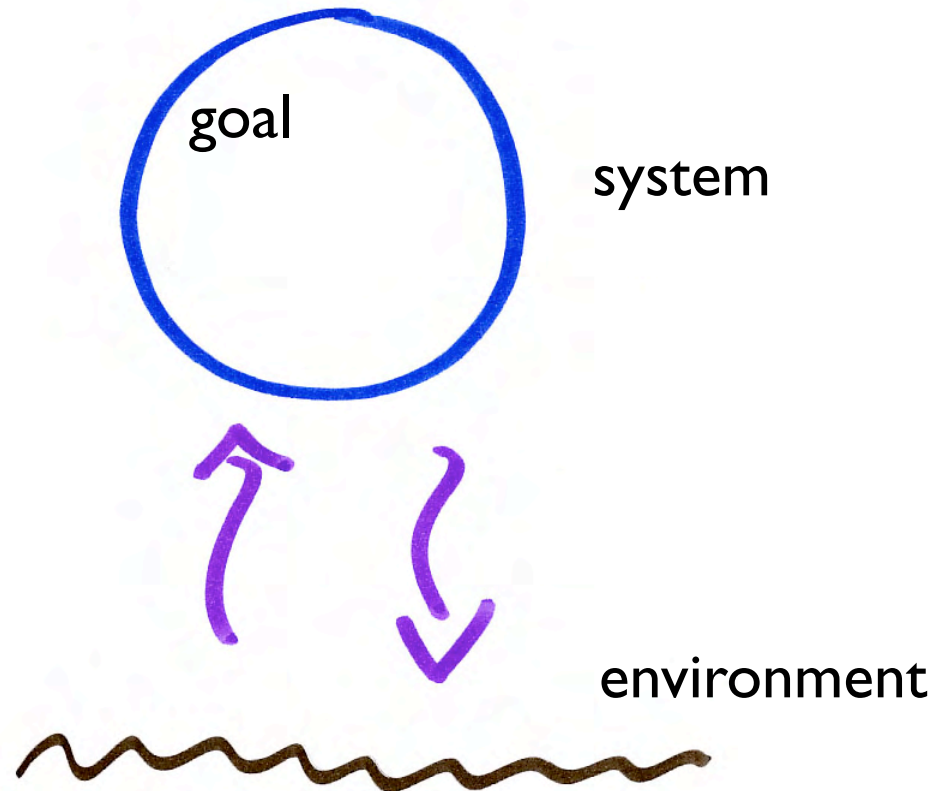


*does the system possess sufficient variety  
to achieve its goal in the current environment?*

requisite variety

yes or no:

does the system possess  
sufficient variety to regulate  
its essential variables  
and maintain its goal?

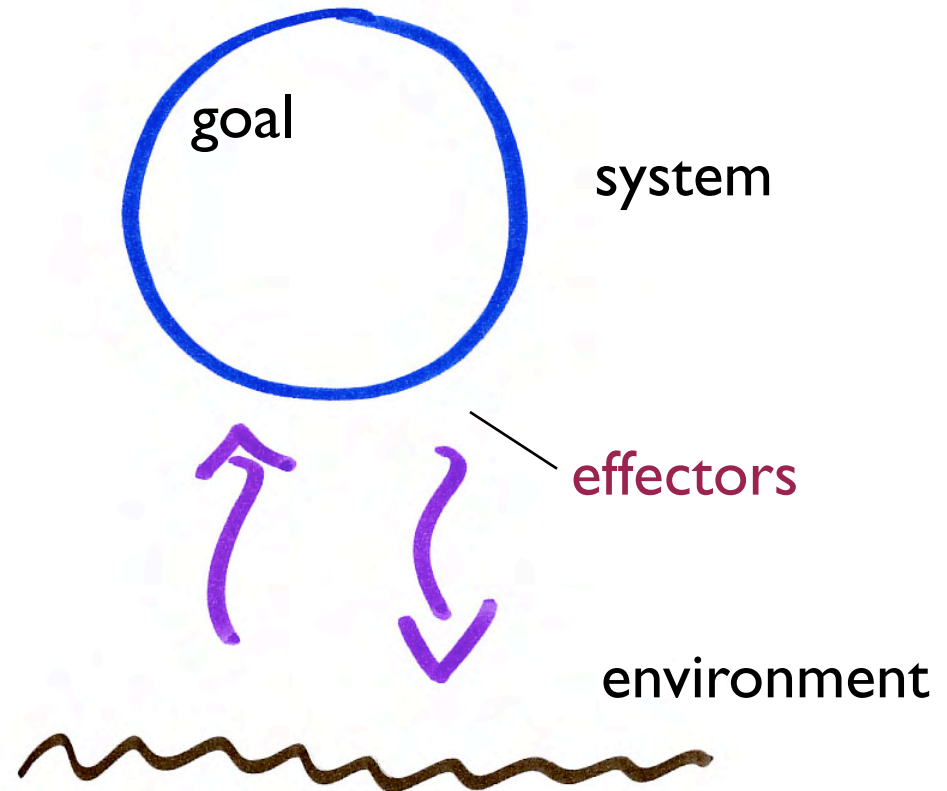


# requisite variety—effectors

sufficient variety...

what are the parameters in the environment that the system can effect?

within what range of those parameters can the system maintain control?

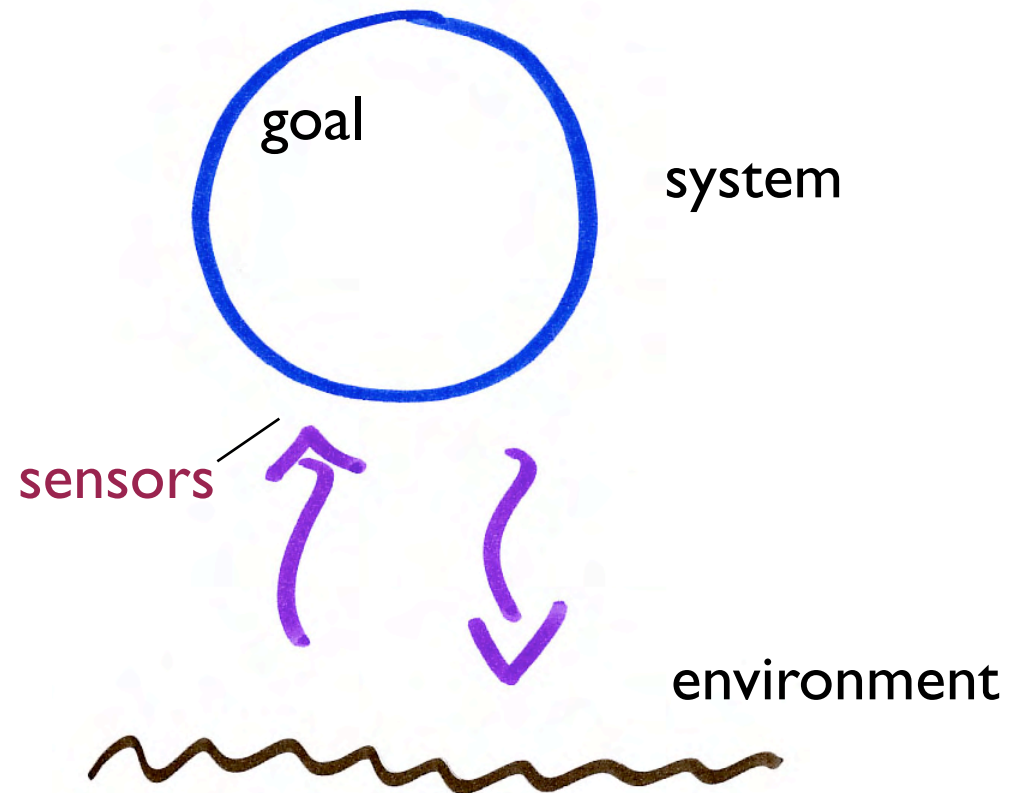


## requisite variety—sensors

sufficient variety...

is there sensing of the environment such that deviations from goal can be detected?

do the sensors have sufficient resolution & speed so that the system can respond in time?



## ii. requisite variety

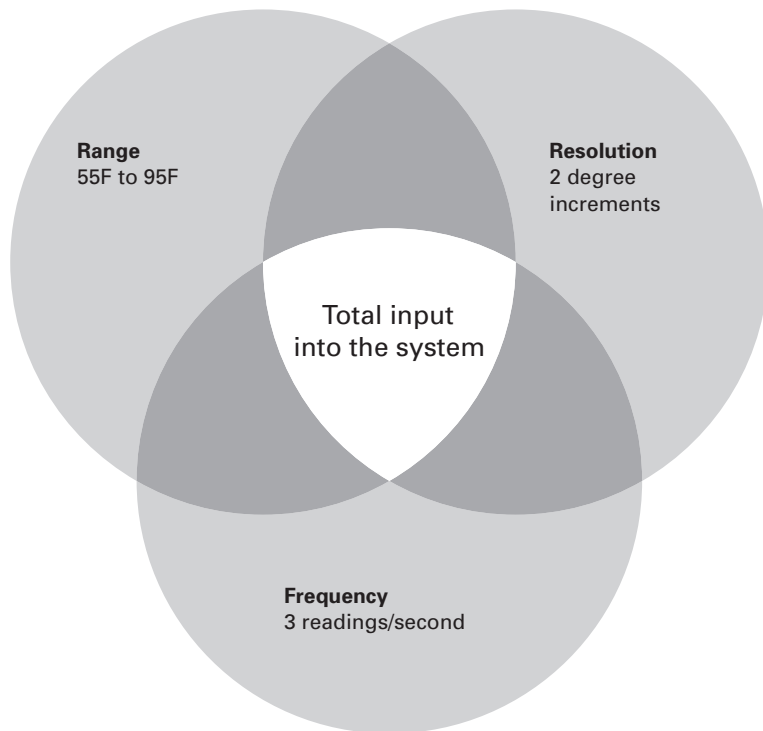
cybernetics has a rigorous definition  
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### Ashby's Law of Requisite Variety

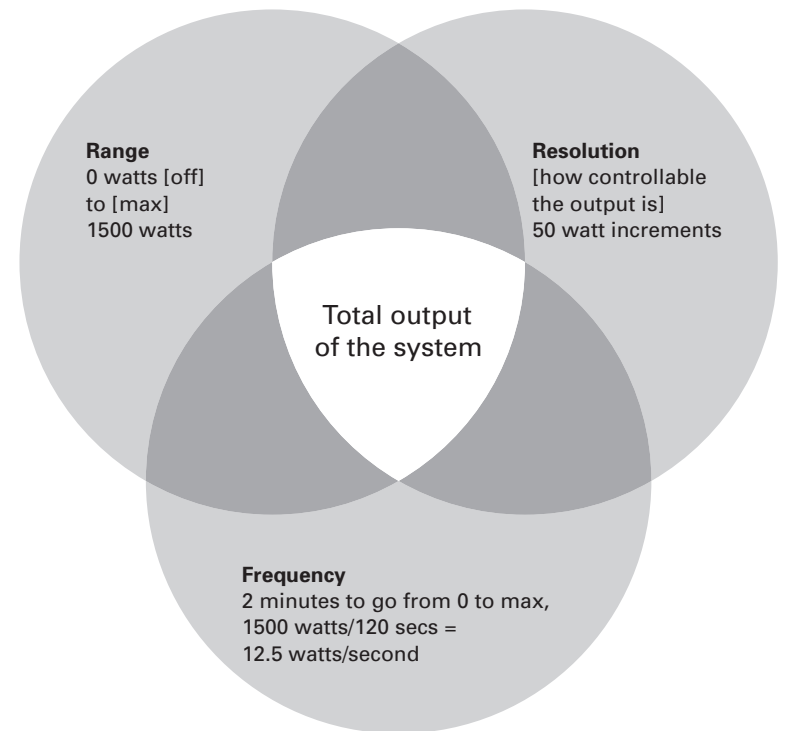
the variety (complexity) of a system  
must be equal to (or greater than)  
the variety of its environment  
for the system to reliably achieve its goals

## Example: Space Heater

### Sensor



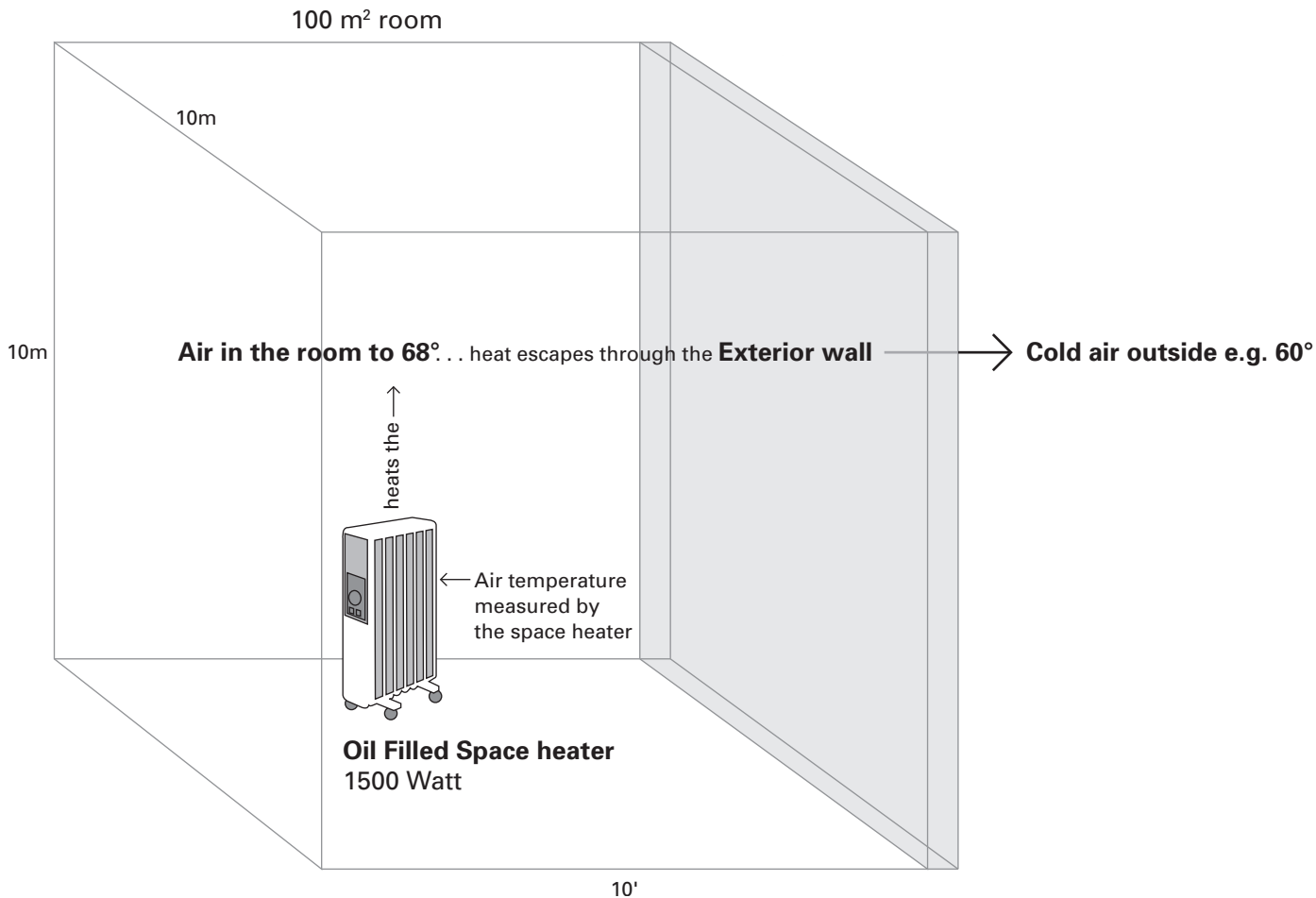
### Actuator



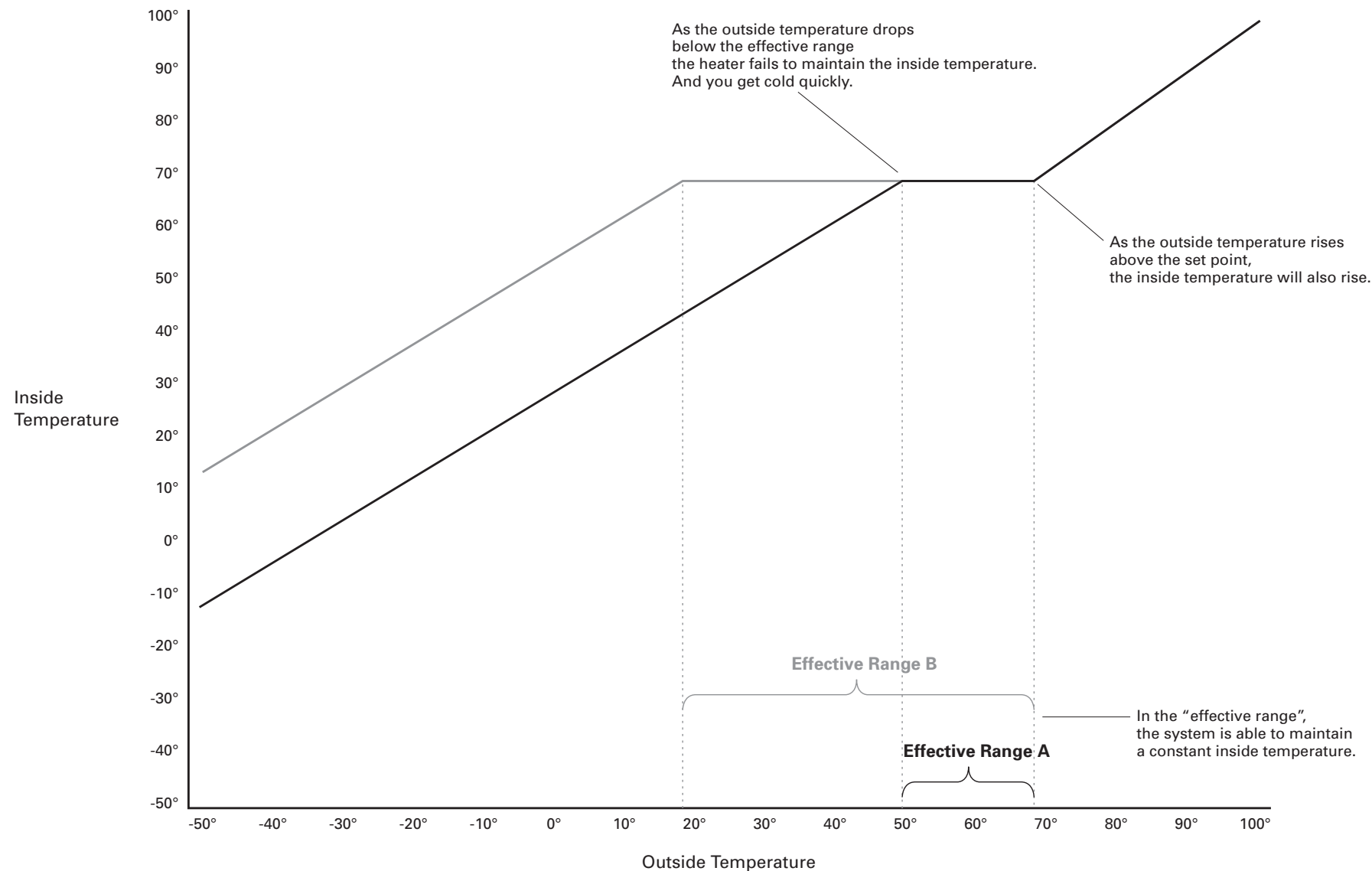


# Determining the effective range of a space heater

(How much variety does it have?)

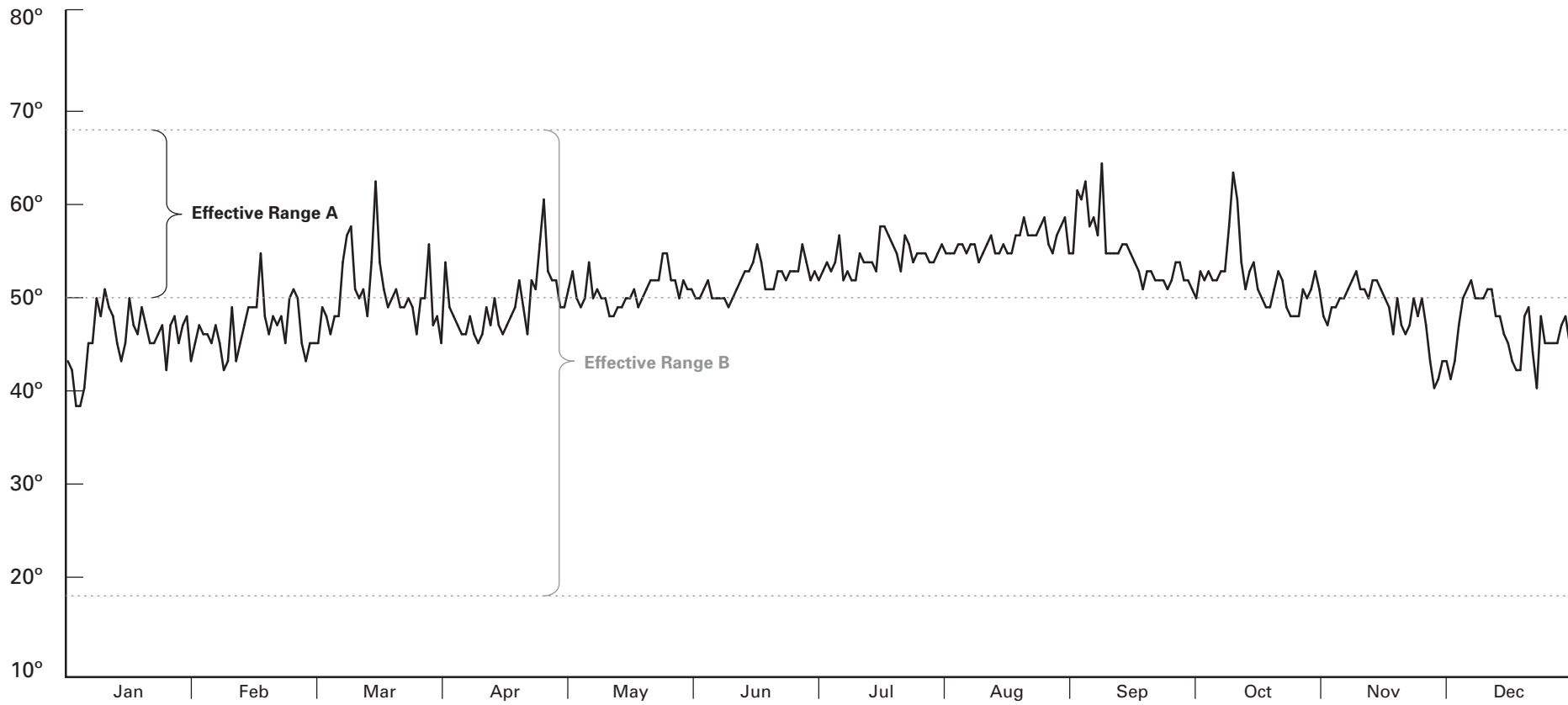


# Graphing the effective range of a space heater



These figures are only intended as a theoretical example.

# Where does the space heater fail?



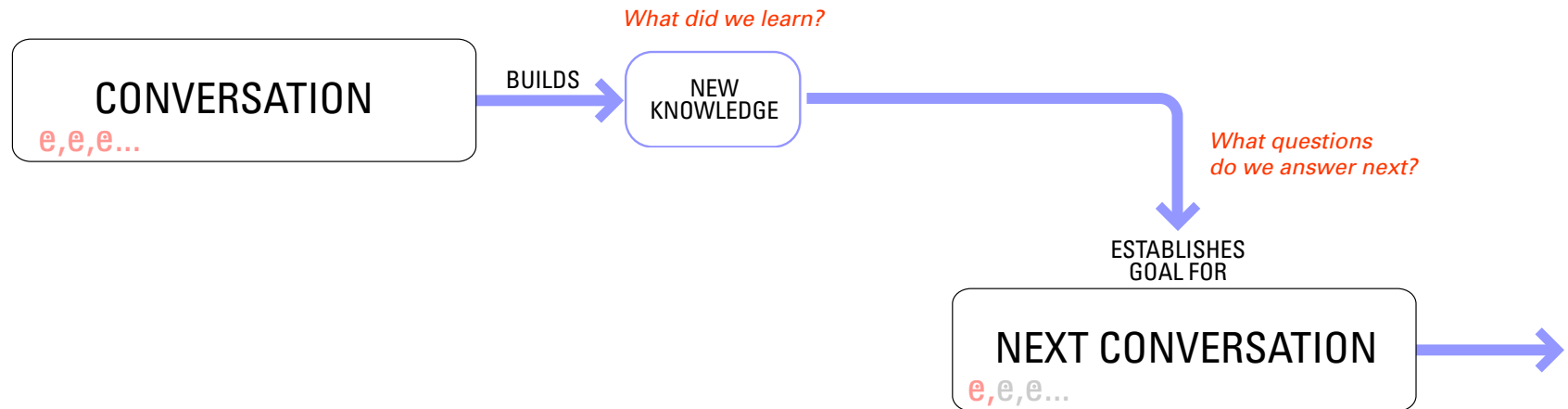
Daily Low Temperature  
San Francisco, California 2004

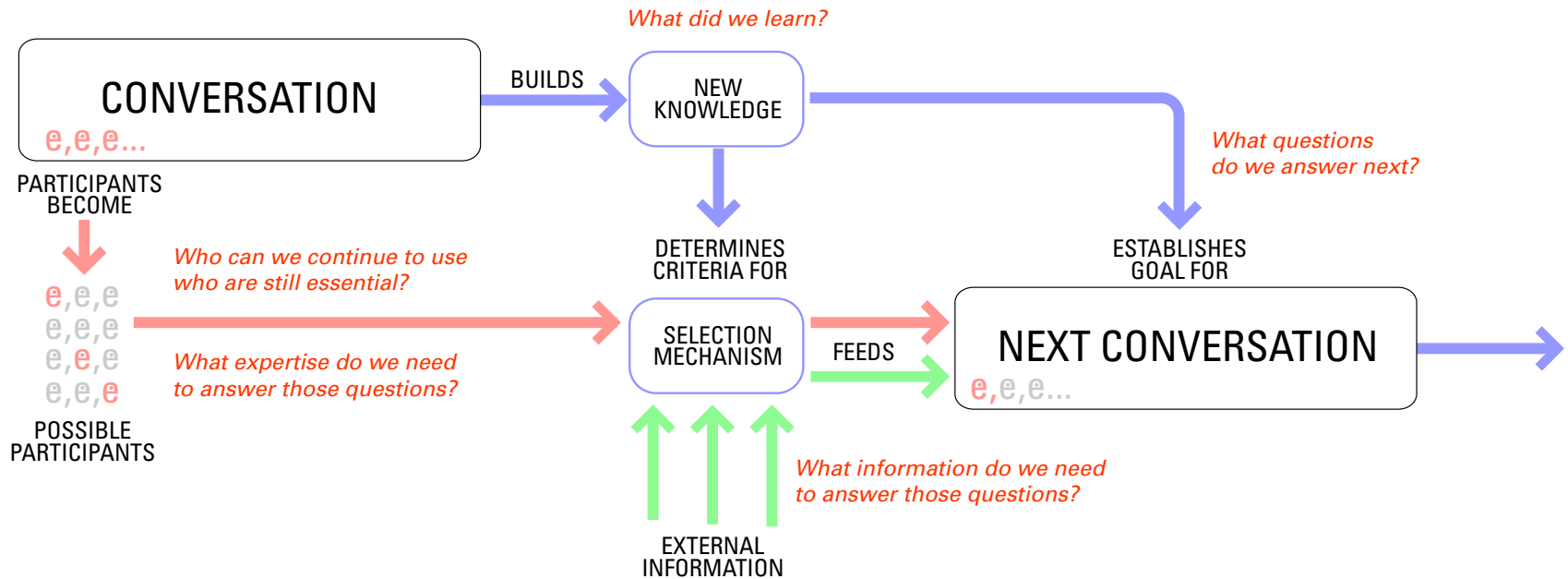
## ii. requisite variety

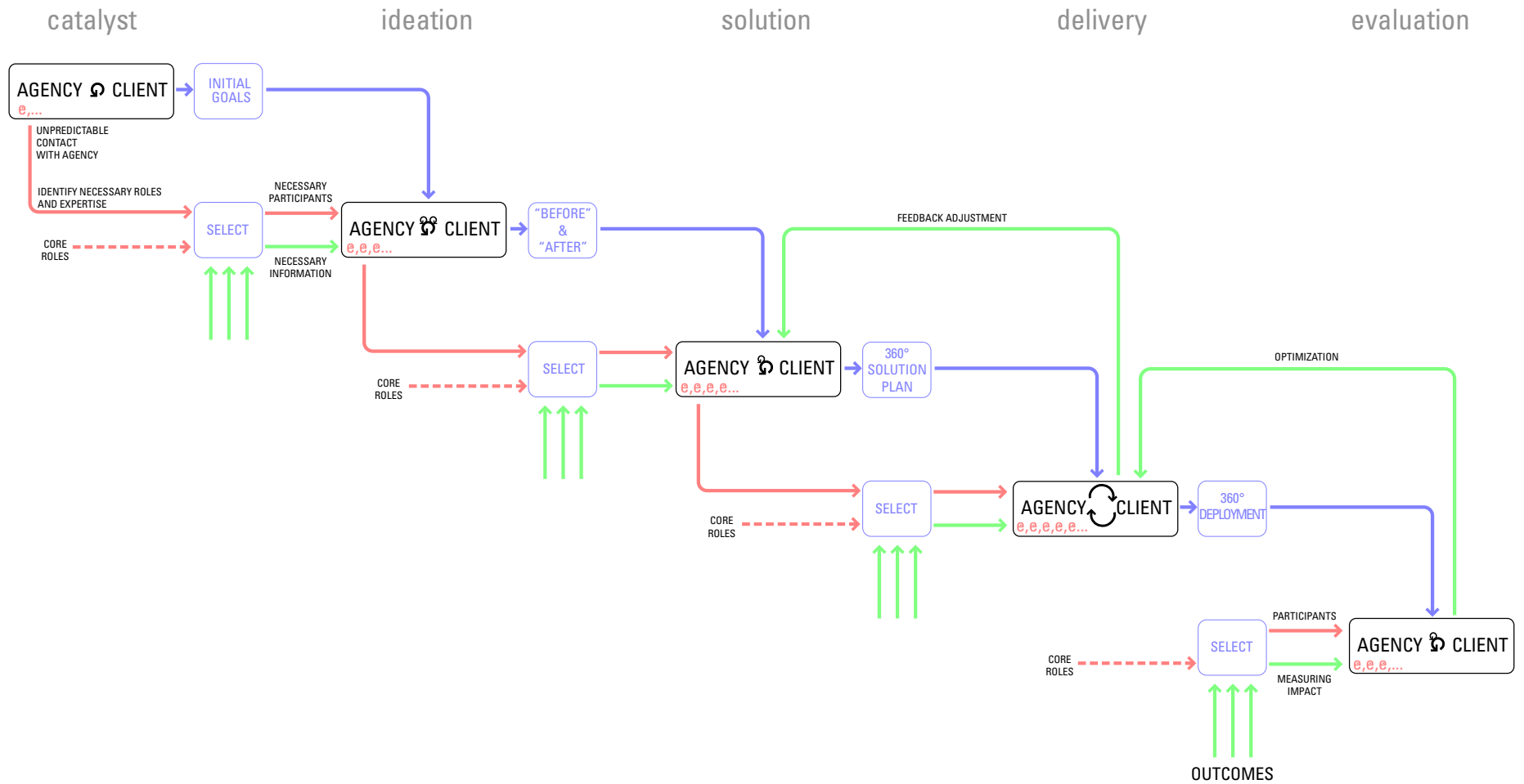
cybernetics has a rigorous definition  
of the limitations of a system  
to achieve its goal...

which can be applied to social systems

- variety is defined as capacity for conversation
- local truth controls the “essential variables”  
that determine the viability system







### iii. co-evolution

cybernetics models the subjective and objective interactions inherent in any complex system that includes social / linguistic components

a. design for co-evolution



Notes on the  
Role of  
**Leadership  
and Language**  
in Regenerating  
Organizations

# An organization is its language.

Ultimately,  
an organization consists of conversations:  
who talks to whom, about what.

Conversation leads to agreement.  
Agreement leads to transaction.

# Narrowing language increases efficiency.

Organizations create their own internal language to solve specific problems.

This language serves as a kind of shorthand: Managers use it every day, knowing they will be clearly understood.

Over time, this internal language grows increasingly specialized—and narrow.

# Narrowing **language** also increases ignorance.

The organization's internal language is designed to help managers facilitate present-day business — not look beyond it.

Using the internal language, managers increase efficiencies, but cannot recognize new fields of research, new discoveries, new approaches.

# Past language limits future vision.

Managers understand the organization's past behavior.  
But this knowledge,  
and the language that accompanies it,  
limit their vision  
of the organization's potential future state.

Using the language of the past,  
managers may try to provide a vision for the future.  
But it is an old future —  
a memory of what the future could be.

Managers may strive for fundamental change,  
but their language prevents them from achieving it.

# Expanding **language** increases opportunity.

The conversations necessary  
for generating new opportunities  
come from outside the system.

For an organization to survive,  
it must be able to acquire  
new, relevant language domains.

To regenerate,  
an organization creates  
a new **language**.

To support an organization's future viability, effective decision makers actively introduce change into the system.

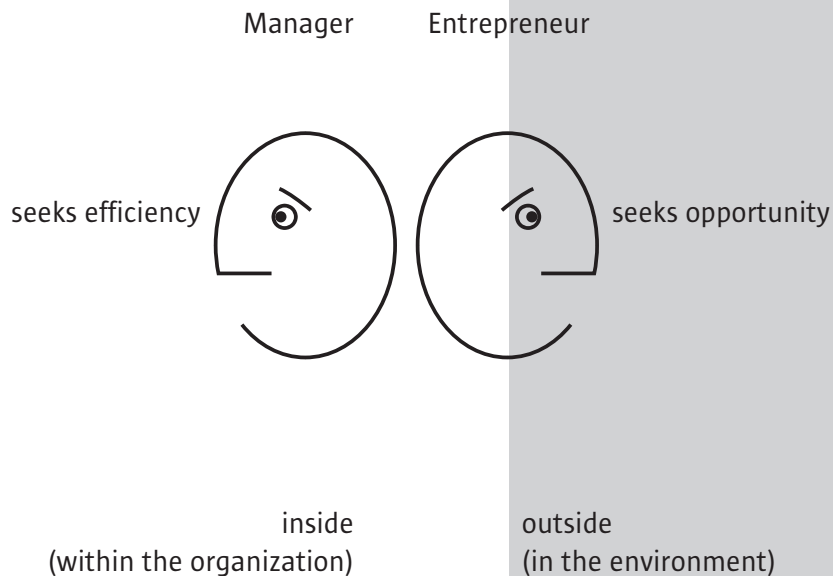
They do so by generating new language that appropriate groups in the organization come to understand and embrace.

This new language does not overtly challenge the pre-existing, efficient system, but rather creates new distinctions and supportive relationships.

# Manager and Entrepreneur.

The Manager is responsible for improving the organization's present-day performance.

The Entrepreneur does not concern herself with present-day business.





# why is cybernetics a science for design?

because it has a rigorous set of models that provide powerful explanations and prescriptions for coordinating diverse teams that are required to solve today's wicked design problems

i. conversation

ii. requisite variety

iii. co-evolution



# innovation

- what is innovation?
- how do we get it?
- when do we need it?

# innovation

innovation is  
an insight that  
inspires change  
that creates value.

# innovation

value

innovation is not simply

...an idea

...an invention

...an improvement

...simple creativity.

change

insight

convention convention

# innovation

## value

innovation

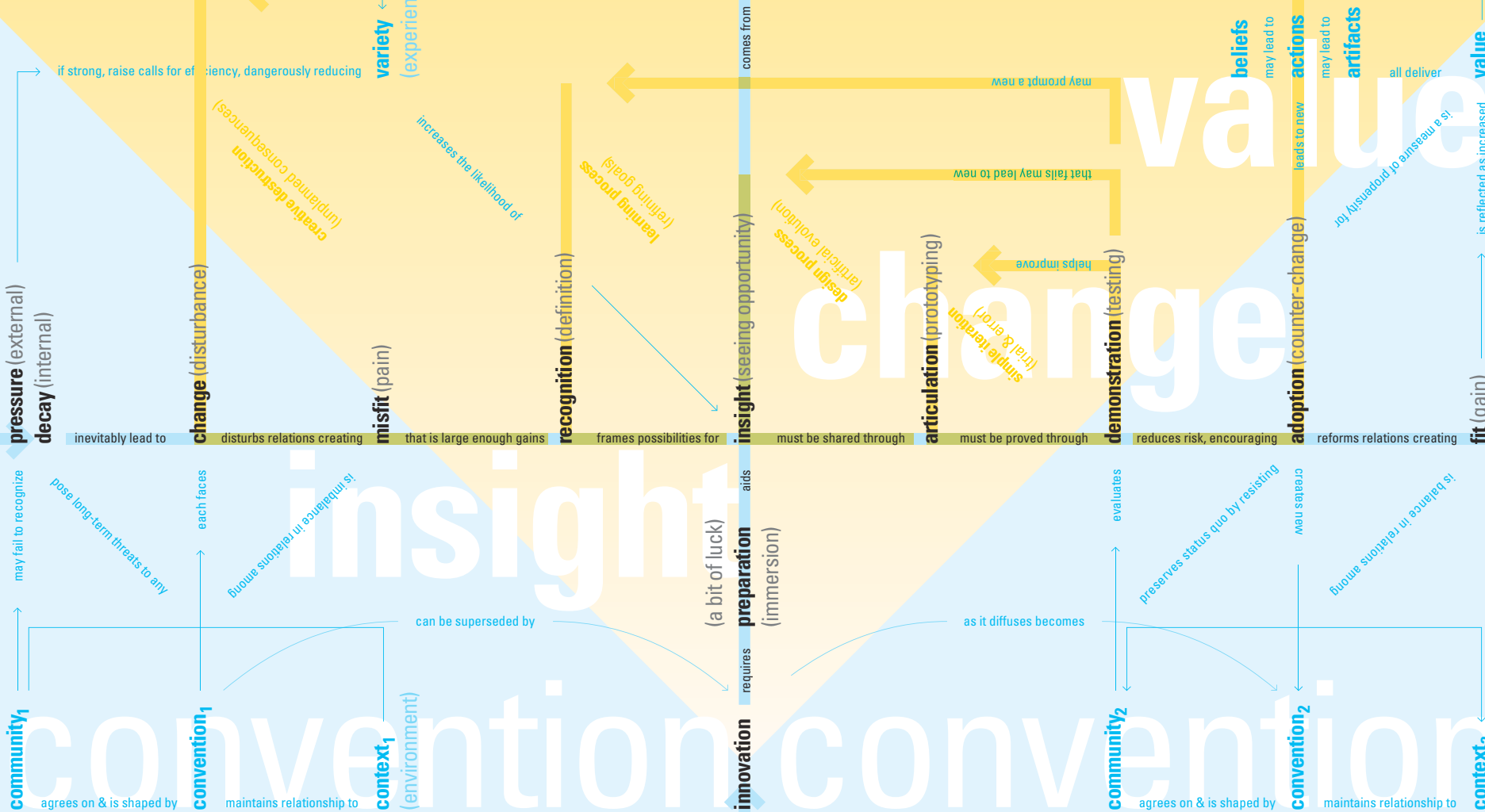
...can be modelled as a conversation  
— goals + feedback + actions

...requires sufficient variety

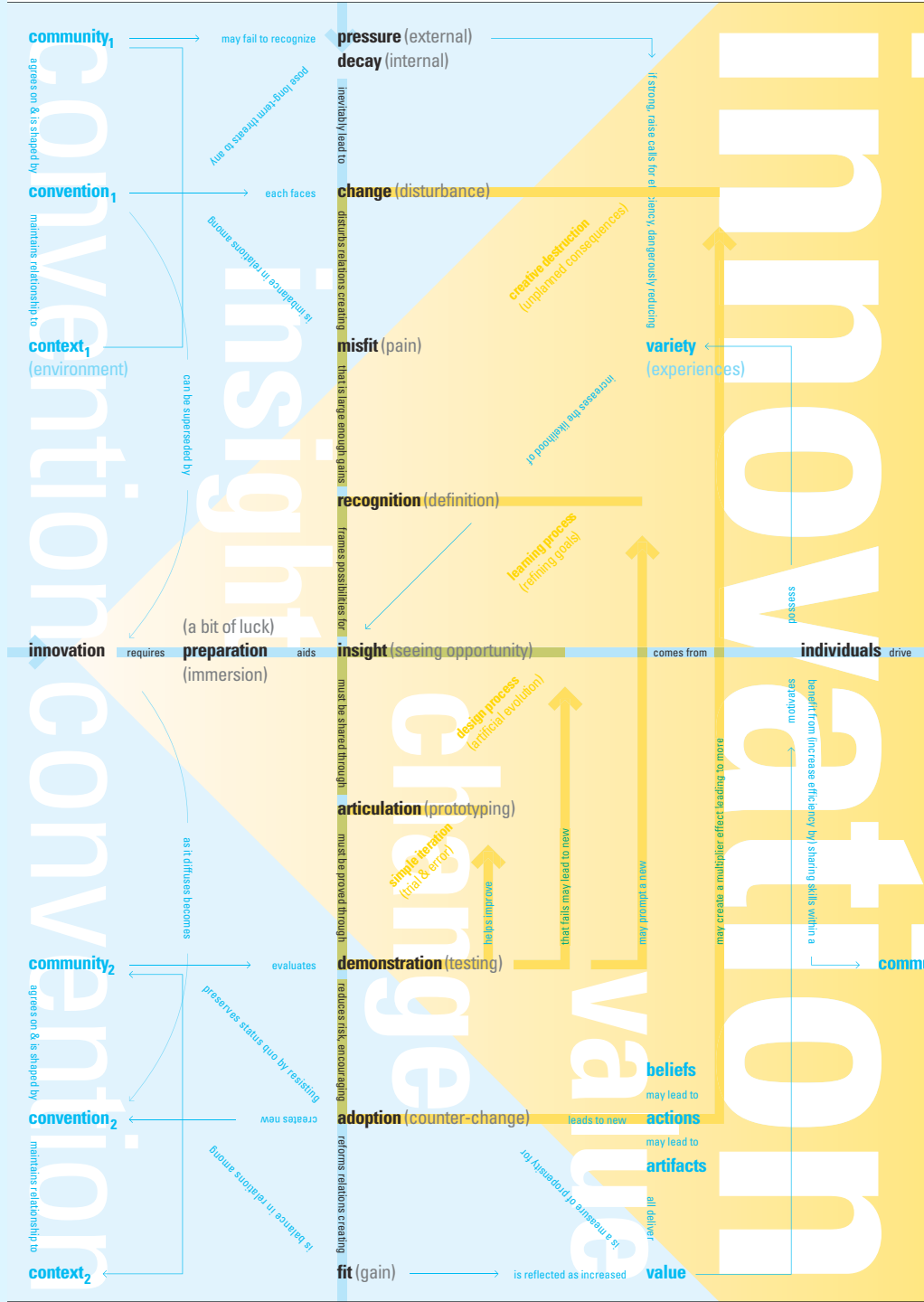
...is a co-evolutionary process.



# innovation







**community<sub>1</sub>**

agrees on & is shaped by

**convention<sub>1</sub>**

maintains relationship to

**context<sub>1</sub>**

(environment)

may fail to recognize

pose long-term threats to any

each faces

is imbalance in relations among

can be superse

**pressure** (external)

**decay** (internal)

inevitably lead to

**change** (disturbance)

disturbs relations creating

**misfit** (pain)

that is large enou

**creative destruction**  
(unplanned conse

ases the likeli

**community<sub>1</sub>**

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may fail to recognize

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is imbalance in relations among

can be superseded by

**pressure** (external)  
**decay** (internal)

inevitably lead to

**change** (disturbance)

disturbs relations creating

**misfit** (pain)

that is large enough gains

**recognition** (definition)

frames po

if strong, raise calls for efficiency, dangerously reducing

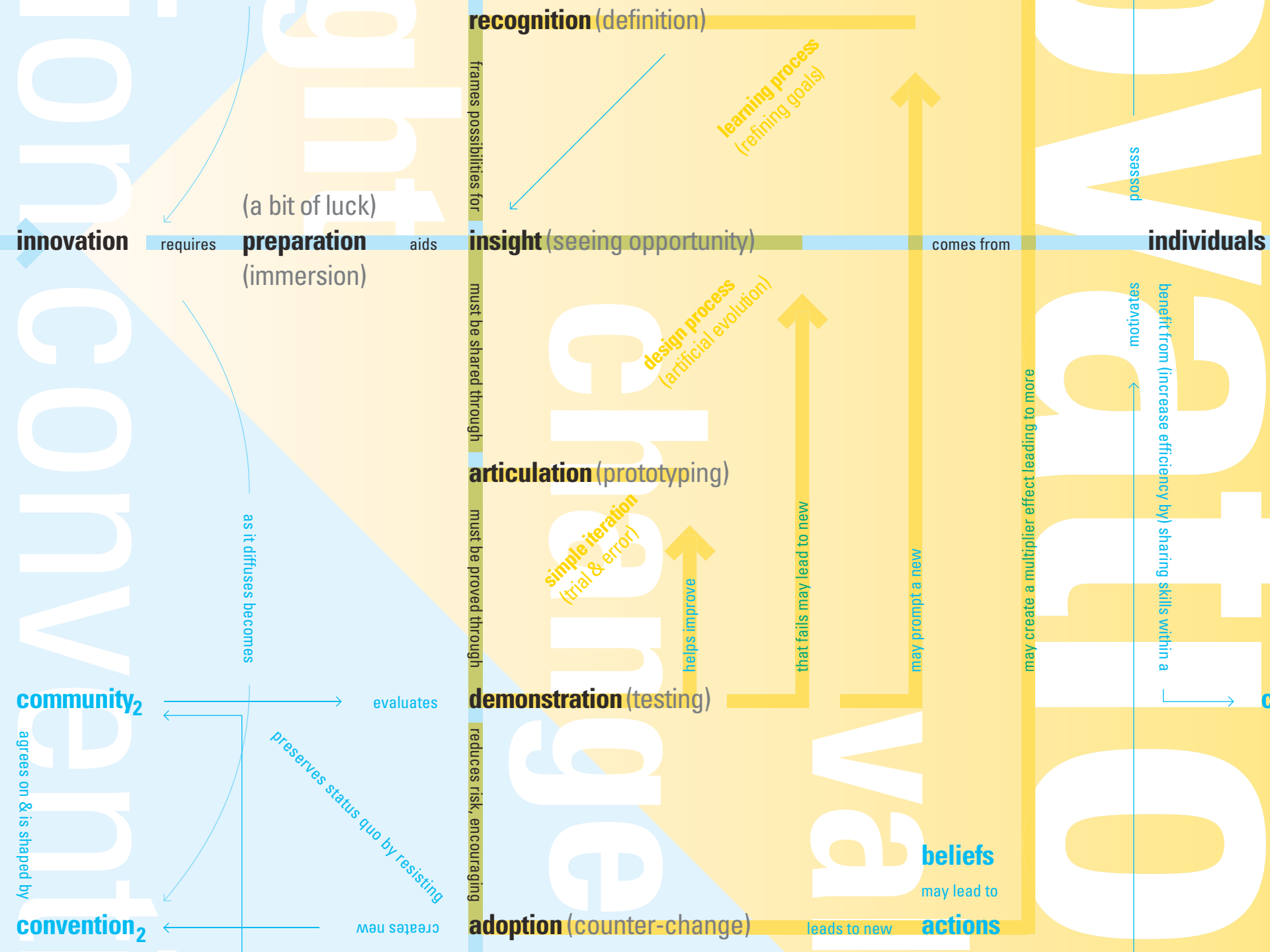
**creative destruction**  
(unplanned consequences)

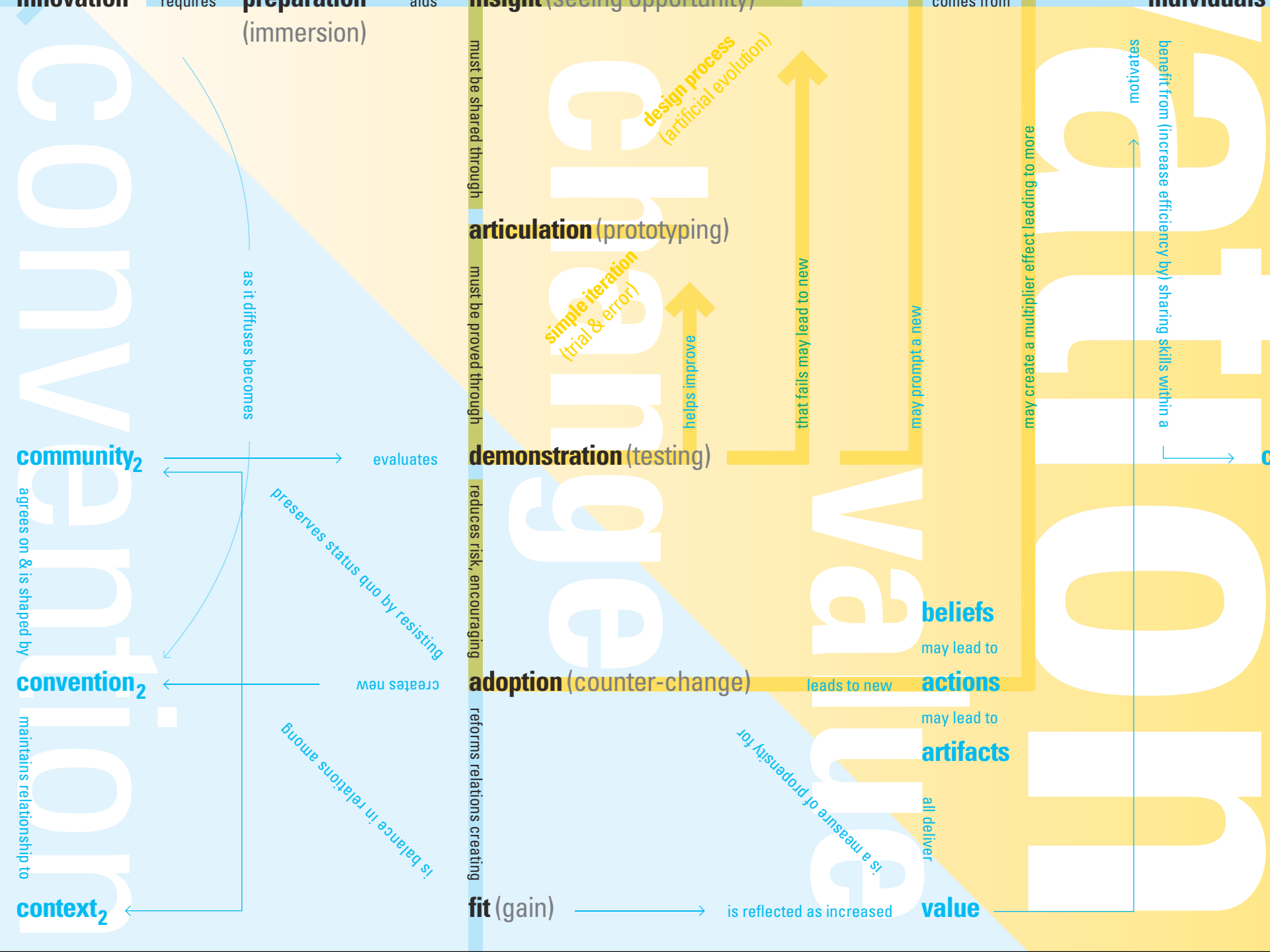
increases the likelihood of

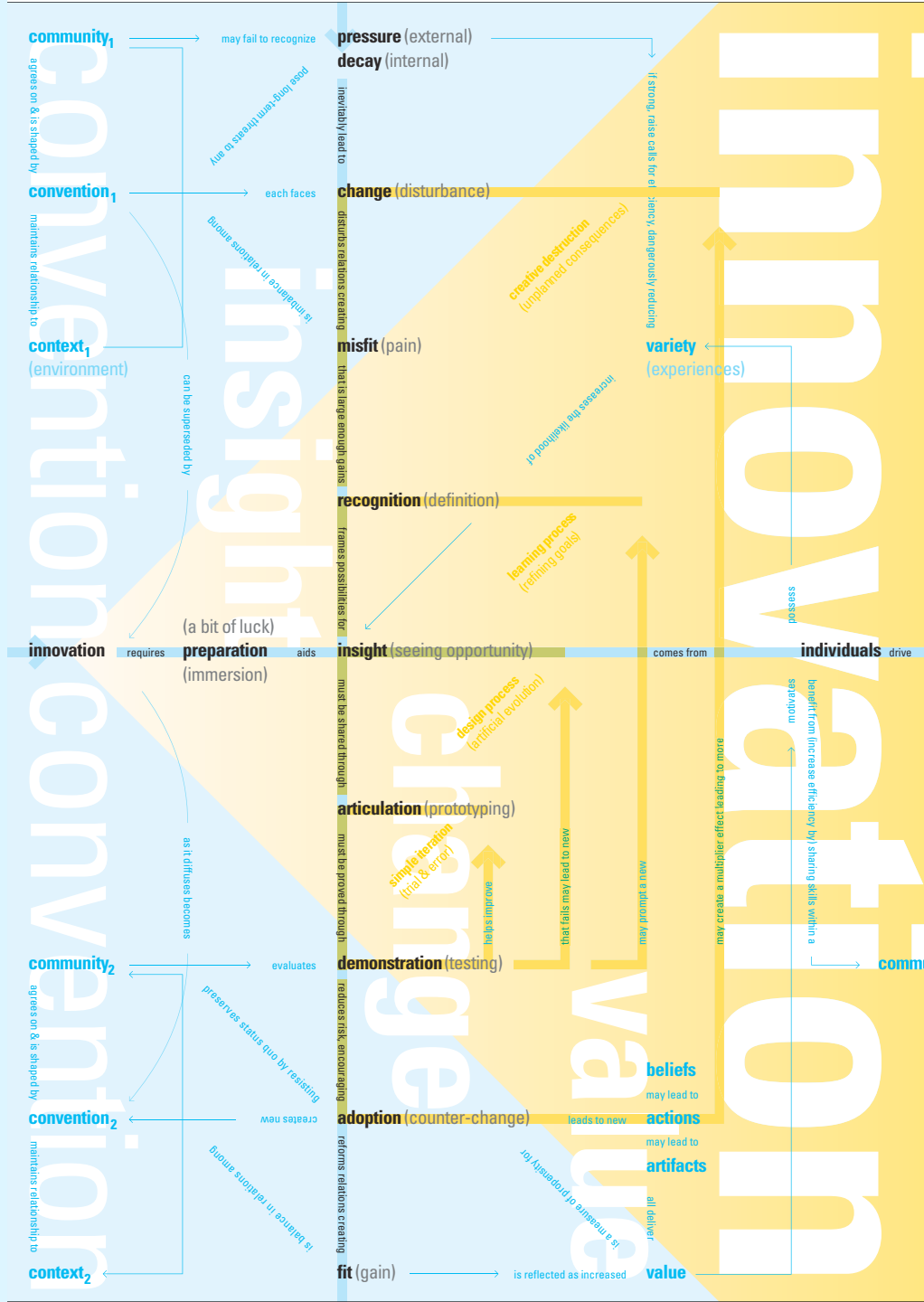
**learning process**  
(learning goals)

**variety**  
(experiences)









# why is cybernetics a science for design?

because it has a rigorous set of models that provide powerful explanations and prescriptions for coordinating diverse teams that are required to solve today's wicked design problems

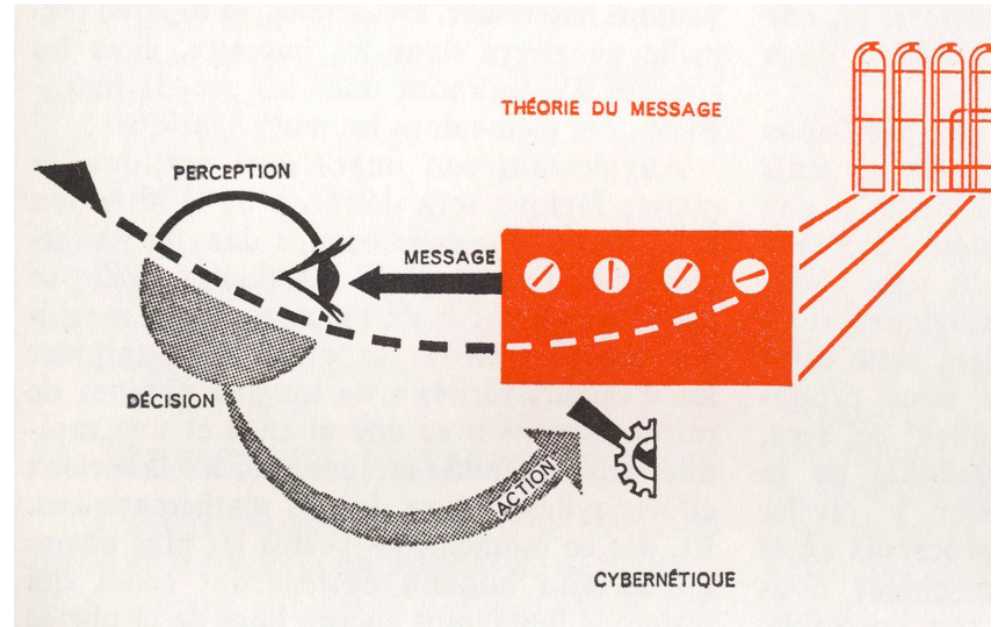
i. conversation

ii. requisite variety

iii. co-evolution



# interaction cybernetics design

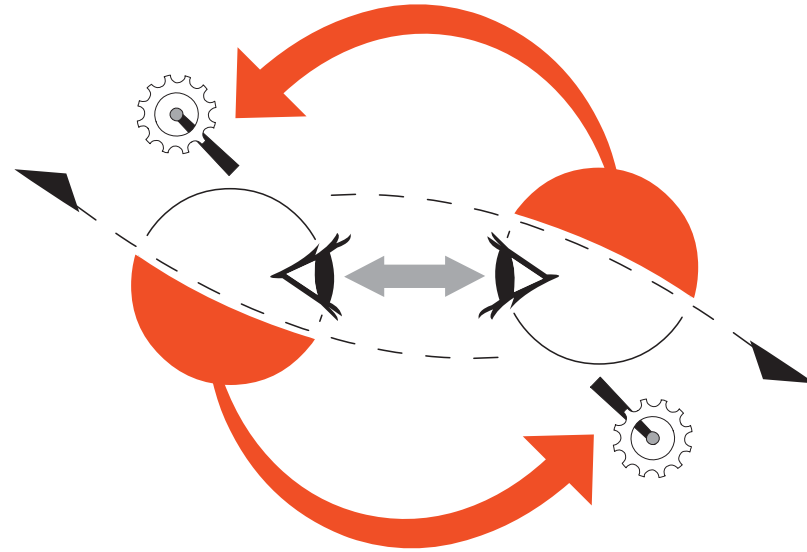


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École Nationale Supérieure des Mines de Paris  
2 October 2009

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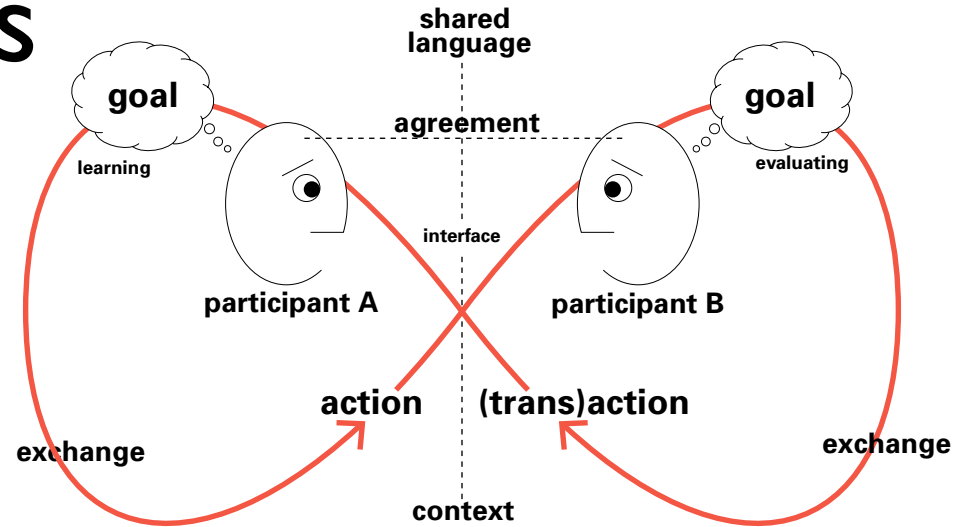


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# interaction cybernetics design



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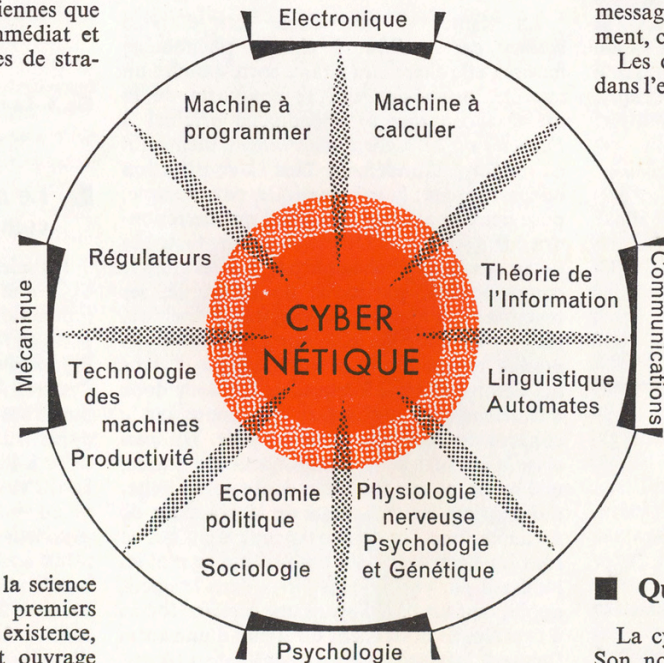
# interaction cybernetics design

le dormir, de travail-  
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ons quotidiennes que  
concret, immédiat et  
es centaines de stra-

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Fig. 2. Cybernétique, science-carrefour.



du *pouvoir* de l'hor-  
teur et sa *complexité*  
messages qui mettent  
ment, constitue une  
Les découvertes s  
dans l'esprit des hom-  
des vieux  
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quelquefo  
nouvelles  
à la puiss  
l'on peut  
de la cybe

■ **Qu'est-ce que**  
La cybernétique e  
Son nom platonicie

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2 October 2009



aspects de la science  
qui ont les premiers  
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The diagram illustrates Cybernetics as a central concept, represented by a red circle with the text "CYBER NÉTIQUE" inside. This central circle is surrounded by a larger circle, which is divided into eight segments by radial lines. Each segment contains a field of study or a concept related to Cybernetics. The segments are labeled as follows:

- Machine à programmer
- Machine à calculer
- Théorie de l'Information
- Linguistique Automates
- Physiologie nerveuse
- Psychologie et Génétique
- Sociologie
- Economie politique
- Productivité
- Technologie des machines
- Régulateurs

Outside the central circle, there are four larger, stylized brackets or shapes, each labeled with a field of study:

- Electronique (top)
- Communications (right)
- Psychologie (bottom)
- Mécanique (left)

Les découvertes s  
dans l'esprit des hom  
des vieux  
la physi  
sionne pa  
Prométhé  
qu'il app  
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trouve sa  
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et van Ker  
quelquefo  
nouvelle s  
à la puiss  
l'on peut  
de la cybe

La cybernétique e  
Son nom platonicien



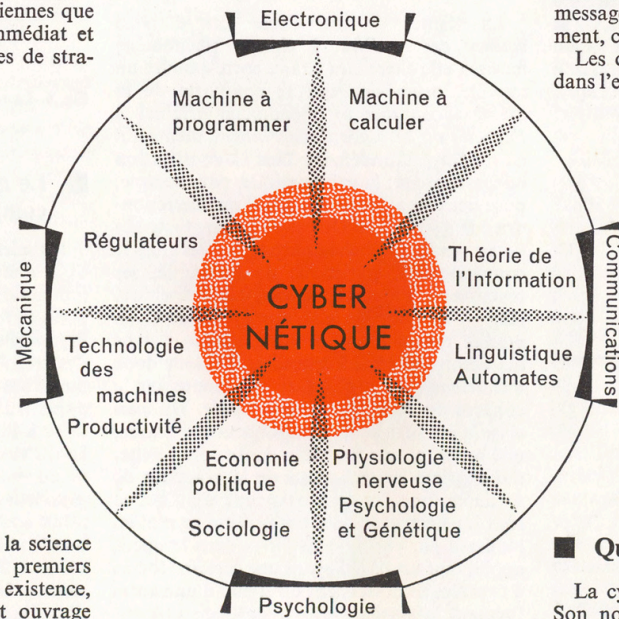
# appendix

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le phéno-  
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Fig. 2. Cybernétique, science-carrefour.



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et van Ker  
quelquefo  
nouvelles  
à la puis  
l'on peut  
de la cybe

## ■ Qu'est-ce que

## La cybernétique e Son nom platonicie

# Hochschule für Gestaltung Ulm, Germany

Founded under the Marshall Plan (1948 / 1953 to 1968)

Goals included social change—design as bulwark against fascism

Classes offered in operations research, cybernetics, and semiotics

Acquired status of the Bauhaus (Gropius blessed it)

# Hochschule für Gestaltung Ulm, Germany

Norbert Wiener and Martin Heidegger visit and lecture

Bucky Fuller and Charles Eames visit

Bruce Archer and Horst Rittel on faculty

American design school leaders visit in 1962

British design school leaders visit in 1966



# Then...

In 1963, Horst Rittel and Christopher Alexander are hired to teach at University of California Berkeley

In 1968, Ulm closes

1000+ papers are published in “design rationale”, including the process of design as based in feedback

Many more papers on “design patterns” after Alexander

In 1972, Rittel critiques the state of design methods, calls for a shift to design as rhetoric, echoing 2nd-order cybernetics

# Design Methods Movement

1st generation ~ 1962–1972

*Macy Meetings 1946–1953...*

Design Methods Conferences in 1962, 1965, 1967, 1974

Christopher Alexander 1962—Notes on the Synthesis of Form

Bruce Archer 1964—Systematic Method for Designers  
(229-step design process)

John Chris Jones 1972—Design Methods

Venturi 1966—Complexity and Contradiction

Venturi 1972—Learning from Las Vegas

*... marks the symbolic end of Design Methods as a focus in architecture*

John Chris Jones and Chris Alexander both repudiate  
1st-generation Design Methods

*... systems teaching in design almost disappears after 1980*

# Rittel and Webber, 1972

The search for scientific bases for confronting problems of social policy is bound to fail, because of the nature of these problems. They are "wicked" problems, whereas science has developed to deal with "tame" problems. Policy problems cannot be definitively described. Moreover, in a pluralistic society there is nothing like the undisputable public good; there is no objective definition of equity; policies that respond to social problems cannot be meaningfully correct or false; and it makes no sense to talk about "optimal solutions" to social problems unless severe qualifications are imposed first. Even worse, there are no "solutions" in the sense of definitive and objective answers.

# Rittel's Problems

## **simple problems**

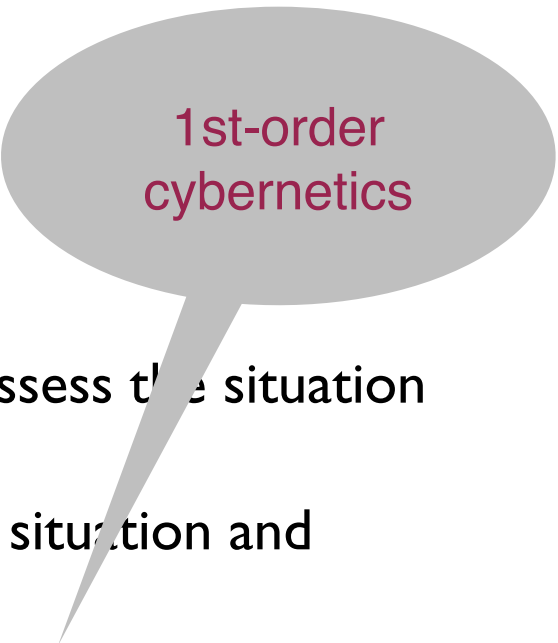
question is clear—we only need to provide an answer

$$2 + 2 = ?$$

the lightbulb is not working

*most design problems given in school are like this*

# Rittel's Problems



1st-order  
cybernetics

## **complex problems**

question is vague or ill formed—we must assess the situation and discuss

through conversation, we “understand” the situation and agree on a definition of the problem

we frame the current situation in order to show how it differs from a preferred situation

thus we have reduced it to a simple problem

*most design problems encountered in practice are like this*

# Rittel's Problems



2nd-order  
cybernetics

## wicked problems

participants hold conflicting views of the problem

coming to agreement on the problem is impossible—  
without reframing

reframing is a process of construction and agreement

even then, it is impossible to...

- create definitive formulation (“poverty can be fixed by education”)
- know when we are done (we don’t know if we can do better)
- apply a definitive test of a solution (any solution has consequences)
- avoid consequences of failed solution (cannot unbuild a freeway)
- reuse knowledge on another problem (each is unique)

*the most important problems of the 21st century are like this*

# cybernetic modeling

not about what a system is made of

not delimited by subject domain, discipline, or distinctions such as  
biological, physical, ecological, psychological, social, linguistic

includes goals — the ‘why’ as well as the ‘how’

systems are defined by boundaries made by observers

systems have goal(s) ascribed by observers

information flow from the environment to the system relevant to  
achieving a goal defines ‘feedback’

connects goals to actions — ‘looping-through’

# scope of cybernetics

explanation of communication = *psychology*

modeling of learning = *cognitive science*

limits of knowing = *epistemology*

hearer makes the meaning = *post-modernism*

reality as social construction = *constructivism*

reliable methodologies of describing = *science*

measuring understanding & agreement

= *science of subjectivity*

= *second-order cybernetics*



# goals of cybernetic modeling

see causality as a loop

- shift from hierarchy of power to participation in shared goals

place actions in the context of goals

understand what is possible for a system

- possibilities are defined by 'requisite variety' (RV)
- RV enables the design of changes to the system to improve it

measure the degree of mutual understanding

- define 'conversation', 'agreement'

define and realize 'intelligent systems'

discuss participation, choice, ethics

# analogues to cybernetics

disciplines relying on feedback processes  
refining and clarifying goals = *design*

understanding customer needs = *consultative selling*

organizing evidence to support conclusions = *law*

directing and measuring work = *management*

diagnosing treatments based on symptoms = *medicine*

specifying appropriate physical systems = *engineering*

# cybernetics summarized

